

1 Introduction: Evolution and the Foundations of Biology

▼ Figure 1.1 What can this beach mouse teach us about biology?



KEY CONCEPTS

- 1.1 Studying the diverse forms of life reveals common themes
- 1.2 The Core Theme: Evolution accounts for the unity and diversity of life
- 1.3 Biological inquiry entails forming and testing hypotheses based on observations of nature

OVERVIEW

Inquiring About Life

The brilliant white sand dunes and sparse clumps of beach grass along the Florida seashore afford little cover for the beach mice that live there. However, a beach mouse's light, dappled fur acts as camouflage, allowing the mouse to blend into its surroundings (**Figure 1.1**). Although mice of the same species (oldfield mice, *Peromyscus polionotus*) also inhabit nearby inland areas, the inland mice are much darker in color, matching the darker

soil and vegetation where they live (**Figure 1.2**). This close match of each mouse to its environment is vital for survival, since hawks, herons, and other sharp-eyed predators periodically scan the landscape for food. How has the color of each mouse come to be so well matched, or *adapted*, to the local background?

An organism's adaptations to its environment, such as camouflage that helps protect it from predators, are the result of **evolution**, the process of change that has transformed life from its beginnings to the astounding array of organisms today. Evolution is the fundamental principle of biology and the core theme of this book.

Although biologists know a great deal about life on Earth, many mysteries remain. The question of how the mice's coats have come to match the colors of their habitats is just one example. Posing questions about the living world and seeking answers through scientific inquiry are the central activities of **biology**, the scientific study of life. Biologists' questions can be ambitious. They may ask how a single tiny cell becomes a

tree or a dog, how the human mind works, or how the different forms of life in a forest interact. When questions occur to you as you observe the living world, you are already thinking like a biologist.

How do biologists make sense of life's diversity and complexity? This opening chapter sets up a framework for answering this question. The first part of the chapter provides a panoramic view of the biological "landscape," organized around a set of unifying themes. We'll then focus on biology's core theme, evolution. Finally, we'll examine the process of scientific inquiry—how scientists ask and attempt to answer questions about the natural world.

► **Figure 1.2** An "inland" oldfield mouse (*Peromyscus polionotus*). This mouse has a much darker back, side, and face than mice of the same species that inhabit sand dunes.



Studying the diverse forms of life reveals common themes

Biology is a subject of enormous scope, and exciting new biological discoveries are being made every day. How can you organize and make sense of all the information you'll encounter as you study biology? Focusing on a few big ideas—ways of thinking about life that will still hold true decades from now—will help. Here, we'll describe five unifying themes to serve as touchstones as you proceed through this book.

Theme: New Properties Emerge at Successive Levels of Biological Organization

ORGANIZATION The study of life extends from the microscopic scale of the molecules and cells that make up organisms to the global scale of the entire living planet. As biologists, we can divide this enormous range into different levels of biological organization.

Imagine zooming in from space to take a closer and closer look at life on Earth. It is spring in Ontario, Canada, and our destination is a local forest, where we will eventually narrow our focus down to the molecules that make up a maple leaf.

Figure 1.3 narrates this journey into life, as the numbers guide

▼ Figure 1.3 Exploring Levels of Biological Organization

◀ 1 The Biosphere

Even from space, we can see signs of Earth's life—in the green mosaic of the forests, for example. We can also see the scale of the entire biosphere, which consists of all life on Earth and all the places where life exists: most regions of land, most bodies of water, the atmosphere to an altitude of several kilometers, and even sediments far below the ocean floor.

◀ 2 Ecosystems

Our first scale change brings us to a North American forest with many deciduous trees (trees that lose their leaves and grow new ones each year). A deciduous forest is an example of an ecosystem, as are grasslands, deserts, and coral reefs. An ecosystem consists of all the living things in a particular area, along with all the nonliving components of the environment with which life interacts, such as soil, water, atmospheric gases, and light.

▶ 3 Communities

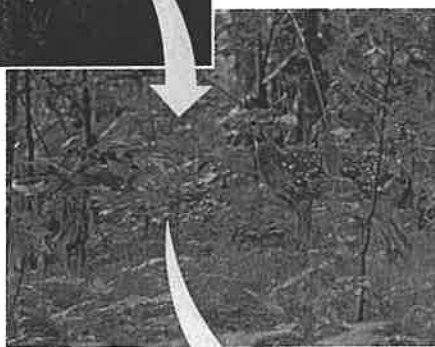
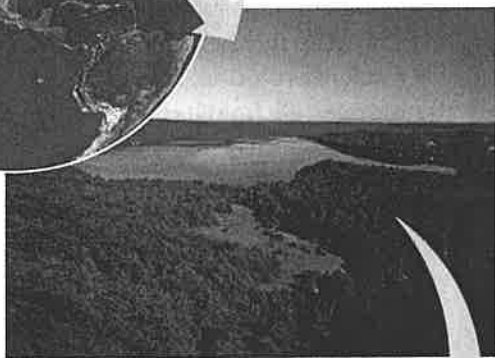
The array of organisms inhabiting a particular ecosystem is called a biological community. The community in our forest ecosystem includes many kinds of trees and other plants, various animals, mushrooms and other fungi, and enormous numbers of diverse microorganisms, which are living forms, such as bacteria, that are too small to see without a microscope. Each of these forms of life is called a *species*.

▶ 4 Populations

A population consists of all the individuals of a species living within the bounds of a specified area. For example, our forest includes a population of sugar maple trees and a population of white-tailed deer. A community is therefore the set of populations that inhabit a particular area.

▲ 5 Organisms

Individual living things are called organisms. Each of the maple trees and other plants in the forest is an organism, and so is each deer, frog, beetle, and other forest animals. The soil teems with microorganisms such as bacteria.



you through photographs illustrating the hierarchy of biological organization.

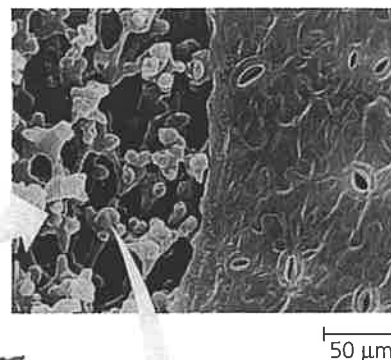
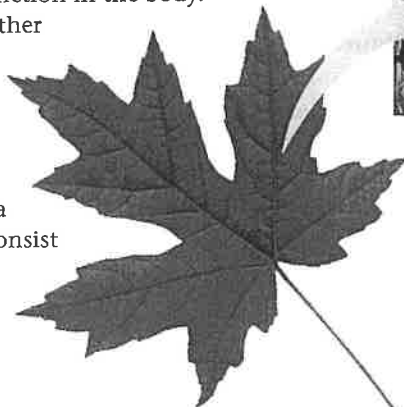
Zooming in at ever-finer resolution illustrates the principle of *reductionism*—the approach of reducing complex systems to simpler components that are more manageable to study. Reductionism is a powerful strategy in biology. For example, by studying the molecular structure of DNA that had been extracted from cells, James Watson and Francis Crick inferred the chemical basis of biological inheritance. However, although it has propelled many major discoveries, reductionism provides a necessarily incomplete view of life on Earth, as we'll discuss next.

Emergent Properties

Let's reexamine Figure 1.3, beginning this time at the molecular level and then zooming out. Viewed this way, we see that at each level, novel properties emerge that are absent from the preceding one. These **emergent properties** are due to the arrangement and interactions of parts as complexity increases. For example, although photosynthesis occurs in an intact chloroplast, it will not take place in a disorganized test-tube mixture of chlorophyll and other chloroplast molecules. The coordinated processes of photosynthesis require a specific organization of these molecules in the chloroplast. Isolated components of living systems, acting as the objects of study

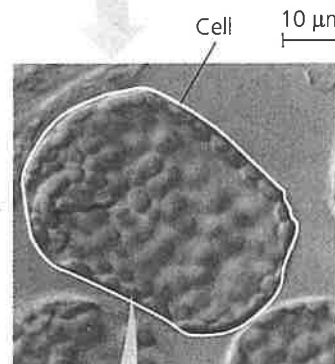
▼ 6 Organs and Organ Systems

The structural hierarchy of life continues to unfold as we explore the architecture of more complex organisms. A maple leaf is an example of an organ, a body part that carries out a particular function in the body. Stems and roots are the other major organs of plants. The organs of complex animals and plants are organized into organ systems, each a team of organs that cooperate in a larger function. Organs consist of multiple tissues.



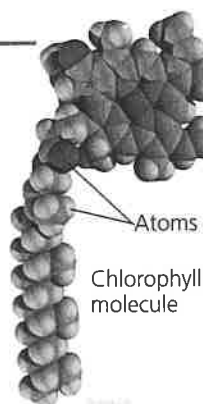
◀ 7 Tissues

To see the tissues of a leaf requires a microscope. Each tissue is a group of cells that work together, performing a specialized function. The leaf shown here has been cut on an angle. The honeycombed tissue in the interior of the leaf (left side of photo) is the main location of photosynthesis, the process that converts light energy to the chemical energy of sugar. The jigsaw puzzle-like "skin" on the surface of the leaf is a tissue called epidermis (right side of photo). The pores through the epidermis allow entry of the gas CO_2 , a raw material for sugar production.



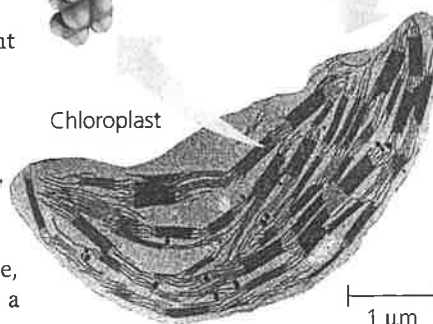
► 10 Molecules

Our last scale change drops us into a chloroplast for a view of life at the molecular level. A molecule is a chemical structure consisting of two or more units called atoms, represented as balls in this computer graphic of a chlorophyll molecule. Chlorophyll is the pigment molecule that makes a maple leaf green, and it absorbs sunlight during photosynthesis. Within each chloroplast, millions of chlorophyll molecules are organized into systems that convert light energy to the chemical energy of food.



▲ 8 Cells

The cell is life's fundamental unit of structure and function. Some organisms are single cells, while others are multicellular. A single cell performs all the functions of life, while a multicellular organism has a division of labor among specialized cells. Here we see a magnified view of cells in a leaf tissue. One cell is about 40 micrometers (μm) across—about 500 of them would reach across a small coin. As tiny as these cells are, you can see that each contains numerous green structures called chloroplasts, which are responsible for photosynthesis.



► 9 Organelles

Chloroplasts are examples of organelles, the various functional components present in cells. This image, taken by a powerful microscope, shows a single chloroplast.

a reductionist approach to biology, typically lack some of the properties that emerge at higher levels of organization.

Emergent properties are not unique to life. A box of bicycle parts won't transport you anywhere, but if they are arranged in a certain way, you can pedal to your chosen destination. Compared to such nonliving examples, however, the unrivaled complexity of biological systems makes the emergent properties of life especially challenging to study.

To fully explore emergent properties, biologists today complement reductionism with **systems biology**, the exploration of a biological system by analyzing the interactions among its parts. A single leaf cell can be considered a system, as can a frog, an ant colony, or a desert ecosystem. By examining and modeling the dynamic behavior of an integrated network of components, systems biology enables us to pose new kinds of questions. For example, how does a drug that lowers blood pressure affect the functioning of organs throughout the body? At a larger scale, how does a gradual increase in atmospheric carbon dioxide alter ecosystems and the entire biosphere? Systems biology can be used to study life at all levels.

Structure and Function

At each level of the biological hierarchy, we find a correlation of structure and function. Consider the leaf in Figure 1.3: Its thin, flat shape maximizes the capture of sunlight by chloroplasts. More generally, analyzing a biological structure gives us clues about what it does and how it works. Conversely, knowing the function of something provides insight into its structure and organization. Many examples from the animal kingdom show a correlation between structure and function, including the hummingbird (**Figure 1.4**). The hummingbird's anatomy allows the wings to rotate at the shoulder, so hummingbirds have the ability, unique among birds, to fly backward or hover in place. Hovering, the birds can extend their long slender beaks into flowers and feed on nectar. The



▲ **Figure 1.4 Form fits function in a hummingbird's body.** The unusual bone structure of a hummingbird's wing allows the bird to rotate its wings in all directions, enabling it to fly backward and to hover while it feeds.

? What other examples of form fitting function do you observe in this photograph?

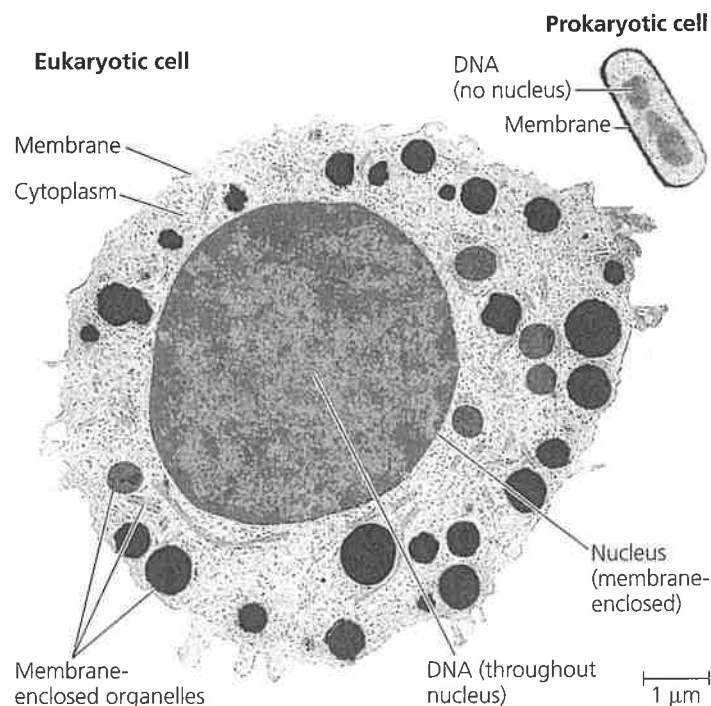
elegant match of form and function in the structures of life is explained by natural selection, as we'll explore shortly.

The Cell: An Organism's Basic Unit of Structure and Function

In life's structural hierarchy, the cell is the smallest unit of organization that can perform all required activities. In fact, the activities of organisms are all based on the activities of cells. For instance, the movement of your eyes as you read this sentence results from the activities of muscle and nerve cells. Even a process that occurs on a global scale, such as the recycling of carbon atoms, is the cumulative product of cellular functions, including the photosynthetic activity of chloroplasts in leaf cells.

All cells share certain characteristics. For instance, every cell is enclosed by a membrane that regulates the passage of materials between the cell and its surroundings. Nevertheless, we recognize two main forms of cells: prokaryotic and eukaryotic. The cells of two groups of single-celled microorganisms—bacteria (singular, *bacterium*) and archaea (singular, *archaeon*)—are prokaryotic. All other forms of life, including plants and animals, are composed of eukaryotic cells.

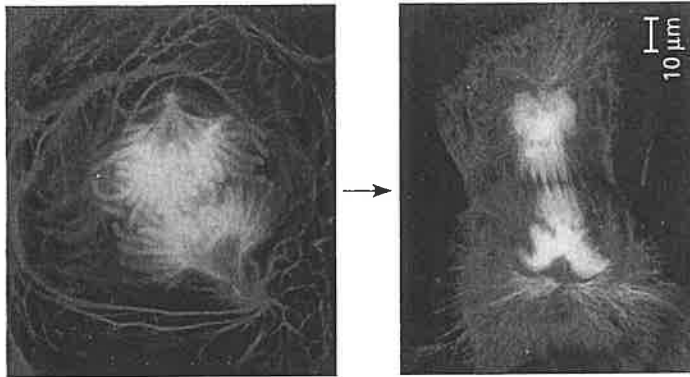
A **eukaryotic cell** contains membrane-enclosed organelles (**Figure 1.5**). Some organelles, such as the DNA-containing nucleus, are found in the cells of all eukaryotes; other organelles are specific to particular cell types. For example, the chloroplast in Figure 1.3 is an organelle found only in eukaryotic cells that carry out photosynthesis. In contrast to eukaryotic cells, a **prokaryotic cell** lacks a nucleus or other membrane-enclosed organelles. Furthermore, prokaryotic cells are generally smaller than eukaryotic cells, as shown in Figure 1.5.



▲ **Figure 1.5 Contrasting eukaryotic and prokaryotic cells in size and complexity.**

Theme: Life's Processes Involve the Expression and Transmission of Genetic Information

INFORMATION Within cells, structures called chromosomes contain genetic material in the form of **DNA (deoxyribonucleic acid)**. In cells that are preparing to divide, the chromosomes may be made visible using a dye that appears blue when bound to the DNA (**Figure 1.6**).



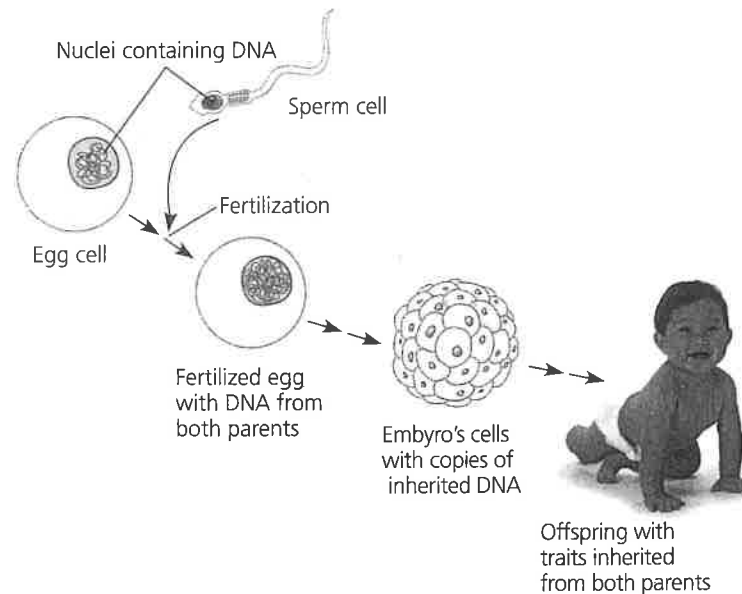
▲ **Figure 1.6** A lung cell from a newt divides into two smaller cells that will grow and divide again.

DNA Structure and Function

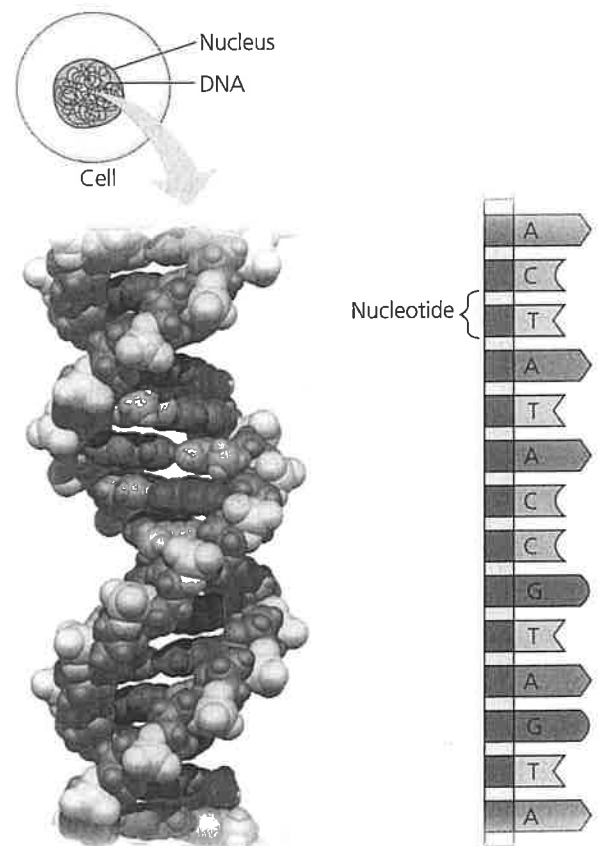
Each time a cell divides, the DNA is first *replicated*, or copied, and each of the two cellular offspring inherits a complete set of chromosomes, identical to that of the parent cell. Each chromosome contains one very long DNA molecule with hundreds or thousands of **genes**, each a stretch of DNA arranged along the chromosome. Transmitted from parents to offspring, genes are the units of inheritance. They encode the information necessary to build all of the molecules synthesized within a cell, which in turn establish that cell's identity and function. Each of us began as a single cell stocked with DNA inherited from our parents. The replication of that DNA during each round of cell division transmitted copies of the DNA to what eventually became the trillions of cells of the human body. As the cells grew and divided, the genetic information encoded by the DNA directed our development (**Figure 1.7**).

The molecular structure of DNA accounts for its ability to store information. A DNA molecule is made up of two long chains, called strands, arranged in a double helix. Each chain is made up of four kinds of chemical building blocks called nucleotides, abbreviated A, T, C, and G (**Figure 1.8**). The way DNA encodes information is analogous to how we arrange the letters of the alphabet into words and phrases with specific meanings. The word *rat*, for example, evokes a rodent; the words *tar* and *art*, which contain the same letters, mean very different things. We can think of nucleotides as a four-letter alphabet. Specific sequences of these four nucleotides encode the information in genes.

DNA provides the blueprints for making proteins, which are the major players in building and maintaining the cell and



▲ **Figure 1.7** Inherited DNA directs development of an organism.



(a) **DNA double helix.** This model shows each atom in a segment of DNA. Made up of two long chains of building blocks called nucleotides, a DNA molecule takes the three-dimensional form of a double helix.

(b) **Single strand of DNA.** These geometric shapes and letters are simple symbols for the nucleotides in a small section of one chain of a DNA molecule. Genetic information is encoded in specific sequences of the four types of nucleotides. (Their names are abbreviated A, T, C, and G.)

▲ **Figure 1.8** DNA: The genetic material.

carrying out its activities. For instance, a particular bacterial gene may specify a certain enzyme protein required to assemble the cell membrane, while a human gene may denote an antibody protein that helps fight off infection.

Genes control protein production indirectly, using a related molecule called RNA as an intermediary. The sequence of nucleotides along a gene is transcribed into RNA, which is then translated into a specific protein with a unique shape and function. This entire process, by which the information in a gene directs the manufacture of a cellular product, is called **gene expression**.

In translating genes into proteins, all forms of life employ essentially the same genetic code: A particular sequence of nucleotides says the same thing in one organism as it does in another. Differences between organisms reflect differences between their nucleotide sequences rather than between their genetic codes.

Not all RNA molecules in the cell are translated into protein; some RNAs carry out other important tasks. For example, we have known for decades that some types of RNA are actually components of the cellular machinery that manufactures proteins. Recently, scientists have discovered whole new classes of RNA that play other roles in the cell, such as regulating the functioning of protein-coding genes. All these RNAs are specified by genes, and the production of these RNAs is also referred to as gene expression. By carrying the instructions for making proteins and RNAs and by replicating with each cell division, DNA ensures faithful inheritance of genetic information from generation to generation.

Genomics: Large-Scale Analysis of DNA Sequences

The entire “library” of genetic instructions that an organism inherits is called its **genome**. A typical human cell has two similar sets of chromosomes, and each set has approximately 3 billion nucleotide pairs of DNA. If the one-letter abbreviations for the nucleotides of one strand in a set were written in letters the size of those you are now reading, the genetic text would fill about 800 introductory biology textbooks.

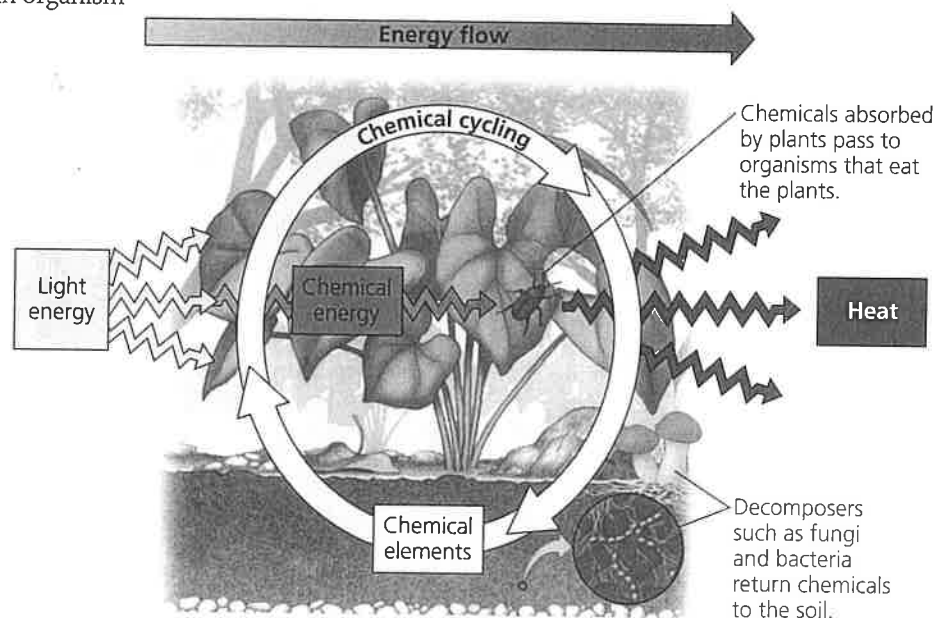
Since the early 1990s, the pace at which researchers can determine the sequence of a genome has accelerated at an almost unbelievable rate, enabled by a revolution in technology. The entire sequence of nucleotides in the human genome is now known, along with the genome sequences of many other organisms, including other animals and numerous plants, fungi, bacteria, and archaea. To make sense of the deluge of data from genome-sequencing projects and the growing catalog of known gene functions, scientists are applying a systems biology approach at

the cellular and molecular levels. Rather than investigating a single gene at a time, researchers study whole sets of genes in one or more species—an approach called **genomics**.

Three important research developments have made the genomic approach possible. One is “high-throughput” technology, tools that can analyze biological materials very rapidly. The second major development is **bioinformatics**, the use of computational tools to store, organize, and analyze the huge volume of data that results from high-throughput methods. The third key development is the formation of interdisciplinary research teams—melting pots of diverse specialists that may include computer scientists, mathematicians, engineers, chemists, physicists, and, of course, biologists from a variety of fields. Researchers in such teams aim to learn how the activities of all the proteins and non-translated RNAs encoded by the DNA are coordinated in cells and in whole organisms.

Theme: Life Requires the Transfer and Transformation of Energy and Matter

ENERGY AND MATTER Moving, growing, reproducing, and the various cellular activities of life are work, and work requires energy. Input of energy, primarily from the sun, and transformation of energy from one form to another make life possible (**Figure 1.9**). Chlorophyll molecules within plants’ leaves convert the energy of sunlight to the chemical energy of food, the sugars produced during photosynthesis (see Figure 1.3). The chemical energy in sugar is then passed along by plants and other photosynthetic organisms (producers) to consumers. Consumers are organisms, such as animals, that feed on producers and other consumers.



▲ Figure 1.9 Energy flow and chemical cycling. There is a one-way flow of energy in an ecosystem: During photosynthesis, plants convert energy from sunlight to chemical energy (stored in sugars), which is used by plants and other organisms to do work and is eventually lost from the ecosystem as heat. In contrast, chemicals cycle between organisms and the physical environment.

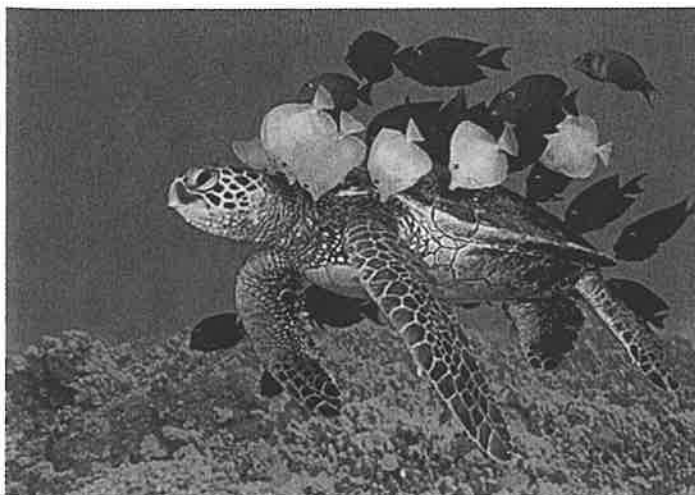
When an organism uses chemical energy to perform work, some of that energy is converted to thermal energy and is dissipated to the surroundings as heat. As a result, energy flows *through* an ecosystem, usually entering as light and exiting as heat. In contrast, chemical elements are recycled *within* an ecosystem (see Figure 1.9). Chemicals that a plant absorbs from the air or soil may be incorporated into the plant's body, then passed to an animal that eats the plant. Eventually, these chemicals will be returned to the environment by decomposers, such as bacteria and fungi, that break down waste products, organic debris, and the bodies of dead organisms. The chemicals are then available to be taken up by plants again, thereby completing the cycle.

Theme: Organisms Interact with Other Organisms and the Physical Environment

INTERACTIONS Turn again to Figure 1.3, this time focusing on the ecosystem, including the forest and its surroundings. Each organism interacts continuously with physical factors in its environment. The leaves of a tree, for example, absorb light from the sun, take in carbon dioxide from the air, and release oxygen to the air. The environment is also affected by the organisms living there. For example, a plant takes up water and minerals from the soil through its roots, and its roots break up rocks, thereby contributing to the formation of soil. On a global scale, plants and other photosynthetic organisms have generated all the oxygen in the atmosphere.

A tree also interacts with other organisms, such as soil microorganisms associated with its roots, insects that live in the tree, and animals that eat its leaves and fruit. Such interactions between organisms include those that are mutually beneficial (**Figure 1.10**); those in which one species benefits and the other is harmed (as when a lion kills and eats a zebra); and those in which both species are harmed (as when two plants compete for a soil resource that is in short supply). As we'll see, interactions between organisms not only affect the participants; they also affect how populations evolve over time.

▼ **Figure 1.10 An interaction between species that benefits both participants.** These surgeonfish feed on small organisms living on the sea turtle's skin. The sea turtle benefits from the removal of parasites, and the surgeonfish gain a meal and protection from enemies.



Evolution, the Core Theme of Biology

Having considered four of the unifying themes that run through this text, let's now turn to biology's core theme—evolution. Evolution makes sense of everything we know about living organisms. Life has been evolving on Earth for billions of years, resulting in a vast diversity of past and present organisms. But along with the diversity are many shared features. For example, while sea horses, jackrabbits, hummingbirds, crocodiles, and giraffes all look very different, their skeletons are basically similar. The scientific explanation for this unity and diversity—as well as for the adaptation of organisms to their environments—is evolution: the idea that the organisms living on Earth today are the modified descendants of common ancestors. In other words, we can explain traits shared by two organisms with the idea that they have descended from a common ancestor, and we can account for differences with the idea that heritable changes have occurred along the way. Many kinds of evidence support the occurrence of evolution and the theory that describes how it takes place. In the next section, we'll consider the fundamental concept of evolution in greater detail.

CONCEPT CHECK 1.1

1. For each biological level in Figure 1.3, write a sentence that includes components from the previous (lower) level of biological organization; for example: "A community consists of populations of the various species inhabiting a certain area."
2. Identify the theme or themes exemplified by (a) the sharp spines of a porcupine, (b) the development of a multicellular organism from a single fertilized egg, and (c) a hummingbird using sugar to power its flight.
3. **WHAT IF?** For each theme discussed in this section, give an example not mentioned in the text.

For suggested answers, see Appendix A.

CONCEPT 1.2

The Core Theme: Evolution accounts for the unity and diversity of life

EVOLUTION Diversity is a hallmark of life. To date, biologists have identified and named about 1.8 million species of organisms, and estimates of the number of living species range from about 10 million to over 100 million. The remarkably diverse forms of life on this planet arose by evolutionary processes. Before exploring the core theme of evolution further, let's first consider how biologists make sense of the great variety of life-forms on this planet.

Classifying the Diversity of Life: The Three Domains of Life

Humans have a tendency to group diverse items according to their similarities and relationships to each other. Following this inclination, biologists have long used careful

comparisons of form and function to classify life-forms into a hierarchy of increasingly inclusive groups. Consider, for example, the species known as the American black bear (*Ursus americanus*). Black bears belong to the same genus (*Ursus*) as the brown bear species and the polar bear species. Bringing together several similar genera forms a family, which in turn is a component of an order and then a class. For the black bear, this means being grouped with panda bears, raccoons, and others in the family Ursidae, with wolves in the order Carnivora, and with dolphins in the class Mammalia. These animals can be classified into still broader groupings: the phylum Chordata and the kingdom Animalia.

In the last few decades, new methods of assessing species relationships, especially comparisons of DNA sequences, have led to a reevaluation of the larger groupings. Although the reevaluation is ongoing, there is consensus among biologists that

the kingdoms of life, whatever their number, can be further grouped into three so-called domains: Bacteria, Archaea, and Eukarya (**Figure 1.11**).

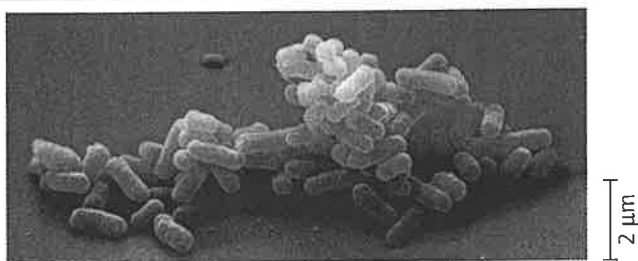
As you read earlier, the organisms making up two of the three domains—**Bacteria** and **Archaea**—are prokaryotic. All the eukaryotes (organisms with eukaryotic cells) are grouped in domain **Eukarya**. This domain includes three kingdoms of multicellular eukaryotes: Plantae, Fungi, and Animalia. These three kingdoms are distinguished partly by their modes of nutrition. Plants produce their own sugars and other food molecules by photosynthesis; fungi absorb dissolved nutrients from their surroundings; and animals obtain food by eating and digesting other organisms. Animalia is, of course, our own kingdom.

Unity in the Diversity of Life

As diverse as life is, it also displays remarkable unity. Earlier we mentioned both the similar skeletons of different vertebrate

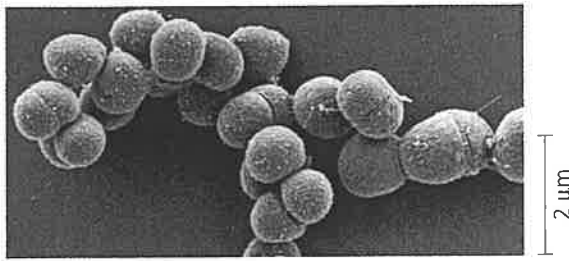
▼ **Figure 1.11 The three domains of life.**

(a) Domain Bacteria



Bacteria are the most diverse and widespread prokaryotes and are now classified into multiple kingdoms. Each rod-shaped structure in this photo is a bacterial cell.

(b) Domain Archaea



Some of the prokaryotes known as **archaea** live in Earth's extreme environments, such as salty lakes and boiling hot springs. Domain Archaea includes multiple kingdoms. Each round structure in this photo is an archaeal cell.

(c) Domain Eukarya



▲ **Kingdom Plantae** consists of terrestrial multicellular eukaryotes (land plants) that carry out photosynthesis, the conversion of light energy to the chemical energy in food.

► **Kingdom Fungi** is defined in part by the nutritional mode of its members (such as this mushroom), which absorb nutrients from outside their bodies.



◀ **Kingdom Animalia** consists of multicellular eukaryotes that ingest other organisms.

► **Protists** are mostly unicellular eukaryotes and some relatively simple multicellular relatives. Pictured here is an assortment of protists inhabiting pond water. Scientists are currently debating how to classify protists in a way that accurately reflects their evolutionary relationships.



animals and the universal genetic language of DNA (the genetic code). In fact, similarities between organisms are evident at all levels of the biological hierarchy.

How can we account for life's dual nature of unity and diversity? The process of evolution, explained next, illuminates both the similarities and differences in the world of life and introduces another dimension of biology: the passage of time.

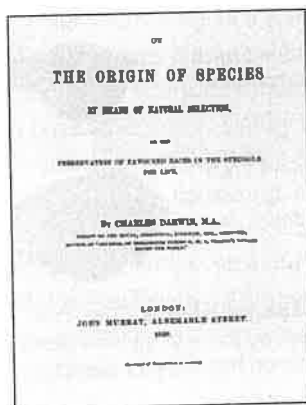
Charles Darwin and the Theory of Natural Selection

The history of life, as documented by fossils and other evidence, is the saga of a changing Earth billions of years old, inhabited by an evolving cast of living forms (**Figure 1.12**). This view of life came into sharp focus in November 1859, when Charles Robert Darwin published one of the most influential books ever written, *On the Origin of Species by Means of Natural Selection* (**Figure 1.13**).

► **Figure 1.12 Digging into the past.** Paleontologists carefully excavate the hind leg of a long-necked dinosaur (*Rapetosaurus krausei*) from rocks in Madagascar.



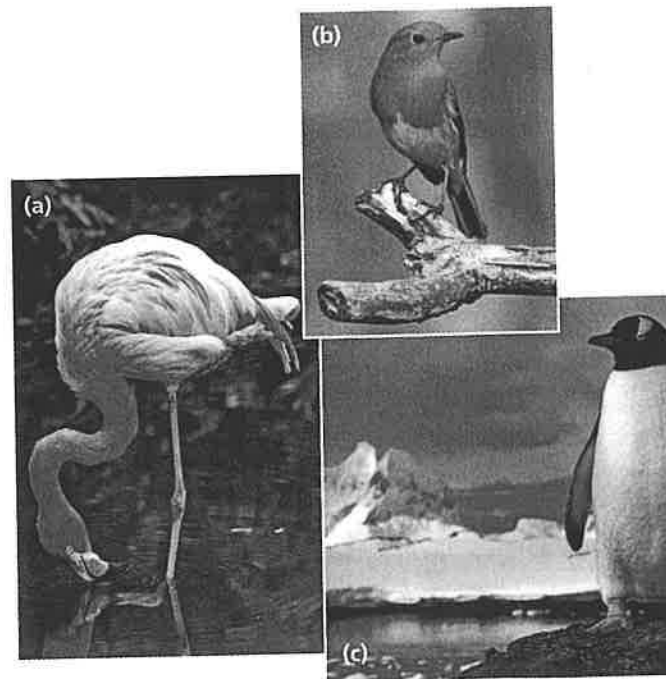
► **Figure 1.13 Charles Darwin as a young man.** His revolutionary book *On the Origin of Species* was first published in 1859.



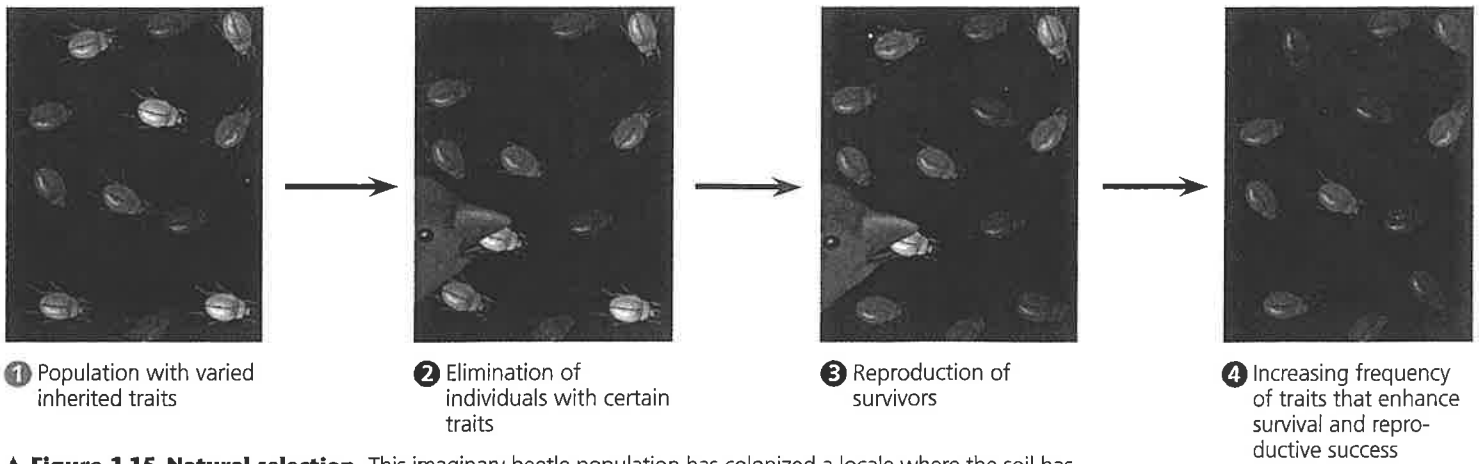
On the Origin of Species articulated two main points. The first was that species have arisen from a succession of ancestors that differed from them. Darwin called this process “descent with modification.” It was an insightful phrase, as it captured the duality of life's unity and diversity—unity in the kinship among species that descended from common ancestors, diversity in the modifications that evolved as species branched from their common ancestors (**Figure 1.14**). Darwin's second main point was his proposal that “natural selection” is a mechanism for descent with modification.

Darwin developed his theory of natural selection from observations that by themselves were not revolutionary. Others had described the pieces of the puzzle, but Darwin saw how they fit together. He started with the following three observations from nature: First, individuals in a population vary in their traits, many of which seem to be heritable, passed on from parents to offspring. Second, a population can produce far more offspring than can survive to produce offspring of their own. Competition is thus inevitable. Third, species generally are suited to their environments—in other words, they are adapted to their environments. For instance, various birds that feed on hard seeds tend to have especially strong beaks.

Darwin inferred that individuals with inherited traits that are better suited to the local environment are more likely to survive and reproduce than are less well-suited individuals. As a result, over many generations, a higher and higher proportion of individuals in a population will have the advantageous traits. Darwin called this mechanism of evolutionary



▲ **Figure 1.14 Unity and diversity among birds.** These three birds are variations on a common body plan. For example, each has feathers, a beak, and wings, but these features are highly specialized to the birds' diverse lifestyles.



▲ **Figure 1.15 Natural selection.** This imaginary beetle population has colonized a locale where the soil has been blackened by a recent brush fire. Initially, the population varies extensively in the inherited coloration of the individuals, from very light gray to charcoal. For birds that prey on the beetles, it is easiest to spot the lighter ones.

adaptation **natural selection** because the natural environment “selects” for the propagation of certain traits among naturally occurring variant traits in the population (**Figure 1.15**).

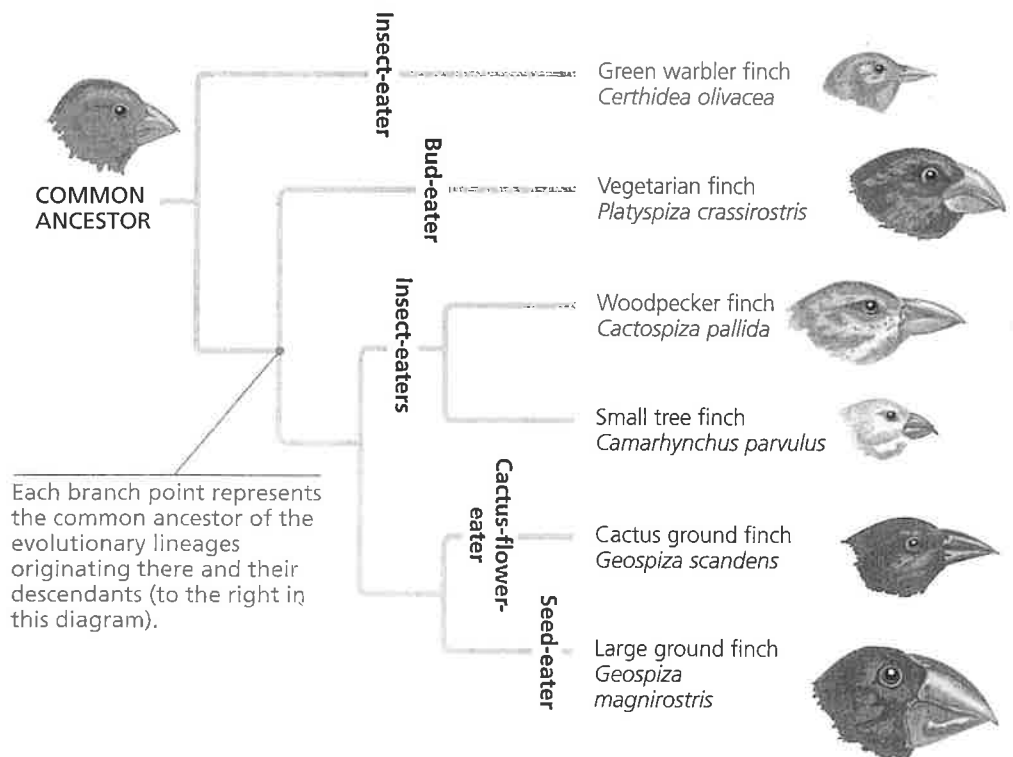
The Tree of Life

For another example of unity and diversity, consider the human arm. Your forelimb has the same bones, joints, nerves, and blood vessels found in other limbs as diverse as the foreleg of a horse, the flipper of a whale, and the wing of a bat. Indeed, all mammalian forelimbs are anatomical variations of a common architecture. According to the Darwinian concept of descent with modification, the shared anatomy of mammalian limbs reflects inheritance of the limb structure from a common ancestor—the “prototype” mammal from which all other mammals descended. The diversity of mammalian forelimbs results from modification by natural selection operating over millions of years in different environmental contexts.

Darwin proposed that natural selection, by its cumulative effects over time, could cause an ancestral species to give rise to two or more descendant species. This could occur, for example, if one population of organisms fragmented into several subpopulations isolated in different environments. In these separate arenas of natural selection, a species could gradually radiate into multiple species as the geographically isolated populations adapted over many generations to different environmental conditions.

The “family tree” of six finch species in **Figure 1.16** illustrates a famous example of this process of radiation.

Darwin collected specimens of these birds during his 1835 visit to the remote Galápagos Islands, 900 kilometers (km) off the Pacific coast of South America. The Galápagos finches are believed to have descended from an ancestral finch species that reached the archipelago from South America or the Caribbean. Over time, the Galápagos finches diversified from their ancestor as they adapted to different food sources on the various islands. Years after Darwin collected the finches, researchers began to sort out their evolutionary relationships, first from anatomical and geographic data and more recently using DNA sequence comparisons.



▲ **Figure 1.16 Descent with modification: finches on the Galápagos Islands.** This “tree” diagram illustrates a current model for the evolutionary relationships among some of the finches on the Galápagos. Note the different beaks, which are adapted to food sources on the different islands.

Biologists' diagrams of such evolutionary relationships generally take tree-like forms, though the trees are often turned sideways as in Figure 1.16. Tree diagrams make sense: Just as an individual has a genealogy that can be diagrammed as a family tree, each species is one twig of a branching tree of life extending back in time through ancestral species more and more remote. Species that are very similar, such as the Galápagos finches, share a relatively recent common ancestor. But through an ancestor that lived much farther back in time, finches are related to sparrows, hawks, penguins, and all other birds. And birds, mammals, and all other vertebrates share a common ancestor even more ancient. Trace life back far enough, and we reach the early prokaryotes that inhabited Earth 3.5 billion years ago. We can recognize their vestiges in our own cells—in the universal genetic code, for example. Indeed, all of life is connected through its long evolutionary history.

CONCEPT CHECK 1.2

1. How is a mailing address analogous to biology's hierarchical classification system?
2. Explain why "editing" is an appropriate metaphor for how natural selection acts on a population's heritable variation.
3. **WHAT IF?** Recent evidence indicates that fungi and animals are more closely related to each other than either of these kingdoms is to plants. Draw a simple branching pattern that symbolizes the proposed relationship between these three kingdoms of multicellular eukaryotes.

For suggested answers, see Appendix A.

CONCEPT 1.3

Biological inquiry entails forming and testing hypotheses based on observations of nature

The word *science* is derived from a Latin verb meaning "to know." **Science** is a way of knowing—an approach to understanding the natural world. It developed out of our human curiosity about ourselves, other life-forms, our planet, and the universe. Striving to make sense of our experiences seems to be one of our basic urges.

At the heart of science is **inquiry**, a search for information and explanations of natural phenomena. There is no formula for successful scientific inquiry, no single scientific method that researchers must rigidly follow. As in all quests, science includes elements of challenge, adventure, and luck, along with careful planning, reasoning, creativity, patience, and the persistence to overcome setbacks. Such diverse elements of inquiry make science far less structured than most people realize. That said, it is possible to distill certain characteristics that help to distinguish science from other ways of describing and explaining nature.

Scientists use a process of inquiry that includes making observations, forming logical hypotheses, and testing them. The process is necessarily repetitive: In testing a hypothesis, our observations may lead to conclusions that inspire revision of the original hypothesis or formation of a new one, thus leading to further testing. In this way, scientists circle closer and closer to their best estimation of the laws governing nature.

Making Observations

In the course of their work, scientists describe natural structures and processes as accurately as possible through careful observation and analysis of data. Observation is the use of the senses to gather information either directly or indirectly, such as with the help of microscopes or other tools that extend our senses. Recorded observations are called **data**. Put another way, data are items of information on which scientific inquiry is based.

The term *data* implies numbers to many people. But some data are *qualitative*, often in the form of recorded descriptions. For example, British primate researcher Jane Goodall spent decades recording her observations of chimpanzee behavior during field research in a Tanzanian jungle (**Figure 1.17**). She also documented her observations with photographs and movies. Along with these qualitative data, Goodall also gathered and recorded volumes of *quantitative* data, a type of information generally expressed as numerical measurements and often organized into tables or graphs.

Collecting and analyzing observations can lead to important conclusions based on a type of logic called **inductive reasoning**. Through induction, we derive generalizations from a large number of specific observations. The generalization "All



▲ **Figure 1.17 Jane Goodall collecting qualitative data on chimpanzee behavior.** Goodall recorded her observations in field notebooks, often with sketches of the animals' behavior.

organisms are made of cells” was based on two centuries of microscopic observations made by biologists examining cells in diverse biological specimens. Careful observations and data analyses, along with the generalizations reached by induction, are fundamental to our understanding of nature.

Forming and Testing Hypotheses

Our innate curiosity often stimulates us to pose questions about the natural basis for the phenomena we observe in the world. What *caused* the diversification of finches on the Galápagos Islands? What *explains* the variation in coat color among mice of a single species, such as the beach and inland mice pictured in Figures 1.1 and 1.2? In science, answering such questions usually involves proposing and testing hypothetical explanations—that is, hypotheses.

In science, a **hypothesis** is a tentative answer to a well-framed question; it is an explanation on trial. The hypothesis is usually a rational accounting for a set of observations, based on the available data and guided by inductive reasoning. A scientific hypothesis leads to predictions that can be tested by making additional observations or by performing experiments.

We all use hypotheses in solving everyday problems. Let’s say, for example, that your flashlight fails during a camp-out. That’s an observation. The question is obvious: Why doesn’t the flashlight work? Two reasonable hypotheses based on your experience are that (1) the batteries in the flashlight are dead or (2) the bulb is burnt out. Each of these alternative hypotheses leads to predictions you can test with experiments. For example, the dead-battery hypothesis predicts that replacing the batteries will fix the problem. Figuring things out in this way by trial and error is a hypothesis-based approach.

Deductive Reasoning

A type of logic called deduction is also built into the use of hypotheses in science. While induction entails reasoning from a set of specific observations to reach a general conclusion, **deductive reasoning** involves logic that flows in the opposite direction, from the general to the specific. From general premises, we extrapolate to the specific results we should expect if the premises are true. In the scientific process, deductions usually take the form of predictions of results that will be found if a particular hypothesis (premise) is correct. We then test the hypothesis by carrying out experiments or observations to see whether or not the results are as predicted. This deductive testing takes the form of “*If . . . then*” logic. In the case of the flashlight example: *If* the dead-battery hypothesis is correct, *then* the flashlight should work when you replace the batteries with new ones.

The flashlight inquiry demonstrates two other key points about the use of hypotheses in science. First, the initial observations may give rise to multiple hypotheses. The ideal is to design experiments to test all these candidate explanations. For instance, another of the many possible alternative hypotheses to explain our dead flashlight is that *both* the batteries *and*

the bulb are bad, and you could design an experiment to test this. Second, we can never *prove* that a hypothesis is true. The dead-battery hypothesis stands out as the most likely explanation, but testing supports that hypothesis *not* by proving that it is correct, but rather by not eliminating it through falsification (proving it false). Replacing the batteries might have fixed the flashlight, but perhaps the endpiece had simply not been screwed on tight enough in the first place. No amount of experimental testing can prove a hypothesis beyond a shadow of doubt, because it is impossible to test *all* alternative hypotheses. A hypothesis gains credibility by surviving multiple attempts to falsify it while alternative hypotheses are eliminated (falsified) by testing.

Questions That Can and Cannot Be Addressed by Science

Scientific inquiry is a powerful way to learn about nature, but there are limitations to the kinds of questions it can answer. A scientific hypothesis must be falsifiable; there must be some observation or experiment that could reveal if such an idea is actually *not* true. The hypothesis that dead batteries are the sole cause of the broken flashlight could be falsified by replacing the old batteries with new ones and finding that the flashlight still doesn’t work.

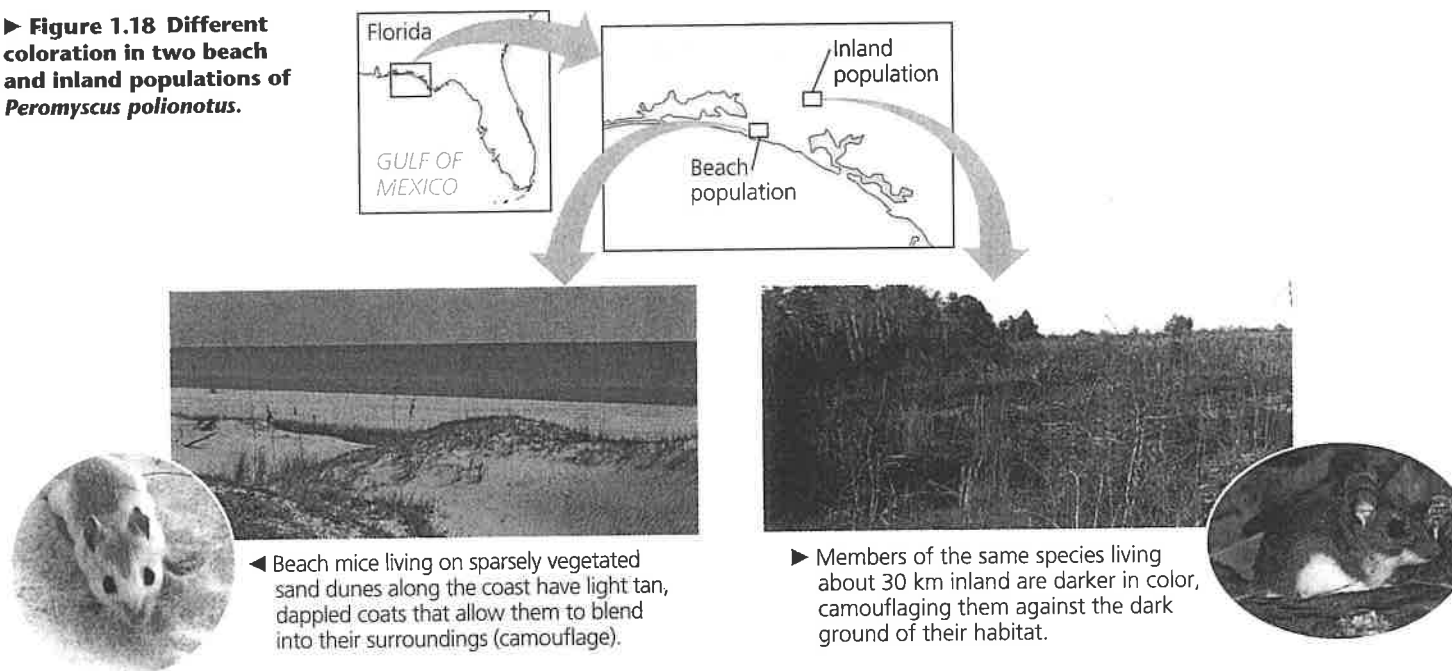
Not all hypotheses meet the criteria of science: You wouldn’t be able to falsify the hypothesis that invisible campground ghosts are fooling with your flashlight! Because science requires natural explanations for natural phenomena, it can neither support nor falsify hypotheses that angels, ghosts, or spirits, whether benevolent or evil, cause storms, rainbows, illnesses, and cures. Such supernatural explanations are simply outside the bounds of science, as are religious matters, which are issues of personal faith.

A Case Study in Scientific Inquiry: Investigating Coat Coloration in Mouse Populations

Now that we have highlighted the key features of scientific inquiry—making observations and forming and testing hypotheses—you should be able to recognize these features in a case study of actual scientific research.

The story begins with a set of observations and inductive generalizations. Color patterns of animals vary widely in nature, sometimes even between members of the same species. What accounts for such variation? As you may recall, the two mice depicted at the beginning of this chapter are members of the same species (*Peromyscus polionotus*), but they reside in very different habitats. Beach mice live along the ocean on white sand dunes, whereas “inland” mice live on darker, loamy soil away from the shore (**Figure 1.18**). Even a brief glance at the photographs in Figure 1.18 reveals a striking match of mouse coloration to environment. The natural predators of these mice, including hawks, owls, foxes, and coyotes, are all visual hunters

► **Figure 1.18** Different coloration in two beach and inland populations of *Peromyscus polionotus*.



(they use their eyes to look for prey). It was logical, therefore, for Francis Bertody Sumner, a naturalist studying populations of these mice in the 1920s, to hypothesize that their color patterns had evolved as adaptations that camouflage the mice in their native environments, protecting them from predation.

As obvious as the camouflage hypothesis may seem, it still required testing. In 2010, biologist Hopi Hoekstra of Harvard University and a group of her students headed to Florida to test the prediction that mice with coloration that did not match their habitat would be preyed on more heavily than the native, well-matched mice. **Figure 1.19** summarizes this field experiment, introducing a format we will use throughout the book to walk through other examples of biological inquiry.

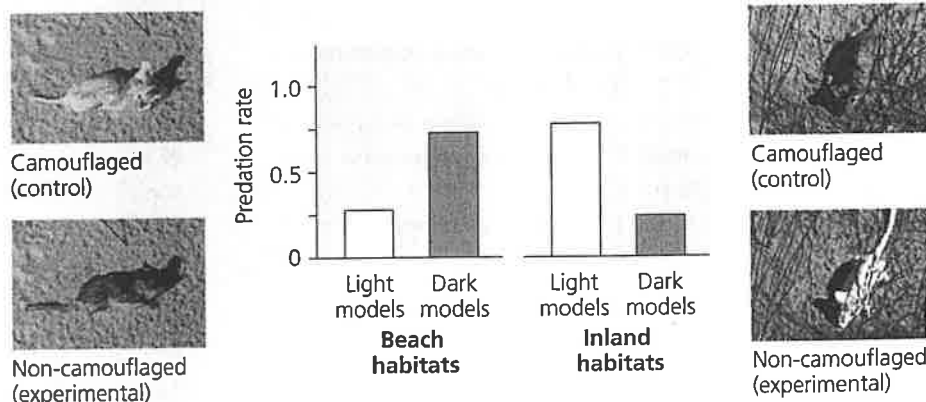
The researchers built hundreds of models of mice and spray-painted them to resemble either beach or inland mice, so that the models differed only in their color patterns. The researchers placed equal numbers of these model mice randomly in both habitats and left them overnight. The mouse models resembling the native

▼ **Figure 1.19** Inquiry

Does camouflage affect predation rates on two populations of mice?

Experiment Hopi Hoekstra and colleagues tested the hypothesis that coloration of beach and inland populations of oldfield mice (*Peromyscus polionotus*) provides camouflage that protects them from predation in their respective habitats. The researchers made mouse models with either light or dark color patterns that matched those of the beach and inland mice, then placed models with both patterns in each of the habitats. The next morning, they counted damaged or missing mice.

Results The researchers calculated the proportion of attacked mice that were camouflaged or non-camouflaged for each habitat. In both cases, the mice whose pattern did not match their surroundings suffered a much higher predation rate than did the camouflaged mice.



Conclusion The results do not falsify the researchers' prediction that mouse models with camouflage coloration would be preyed on less often than non-camouflaged mouse models. Thus, the experiment supports the camouflage hypothesis.

Source S. N. Vignieri, J. G. Larson, and H. E. Hoekstra, The selective advantage of crypsis in mice, *Evolution* 64:2153–2158 (2010).

WHAT IF? If you found a habitat with reddish, iron-rich soil, what would you predict with respect to the coat color of resident mice? What prediction would you make about the predation rate on beach mice and inland mice if you placed them in this new habitat?

mice in the habitat were the *control* group (for instance, light-colored beach mice in the dune habitat), while the mice with the non-native coloration were the *experimental* group (for example, the darker inland mice in the dunes). The following morning, the team counted and recorded signs of predation events, which ranged from bites and gouge marks on some models to the outright disappearance of others. Judging by the shape of the predators' bites and the tracks surrounding the experimental sites, the predators appeared to be split fairly evenly between mammals (such as foxes and coyotes) and birds (such as owls, herons, and hawks).

For each environment, the researchers then calculated the fraction of predation events that targeted camouflaged mice. The results were clear-cut: Camouflaged mice showed much lower predation rates than those lacking camouflage in both the dune habitat (where light mice were less vulnerable) and the inland habitat (where dark mice were less vulnerable). The data thus fit the key prediction of the camouflage hypothesis.

Experimental Controls

The mouse camouflage experiment described in Figure 1.19 is an example of a **controlled experiment**, one that is designed to compare an experimental group (the non-camouflaged mice, in this case) with a control group (the camouflaged mice normally resident in that area). Ideally, the experimental and control groups differ only in the one factor the experiment is designed to test—in our example, the effect of mouse coloration on the behavior of predators. Without the control group, the researchers would not have been able to rule out other factors as causes of the more frequent attacks on the non-camouflaged mice—such as different numbers of predators or different temperatures in the different test areas. The clever experimental design left coloration as the only factor that could account for the low predation rate on the camouflaged mice placed in their normal environment. It was not the absolute number of attacks on the non-camouflaged mice that counted, but the difference between that number and the number of attacks on the camouflaged mice.

A common misconception is that the term *controlled experiment* means that scientists control the experimental environment to keep everything constant except the one variable being tested. But that's impossible in field research and not realistic even in highly regulated laboratory environments. Researchers usually "control" unwanted variables not by *eliminating* them by regulating the environment, but by *cancelling out* their effects using control groups.

Theories in Science

"It's just a theory!" Our everyday use of the term *theory* often implies an untested speculation. But the term *theory* has a different meaning in science. What is a scientific theory, and how is it different from a hypothesis or from mere speculation?

First, a scientific **theory** is much broader in scope than a hypothesis. *This* is a hypothesis: "A match of the coloration of a

mouse's coat to its environment is an adaptation that protects mice from predators." But *this* is a theory: "Evolutionary adaptations arise by natural selection." Darwin's theory of natural selection accounts for an enormous diversity of adaptations, of which coat color in mice is one example.

Second, a theory is general enough to spin off many new, testable hypotheses. For example, the theory of natural selection motivated two researchers at Princeton University, Peter and Rosemary Grant, to test the specific hypothesis that the beaks of Galápagos finches evolve in response to changes in the types of available food. (For the results, see the Chapter 21 Overview.)

And third, compared to any one hypothesis, a theory is generally supported by a much greater body of evidence. Those theories that become widely adopted in science (such as the theory of natural selection) explain a great diversity of observations and are supported by a vast accumulation of evidence.

In spite of the body of evidence supporting a widely accepted theory, scientists must sometimes modify or even reject theories when new research methods produce results that don't fit. For example, biologists once lumped bacteria and archaea together as a kingdom of prokaryotes. When new methods for comparing cells and molecules could be used to test such relationships, the evidence led scientists to reject the theory that bacteria and archaea are members of the same kingdom. If there is "truth" in science, it is conditional, based on the weight of available evidence.

Science as a Social Process: Community and Diversity

The great scientist Sir Isaac Newton once said: "To explain all nature is too difficult a task for any one man or even for any one age. 'Tis much better to do a little with certainty, and leave the rest for others that come after you. . . ." Anyone who becomes a scientist, driven by curiosity about nature, is sure to benefit from the rich storehouse of discoveries by others who have come before. In fact, while movies and cartoons sometimes portray scientists as loners working in isolated labs, science is an intensely social activity. Most scientists work in teams, which often include graduate and undergraduate students (**Figure 1.20**).



▲ **Figure 1.20 Science as a social process.** Lab members help each other interpret data, troubleshoot experiments, and plan future research.

Science is rarely perfectly objective, but it is continuously vetted through the expectation that observations and experiments be repeatable and hypotheses be falsifiable. Scientists working in the same research field often check one another's claims by attempting to confirm observations or repeat experiments. In fact, Hopi Hoekstra's experiment benefited from the work of another researcher, D. W. Kaufman, 40 years earlier. You can study the design of Kaufman's experiment and interpret the results in the **Scientific Skills Exercise**.

If experimental results cannot be repeated by scientific colleagues, this failure may reflect some underlying weakness in the original claim, which will then have to be revised. In this sense, science polices itself. Integrity and adherence to high professional standards in reporting results are central to the scientific endeavor. After all, the validity of experimental data is key to designing further lines of inquiry.

Biologists may approach questions from different angles. Some biologists focus on ecosystems, while others study natural phenomena at the level of organisms or cells. This text is

divided into units that focus on biology at different levels. Yet any given problem can be addressed from many perspectives, which in fact complement each other. For example, Hoekstra's work uncovered at least one genetic mutation that underlies the differences between beach and inland mouse coloration. Her lab includes biologists with different specialties, allowing discoveries on topics that range from evolutionary adaptations to their molecular basis in DNA.

The research community is part of society at large. The relationship of science to society becomes clearer when we add technology to the picture. The goal of **technology** is to *apply* scientific knowledge for some specific purpose. Because scientists put new technology to work in their research, science and technology are interdependent.

In centuries past, many major technological innovations originated along trade routes, where a rich mix of different cultures ignited new ideas. For example, the printing press was invented by Johannes Gutenberg around 1440, living in what is now Germany. This invention relied on several innovations from China,

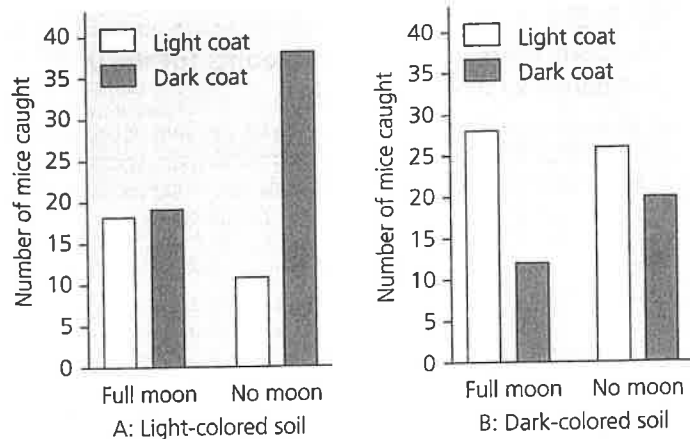
Scientific Skills Exercise

Interpreting a Pair of Bar Graphs

How Much Does Camouflage Affect Predation on Mice by Owls with and without Moonlight? Nearly half a century ago, D. W. Kaufman investigated the effect of prey camouflage on predation. Kaufman tested the hypothesis that the amount of contrast between the coat color of a mouse and the color of its surroundings would affect the rate of nighttime predation by owls. He also hypothesized that the color contrast would be affected by the amount of moonlight. In this exercise, you will analyze data from his owl-mouse predation studies.

How the Experiment Was Done Pairs of mice (*Peromyscus polionotus*) with different coat colors, one light brown and one dark brown, were released simultaneously into an enclosure that contained a hungry owl. The researcher recorded the color of the mouse that was first caught by the owl. If the owl did not catch either mouse within 15 minutes, the test was recorded as a zero. The release trials were repeated multiple times in enclosures with either a dark-colored soil surface or a light-colored soil surface. The presence or absence of moonlight during each assay was recorded.

Data from the Experiment



Interpret the Data

- First, make sure you understand how the graphs are set up. Graph A shows data from the light-colored soil enclosure and Graph B from the dark-colored enclosure, but in all other respects the graphs are the same. (a) There is more than one independent variable in these graphs. What are the independent variables, the variables that were tested by the researcher? Which axis of the graphs has the independent variables? (b) What is the dependent variable, the response to the variables being tested? Which axis of the graphs has the dependent variable?
- (a) How many dark brown mice were caught in the light-colored soil enclosure on a moonlit night? (b) How many dark brown mice were caught in the dark-colored soil enclosure on a moonlit night? (c) On a moonlit night, would a dark brown mouse be more likely to escape predation by owls on dark- or light-colored soil? Explain your answer.
- (a) Is a dark brown mouse on dark-colored soil more likely to escape predation under a full moon or with no moon? (b) A light brown mouse on light-colored soil? Explain.
- (a) Under which conditions would a dark brown mouse be most likely to escape predation at night? (b) A light brown mouse?
- (a) What combination of independent variables led to the highest predation level in enclosures with light-colored soil? (b) What combination of independent variables led to the highest predation level in enclosures with dark-colored soil? (c) What relationship, if any, do you see in your answers to parts (a) and (b)?
- What conditions are most deadly for both colors of mice?
- Combining the data shown in both graphs, estimate the total number of mice caught in moonlight versus no-moonlight conditions. Which condition is optimal for predation by the owl on mice? Explain your answer.

Data from D. W. Kaufman, Adaptive coloration in *Peromyscus polionotus*: Experimental selection by owls, *Journal of Mammalogy* 55:271–283 (1974).

A version of this Scientific Skills Exercise can be assigned in MasteringBiology.

including paper and ink, and from Iraq, where technology was developed for the mass production of paper. Like technology, science stands to gain much from embracing a diversity of backgrounds and viewpoints among its practitioners.

The scientific community reflects the customs and behaviors of society at large. It is therefore not surprising that until recently, women and certain minorities have faced huge obstacles in their pursuit to become professional scientists. Over the past 50 years, changing attitudes about career choices have increased the proportion of women in biology and several other sciences, and now women constitute roughly half of undergraduate biology majors and biology Ph.D. students. The pace has been slow at higher levels in the profession, however, and women and many racial and ethnic groups are still significantly

underrepresented in many branches of science. This lack of diversity hampers the progress of science. The more voices that are heard at the table, the more robust and productive the scientific conversation will be. The authors of this textbook welcome all students to the community of biologists, wishing you the joys and satisfactions of this exciting field of science.

CONCEPT CHECK 1.3

1. Contrast inductive reasoning with deductive reasoning.
2. What variable was tested in Hoekstra's mouse experiment?
3. Why is natural selection called a theory rather than a hypothesis?
4. How does science differ from technology?

For suggested answers, see Appendix A.

1 Chapter Review

SUMMARY OF KEY CONCEPTS

CONCEPT 1.1

Studying the diverse forms of life reveals common themes (pp. 2–7)

Theme: Organization

- The hierarchy of life unfolds as follows: biosphere > ecosystem > community > population > organism > organ system > organ > tissue > cell > organelle > molecule > atom. With each step up, new properties emerge (**emergent properties**) as a result of interactions among components at the lower levels.
- Structure and function are correlated at all levels of biological organization. The cell is the lowest level of organization that can perform all activities required for life. Cells are either prokaryotic or eukaryotic. **Eukaryotic cells** have a DNA-containing nucleus and other membrane-enclosed organelles. **Prokaryotic cells** lack such organelles.

Theme: Information

- Genetic information is encoded in the nucleotide sequences of **DNA**. It is DNA that transmits heritable information from parents to offspring. DNA sequences (called **genes**) program a cell's protein production by being transcribed into RNA and then translated into specific proteins, a process called **gene expression**. Gene expression also produces RNAs that are not translated into protein but serve other important functions.

Theme: Energy and Matter

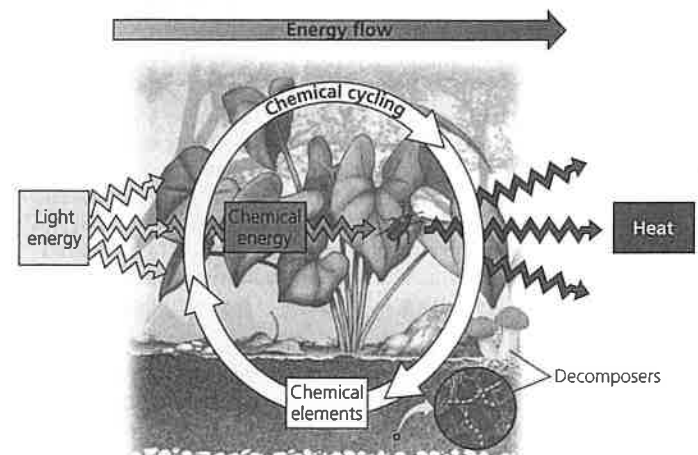
- Energy flows through an ecosystem. All organisms must perform work, which requires energy. Producers convert energy from sunlight to chemical energy, some of which is then passed on to consumers (the rest is lost from the ecosystem as heat). Chemicals cycle between organisms and the environment.

Theme: Interactions

- Organisms interact continuously with physical factors. Plants take up nutrients from the soil and chemicals from the air and use energy from the sun. Interactions among plants, animals, and other organisms affect the participants in varying ways.

Core Theme: Evolution

- Evolution accounts for the unity and diversity of life and also for the match of organisms to their environments.

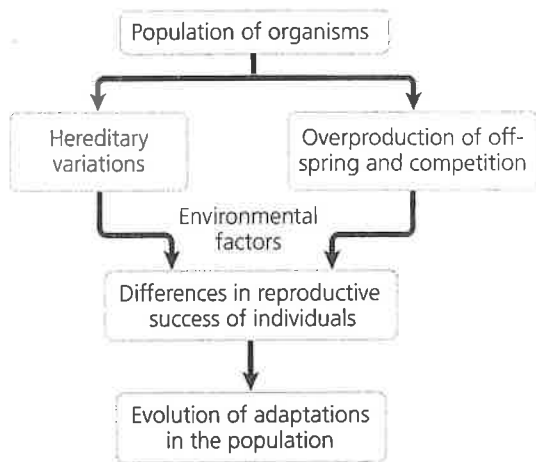


? Thinking about the muscles and nerves in your hand, how does the activity of text messaging reflect the five unifying themes of biology described in this chapter?

CONCEPT 1.2

The Core Theme: Evolution accounts for the unity and diversity of life (pp. 7–11)

- Biologists classify species according to a system of broader and broader groups. Domain **Bacteria** and domain **Archaea** consist of prokaryotes. Domain **Eukarya**, the eukaryotes, includes various groups of protists as well as plants, fungi, and animals. As diverse as life is, there is also evidence of remarkable unity, which is revealed in the similarities between different kinds of organisms.
- Darwin proposed **natural selection** as the mechanism for evolutionary adaptation of populations to their environments. Each species is one twig of a branching tree of life extending back in time through ancestral species more and more remote. All of life is connected through its long evolutionary history.



? How could natural selection have led to the evolution of adaptations such as camouflaging coat color in beach mice?

CONCEPT 1.3

Biological inquiry entails forming and testing hypotheses based on observations of nature (pp. 11–16)

- In scientific **inquiry**, scientists make observations (collect **data**) and use **inductive reasoning** to draw a general conclusion, which can be developed into a testable **hypothesis**. **Deductive reasoning** makes predictions that can be used to test hypotheses. Scientific hypotheses must be falsifiable.
- **Controlled experiments**, such as the study investigating coat color in mouse populations, are designed to demonstrate the effect of one variable by testing control groups and experimental groups that differ in only that one variable.
- A scientific **theory** is broad in scope, generates new hypotheses, and is supported by a large body of evidence.
- Scientists must be able to repeat each other's results, so integrity is key. Biologists approach questions at different levels; their approaches complement each other. **Technology** is a method or device that applies scientific knowledge for some specific purpose that affects society as well as for scientific research. Diversity among scientists promotes progress in science.

? What are the roles of inductive and deductive reasoning in scientific inquiry?

TEST YOUR UNDERSTANDING

Level 1: Knowledge/Comprehension

- All the organisms on your campus make up
 - an ecosystem.
 - a community.
 - a population.
 - an experimental group.
 - a domain.
- Which of the following best demonstrates the unity among all organisms?
 - identical DNA sequences
 - descent with modification
 - the structure and function of DNA
 - natural selection
 - emergent properties
- A controlled experiment is one that
 - proceeds slowly enough that a scientist can make careful records of the results.
 - tests experimental and control groups in parallel.
 - is repeated many times to make sure the results are accurate.
 - keeps all variables constant.
 - is supervised by an experienced scientist.

- keeps all variables constant.
 - is supervised by an experienced scientist.
- Which of the following statements best distinguishes hypotheses from theories in science?
 - Theories are hypotheses that have been proved.
 - Hypotheses are guesses; theories are correct answers.
 - Hypotheses usually are relatively narrow in scope; theories have broad explanatory power.
 - Hypotheses and theories are essentially the same thing.
 - Theories are proved true; hypotheses are often falsified.

Level 2: Application/Analysis

- Which of the following is an example of qualitative data?
 - The temperature decreased from 20°C to 15°C.
 - The plant's height is 25 centimeters (cm).
 - The fish swam in a zigzag motion.
 - The six pairs of robins hatched an average of three chicks.
 - The contents of the stomach are mixed every 20 seconds.
- Which of the following best describes the logic of scientific inquiry?
 - If I generate a testable hypothesis, tests and observations will support it.
 - If my prediction is correct, it will lead to a testable hypothesis.
 - If my observations are accurate, they will support my hypothesis.
 - If my hypothesis is correct, I can expect certain test results.
 - If my experiments are set up right, they will lead to a testable hypothesis.
- DRAW IT** With rough sketches, draw a biological hierarchy similar to the one in Figure 1.3 but using a coral reef as the ecosystem, a fish as the organism, its stomach as the organ, and DNA as the molecule. Include all levels in the hierarchy.

Level 3: Synthesis/Evaluation

- SCIENTIFIC INQUIRY**
Based on the results of the mouse coloration case study, suggest another hypothesis to extend the investigation.
- FOCUS ON EVOLUTION**
In a short essay (100–150 words), discuss Darwin's view of how natural selection resulted in both unity and diversity of life on Earth. Include in your discussion some of his evidence. (A suggested grading rubric and tips for writing good essays can be found in the Study Area of MasteringBiology.)
- FOCUS ON INFORMATION**
A typical prokaryotic cell has about 3,000 genes in its DNA, while a human cell has about 20,500 genes. About 1,000 of these genes are present in both types of cells. Based on your understanding of evolution, explain how such different organisms could have this same subset of genes. What sorts of functions might these shared genes have?

For selected answers, see Appendix A.

MasteringBiology®

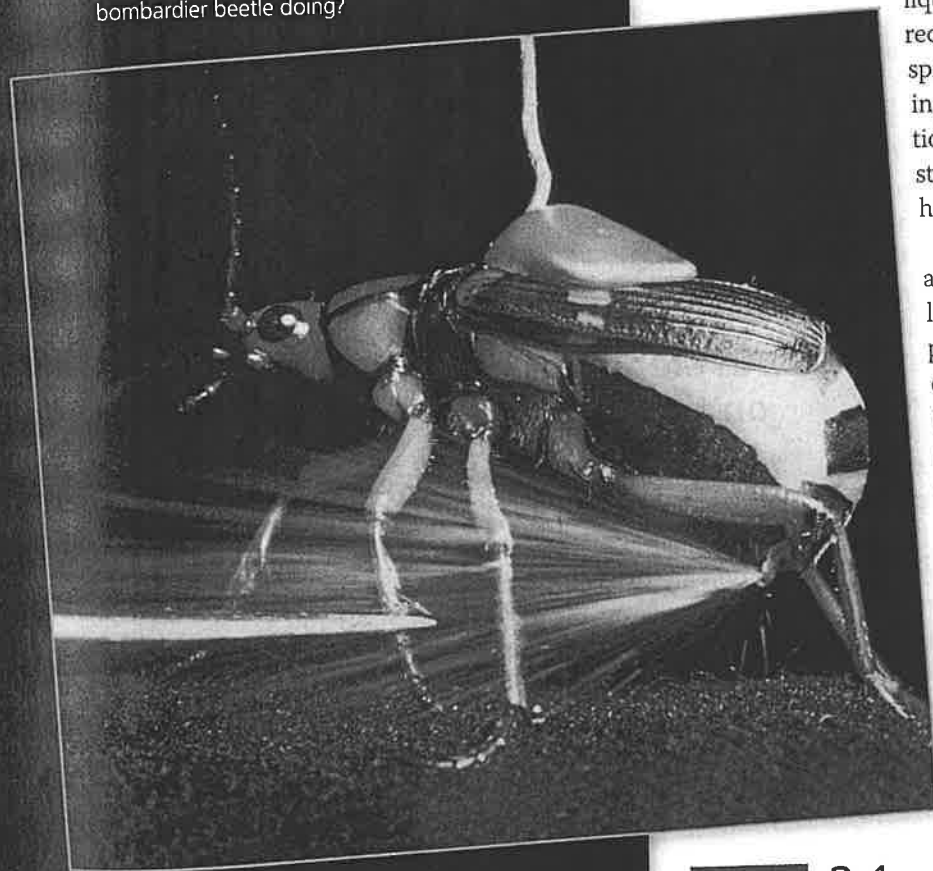
Students Go to **MasteringBiology** for assignments, the eText, and the Study Area with practice tests, animations, and activities.

Instructors Go to **MasteringBiology** for automatically graded tutorials and questions that you can assign to your students, plus Instructor Resources.

2

The Chemical Context of Life

▼ **Figure 2.1** What is this bombardier beetle doing?



KEY CONCEPTS

- 2.1 Matter consists of chemical elements in pure form and in combinations called compounds
- 2.2 An element's properties depend on the structure of its atoms
- 2.3 The formation and function of molecules depend on chemical bonding between atoms
- 2.4 Chemical reactions make and break chemical bonds
- 2.5 Hydrogen bonding gives water properties that help make life possible on Earth

OVERVIEW

A Chemical Connection to Biology

Like other animals, beetles have structures and mechanisms that defend them from attack. The soil-dwelling bombardier beetle (**Figure 2.1**) has a particularly effective mechanism for dealing with the ants that plague it. Upon detecting an ant on its body, the beetle ejects a spray of boiling hot

liquid from glands in its abdomen, aiming the spray directly at the ant. (In the photograph, the beetle aims its spray at a scientist's forceps.) The spray contains irritating chemicals that are generated at the moment of ejection by the explosive reaction of two sets of chemicals stored separately in the glands. The reaction produces heat and an audible pop.

Research on the bombardier beetle is only one example of the relevance of chemistry to the study of life. Unlike a list of college courses, nature is not neatly packaged into the individual natural sciences—biology, chemistry, physics, and so forth. Biologists specialize in the study of life, but organisms and their environments are natural systems to which the concepts of chemistry and physics apply. Biology is a multidisciplinary science.

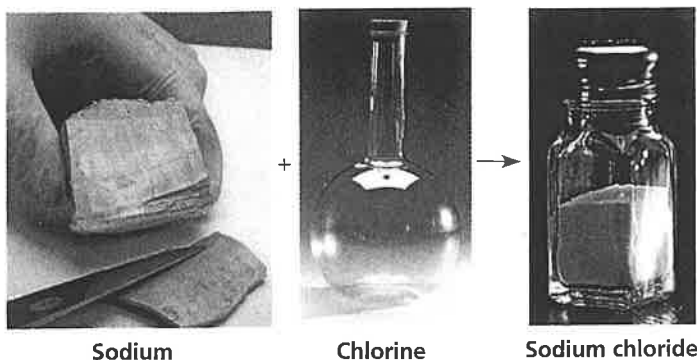
This unit of chapters starts with some basic concepts of chemistry that apply to the study of life. In the unit, we will travel from atoms to molecules to cells and their main activities. Somewhere in the transition from molecules to cells, we will cross the blurry boundary between nonlife and life. This chapter introduces the chemical components that make up all matter, with a final section on the substance that supports all of life—water.

CONCEPT

2.1

Matter consists of chemical elements in pure form and in combinations called compounds

Organisms are composed of **matter**, which is defined as anything that takes up space and has mass. Matter exists in many diverse forms. Rocks, metals, oils, gases, and living organisms are just a few examples of what seems an endless assortment of matter.



▲ **Figure 2.2 The emergent properties of a compound.** The metal sodium combines with the poisonous gas chlorine, forming the edible compound sodium chloride, or table salt.

Elements and Compounds

Matter is made up of elements. An **element** is a substance that cannot be broken down to other substances by chemical reactions. Today, chemists recognize 92 elements occurring in nature; gold, copper, carbon, and oxygen are examples. Each element has a symbol, usually the first letter or two of its name. Some symbols are derived from Latin or German; for instance, the symbol for sodium is Na, from the Latin word *natrium*.

A **compound** is a substance consisting of two or more different elements combined in a fixed ratio. Table salt, for example, is sodium chloride (NaCl), a compound composed of the elements sodium (Na) and chlorine (Cl) in a 1:1 ratio. Pure sodium is a metal, and pure chlorine is a poisonous gas. When combined, however, sodium and chlorine form an edible compound. Water (H₂O), another compound, consists of the elements hydrogen (H) and oxygen (O) in a 2:1 ratio. These compounds provide simple examples of organized matter having *emergent properties*, ones not possessed by its constituents: A compound has chemical and physical characteristics different from those of its elements (**Figure 2.2**).

The Elements of Life

Of the 92 natural elements, about 20–25% are **essential elements** that an organism needs to live a healthy life and reproduce. The essential elements are similar among organisms, but there is some variation—for example, humans need 25 elements, but plants need only 17.

Just four elements—oxygen (O), carbon (C), hydrogen (H), and nitrogen (N)—make up 96% of living matter. Calcium (Ca), phosphorus (P), potassium (K), sulfur (S), and a few other elements account for most of the remaining 4% of an organism's mass. **Trace elements** are required by an organism in only minute quantities. Some trace elements, such as iron (Fe), are needed by all forms of life; others are required only by certain species. For example, in vertebrates (animals with backbones), the element iodine (I) is an essential ingredient of a hormone produced by the thyroid gland. A daily intake of only 0.15 milligram (mg) of iodine is adequate for

normal activity of the human thyroid. An iodine deficiency in the diet causes the thyroid gland to grow to abnormal size, a condition called goiter. Consuming seafood or iodized salt reduces the incidence of goiter.

Evolution of Tolerance to Toxic Elements

EVOLUTION Some naturally occurring elements are toxic to organisms. In humans, for instance, the element arsenic has been linked to numerous diseases and can be lethal. Some species, however, have become adapted to environments containing elements that are usually toxic. For example, sunflower plants can take up lead, zinc, and other heavy metals in concentrations that would kill most organisms. (This capability enabled sunflowers to be used to detoxify contaminated soils after Hurricane Katrina.) Presumably, variants of ancestral sunflower species arose in heavy metal-laden soils, and subsequent natural selection resulted in their survival and reproduction.

CONCEPT CHECK 2.1

1. Is a trace element an essential element? Explain.
2. **WHAT IF?** In humans, iron is a trace element required for the proper functioning of hemoglobin, the molecule that carries oxygen in red blood cells. What might be the effects of an iron deficiency?

For suggested answers, see Appendix A.

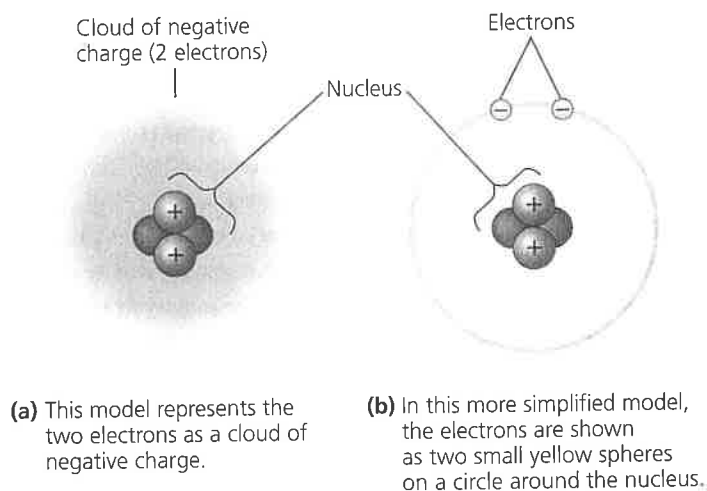
CONCEPT 2.2

An element's properties depend on the structure of its atoms

Each element consists of a certain type of atom that is different from the atoms of any other element. An **atom** is the smallest unit of matter that still retains the properties of an element. Atoms are so small that it would take about a million of them to stretch across the period at the end of this sentence. We symbolize atoms with the same abbreviation used for the element that is made up of those atoms. For example, C stands for both the element carbon and a single carbon atom.

Subatomic Particles

Although the atom is the smallest unit having the properties of an element, these tiny bits of matter are composed of even smaller parts, called *subatomic particles*. Using high-energy collisions, physicists have produced more than a hundred types of particles from the atom, but only three kinds of particles are relevant here: **neutrons**, **protons**, and **electrons**. Protons and electrons are electrically charged. Each proton has one unit of positive charge, and each electron has one unit of negative charge. A neutron, as its name implies, is electrically neutral.



▲ **Figure 2.3 Simplified models of a helium (He) atom.** The helium nucleus consists of 2 neutrons (brown) and 2 protons (pink). Two electrons (yellow) exist outside the nucleus. These models are not to scale; they greatly overestimate the size of the nucleus in relation to the electron cloud.

Protons and neutrons are packed together in a dense core, or **atomic nucleus**, at the center of an atom. Protons give the nucleus a positive charge. The electrons form a cloud of negative charge around the nucleus, and it is the attraction between opposite charges that keeps the electrons in the vicinity of the nucleus. **Figure 2.3** shows two commonly used models for the structure of the helium atom as an example.

The neutron and proton are almost identical in mass, each about 1.7×10^{-24} gram (g). Grams and other conventional units are not very useful for describing the mass of objects so minuscule. Thus, for atoms and subatomic particles (and for molecules, too), we use a unit of measurement called the **dalton** (the same as the **atomic mass unit, or amu**). Neutrons and protons have masses close to 1 dalton. Because the mass of an electron is only about 1/2,000 that of a neutron or proton, we can ignore electrons when computing the total mass of an atom.

Atomic Number and Atomic Mass

Atoms of the various elements differ in their number of subatomic particles. All atoms of a particular element have the same number of protons in their nuclei. This number of protons, which is unique to that element, is called the **atomic number** and is written as a subscript to the left of the symbol for the element. The abbreviation ${}^2\text{He}$, for example, tells us that an atom of the element helium has 2 protons in its nucleus. Unless otherwise indicated, an atom is neutral in electrical charge, which means that its protons must be balanced by an equal number of electrons. Therefore, the atomic number tells us the number of protons and also the number of electrons in an electrically neutral atom.

We can deduce the number of neutrons from a second quantity, the **mass number**, which is the sum of protons plus neutrons in the nucleus of an atom. The mass number is

written as a superscript to the left of an element's symbol. For example, we can use this shorthand to write an atom of helium as ${}^4_2\text{He}$. Because the atomic number indicates how many protons there are, we can determine the number of neutrons by subtracting the atomic number from the mass number: The helium atom ${}^4_2\text{He}$ has 2 neutrons. For sodium (Na):

$$\text{Mass number} = \text{number of protons} + \text{neutrons} \\ = 23 \text{ for sodium}$$

$$\text{Atomic number} = \text{number of protons} \\ = 11 \text{ for sodium}$$

$$\text{Number of neutrons} = \text{mass number} - \text{atomic number} \\ = 23 - 11 = 12 \text{ for sodium}$$

The simplest atom is hydrogen ${}^1_1\text{H}$, which has no neutrons; it consists of a single proton with a single electron.

As we've seen, almost all of an atom's mass is concentrated in its nucleus. And because neutrons and protons each have a mass very close to 1 dalton, the mass number is an approximation of the total mass of an atom, called its **atomic mass**. So we might say that the atomic mass of sodium (${}^{23}_{11}\text{Na}$) is 23 daltons, although more precisely it is 22.9898 daltons.

Isotopes

All atoms of a given element have the same number of protons, but some atoms have more neutrons than other atoms of the same element. These different atomic forms of the same element are called **isotopes** of the element. In nature, an element occurs as a mixture of its isotopes. For example, consider the three naturally occurring isotopes of the element carbon, which has the atomic number 6. The most common isotope is carbon-12, ${}^{12}_6\text{C}$, which accounts for about 99% of the carbon in nature. The isotope ${}^{12}_6\text{C}$ has 6 neutrons. Most of the remaining 1% of carbon consists of atoms of the isotope ${}^{13}_6\text{C}$, with 7 neutrons. A third, even rarer isotope, ${}^{14}_6\text{C}$, has 8 neutrons. **Notice** that all three isotopes of carbon have 6 protons; otherwise, they would not be carbon. Although the isotopes of an element have slightly different masses, they behave identically in chemical reactions.

Both ${}^{12}\text{C}$ and ${}^{13}\text{C}$ are stable isotopes, meaning that their nuclei do not have a tendency to lose particles. The isotope ${}^{14}\text{C}$, however, is unstable, or radioactive. A **radioactive isotope** is one in which the nucleus decays spontaneously, giving off particles and energy. When the decay leads to a change in the number of protons, it transforms the atom to an atom of a different element. For example, when an atom of ${}^{14}\text{C}$ decays, it becomes an atom of nitrogen.

Radioactive isotopes have many useful applications in biology. For example, researchers use measurements of radioactivity in fossils to date these relics of past life (see Chapter 23). Radioactive isotopes are also useful as tracers to follow atoms through metabolism, the chemical processes of an organism. Cells use the radioactive atoms as they would use



◀ **Figure 2.4 A PET scan, a medical use for radioactive isotopes.** PET, an acronym for positron-emission tomography, detects locations of intense chemical activity in the body. The bright yellow spot marks an area with an elevated level of radioactively labeled glucose, which in turn indicates the presence of cancerous tissue.

nonradioactive isotopes of the same element, but the radioactive tracers can be readily detected.

Radioactive tracers are important diagnostic tools in medicine. For example, certain kidney disorders can be diagnosed by injecting small doses of substances containing radioactive isotopes into the blood and then measuring the amount of tracer excreted in the urine. Radioactive tracers are also used in combination with sophisticated imaging instruments. PET scanners, for instance, can monitor chemical processes, such as those involved in cancerous growth, as they actually occur in the body (**Figure 2.4**).

Although radioactive isotopes are useful in research and medicine, radiation from decaying isotopes also poses a hazard to life by damaging cellular molecules. The severity of this damage depends on the type and amount of radiation an organism absorbs. One of the most serious environmental threats is radioactive fallout from nuclear accidents. The doses of isotopes used in medical diagnosis, however, are relatively safe.

The Energy Levels of Electrons

The simplified models of the atom in Figure 2.3 greatly exaggerate the size of the nucleus relative to the volume of the whole atom. If an atom of helium were the size of a typical football stadium, the nucleus would be the size of a pencil eraser in the center of the field. Moreover, the electrons would be like two tiny gnats buzzing around the stadium. Atoms are mostly empty space.

When two atoms approach each other during a chemical reaction, their nuclei do not come close enough to interact. Of the three kinds of subatomic particles we have discussed, only electrons are directly involved in the chemical reactions between atoms.

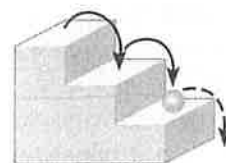
An atom's electrons vary in the amount of energy they possess. **Energy** is defined as the capacity to cause change—for instance, by doing work. **Potential energy** is the energy that matter possesses because of its location or structure. For example, water in a reservoir on a hill has potential energy because of its altitude. When the gates of the reservoir's dam are opened and the water runs downhill, the energy can be used to do work, such as moving the blades of turbines to generate

electricity. Because energy has been expended, the water has less energy at the bottom of the hill than it did in the reservoir. Matter has a natural tendency to move to the lowest possible state of potential energy; in this example, the water runs downhill. To restore the potential energy of a reservoir, work must be done to elevate the water against gravity.

The electrons of an atom have potential energy because of how they are arranged in relation to the nucleus. The negatively charged electrons are attracted to the positively charged nucleus. It takes work to move a given electron farther away from the nucleus, so the more distant an electron is from the nucleus, the greater its potential energy. Unlike the continuous flow of water downhill, changes in the potential energy of electrons can occur only in steps of fixed amounts. An electron having a certain amount of energy is something like a ball on a staircase (**Figure 2.5a**). The ball can have different amounts of potential energy, depending on which step it is on, but it cannot spend much time between the steps. Similarly, an electron's potential energy is determined by its energy level. An electron cannot exist between energy levels.

An electron's energy level is correlated with its average distance from the nucleus. Electrons are found in different **electron shells**, each with a characteristic average distance and energy level. In diagrams, shells can be represented by concentric circles (**Figure 2.5b**). The first shell is closest to the nucleus, and electrons in this shell have the lowest potential energy. Electrons in the second shell have more energy, and electrons in the third shell even more energy. An electron can change the shell it occupies, but only by absorbing or losing an

(a) A ball bouncing down a flight of stairs provides an analogy for energy levels of electrons, because the ball can come to rest only on each step, not between steps.

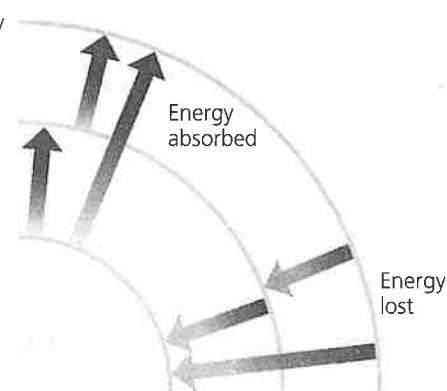


Third shell (highest energy level in this model)

Second shell (higher energy level)

First shell (lowest energy level)

Atomic nucleus



(b) An electron can move from one shell to another only if the energy it gains or loses is exactly equal to the difference in energy between the energy levels of the two shells. Arrows in this model indicate some of the stepwise changes in potential energy that are possible.

▲ **Figure 2.5 Energy levels of an atom's electrons.** Electrons exist only at fixed levels of potential energy called electron shells.

amount of energy equal to the difference in potential energy between its position in the old shell and that in the new shell. When an electron absorbs energy, it moves to a shell farther out from the nucleus. For example, light energy can excite an electron to a higher energy level. (Indeed, this is the first step taken when plants harness the energy of sunlight for photosynthesis, the process that produces food from carbon dioxide and water.) When an electron loses energy, it “falls back” to a shell closer to the nucleus, and the lost energy is usually released to the environment as heat.

Electron Distribution and Chemical Properties

The chemical behavior of an atom is determined by the distribution of electrons in the atom’s electron shells. Beginning with hydrogen, the simplest atom, we can imagine building the atoms of the other elements by adding 1 proton and 1 electron at a time (along with an appropriate number of neutrons).

Figure 2.6, an abbreviated version of what is called the *periodic table of the elements*, shows this distribution of electrons for the first 18 elements, from hydrogen (${}_1\text{H}$) to argon (${}_{18}\text{Ar}$). The elements are arranged in three rows, or periods, corresponding to the number of electron shells in their atoms. The left-to-right sequence of elements in each row corresponds to

the sequential addition of electrons and protons. (See Appendix B for the complete periodic table.)

Hydrogen’s 1 electron and helium’s 2 electrons are located in the first shell. Electrons, like all matter, tend to exist in the lowest available state of potential energy. In an atom, this state is in the first shell. However, the first shell can hold no more than 2 electrons; thus, hydrogen and helium are the only elements in the first row of the table. An atom with more than 2 electrons must use higher shells because the first shell is full. The next element, lithium, has 3 electrons. Two of these electrons fill the first shell, while the third electron occupies the second shell. The second shell holds a maximum of 8 electrons. Neon, at the end of the second row, has 8 electrons in the second shell, giving it a total of 10 electrons.

The chemical behavior of an atom depends mostly on the number of electrons in its *outermost* shell. We call those outer electrons **valence electrons** and the outermost electron shell the **valence shell**. In the case of lithium, there is only 1 valence electron, and the second shell is the valence shell. Atoms with the same number of electrons in their valence shells exhibit similar chemical behavior. For example, fluorine (F) and chlorine (Cl) both have 7 valence electrons, and both form compounds when combined with the element sodium (see

First shell	<div> <div> <div>2</div> <div>He</div> <div>4.00</div> </div> <div>Atomic number</div> <div>Element symbol</div> <div>Atomic mass</div> </div> <div> <div>Helium</div> <div>${}_2\text{He}$</div> <div>Electron distribution diagram</div> </div>							
	Lithium ${}_3\text{Li}$	Beryllium ${}_4\text{Be}$	Boron ${}_5\text{B}$	Carbon ${}_6\text{C}$	Nitrogen ${}_7\text{N}$	Oxygen ${}_8\text{O}$	Fluorine ${}_9\text{F}$	Neon ${}_{10}\text{Ne}$
	Sodium ${}_{11}\text{Na}$	Magnesium ${}_{12}\text{Mg}$	Aluminum ${}_{13}\text{Al}$	Silicon ${}_{14}\text{Si}$	Phosphorus ${}_{15}\text{P}$	Sulfur ${}_{16}\text{S}$	Chlorine ${}_{17}\text{Cl}$	Argon ${}_{18}\text{Ar}$

▲ Figure 2.6 Electron distribution diagrams for the first 18 elements in the periodic table. In a standard periodic table (see Appendix B), information for each element is presented as shown for helium in the inset. In the diagrams in this table, electrons are represented as yellow dots and electron

shells as concentric circles. These diagrams are a convenient way to picture the distribution of an atom’s electrons among its electron shells, but these simplified models do not accurately represent the shape of the atom or the location of its electrons. The elements are arranged in rows, each representing the filling of an electron

shell. As electrons are added, they occupy the lowest available shell.

? What is the atomic number of magnesium? How many protons and electrons does it have? How many electron shells? How many valence electrons?

Figure 2.2). An atom with a completed valence shell is unreactive; that is, it will not interact readily with other atoms. At the far right of the periodic table are helium, neon, and argon, the only three elements shown in Figure 2.6 that have full valence shells. These elements are said to be *inert*, meaning chemically unreactive. All the other atoms in Figure 2.6 are chemically reactive because they have incomplete valence shells.

Notice that as we “build” the atoms in Figure 2.6, the first 4 electrons added to the second and third shells are not shown in pairs; only after 4 electrons are present do the next electrons complete pairs. The reactivity of an atom arises from the presence of one or more unpaired electrons in its valence shell. As you will see in the next section, atoms interact in a way that completes their valence shells. When they do so, it is the *unpaired* electrons that are involved.

CONCEPT CHECK 2.2

1. A nitrogen atom has 7 protons, and the most common isotope of nitrogen has 7 neutrons. A radioactive isotope of nitrogen has 8 neutrons. Write the atomic number and mass number of this radioactive nitrogen as a chemical symbol with a subscript and superscript.
2. How many electrons does fluorine have? How many electron shells? How many electrons are needed to fill the valence shell?
3. **WHAT IF?** In Figure 2.6, if two or more elements are in the same row, what do they have in common? If two or more elements are in the same column, what do they have in common?

For suggested answers, see Appendix A.

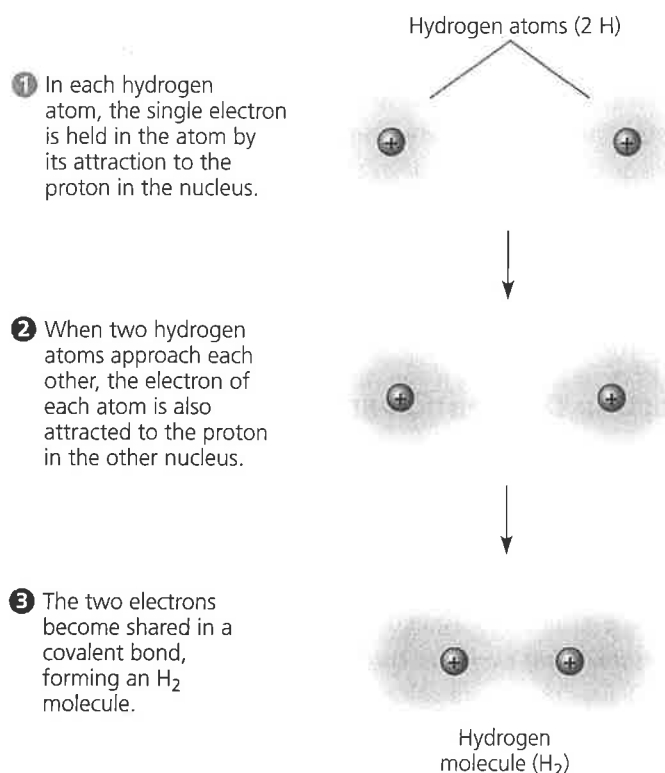
CONCEPT 2.3

The formation and function of molecules depend on chemical bonding between atoms

Now that we have looked at the structure of atoms, we can move up the hierarchy of organization and see how atoms combine to form molecules and ionic compounds. Atoms with incomplete valence shells can interact with certain other atoms in such a way that each partner completes its valence shell: The atoms either share or transfer valence electrons. These interactions usually result in atoms staying close together, held by attractions called **chemical bonds**. The strongest kinds of chemical bonds are covalent bonds and ionic bonds.

Covalent Bonds

A **covalent bond** is the sharing of a pair of valence electrons by two atoms. For example, let’s consider what happens when two hydrogen atoms approach each other. Recall that hydrogen has 1 valence electron in the first shell, but the shell’s capacity



▲ **Figure 2.7** Formation of a covalent bond.

is 2 electrons. When the two hydrogen atoms come close enough for their electron shells to overlap, they can share their electrons (**Figure 2.7**). Each hydrogen atom is now associated with 2 electrons in what amounts to a completed valence shell. Two or more atoms held together by covalent bonds constitute a **molecule**, in this case a hydrogen molecule.

Figure 2.8a shows several ways of representing a hydrogen molecule. Its *molecular formula*, H_2 , simply indicates that the molecule consists of two atoms of hydrogen. Electron sharing can be depicted by an electron distribution diagram or by a *structural formula*, $H-H$, where the line represents a **single bond**, a pair of shared electrons. A space-filling model comes closest to representing the actual shape of the molecule.

Oxygen has 6 electrons in its second electron shell and therefore needs 2 more electrons to complete its valence shell. Two oxygen atoms form a molecule by sharing *two* pairs of valence electrons (**Figure 2.8b**). The atoms are thus joined by a **double bond** ($O=O$).

Each atom that can share valence electrons has a bonding capacity corresponding to the number of covalent bonds the atom can form. When the bonds form, they give the atom a full complement of electrons in the valence shell. The bonding capacity of oxygen, for example, is 2. This bonding capacity is called the atom’s **valence** and usually equals the number of electrons required to complete the atom’s outermost (valence) shell. See if you can determine the valences of hydrogen, oxygen, nitrogen, and carbon by

Name and Molecular Formula	Electron Distribution Diagram	Structural Formula	Space-Filling Model
(a) Hydrogen (H_2). Two hydrogen atoms share one pair of electrons, forming a single bond.		$H-H$	
(b) Oxygen (O_2). Two oxygen atoms share two pairs of electrons, forming a double bond.		$O=O$	
(c) Water (H_2O). Two hydrogen atoms and one oxygen atom are joined by single bonds, forming a molecule of water.		$O-H$ $ $ H	
(d) Methane (CH_4). Four hydrogen atoms can satisfy the valence of one carbon atom, forming methane.		H $ $ $H-C-H$ $ $ H	

▲ **Figure 2.8 Covalent bonding in four molecules.** The number of electrons required to complete an atom's valence shell generally determines how many covalent bonds that atom will form. This figure shows several ways of indicating covalent bonds.

studying the electron distribution diagrams in Figure 2.6. You can see that the valence of hydrogen is 1; oxygen, 2; nitrogen, 3; and carbon, 4. However, the situation is more complicated for elements in the third row of the periodic table. Phosphorus, for example, can have a valence of 3, as we would predict from the presence of 3 unpaired electrons in its valence shell. In some molecules that are biologically important, however, phosphorus can form three single bonds and one double bond. Therefore, it can also have a valence of 5.

The molecules H_2 and O_2 are pure elements rather than compounds because a compound is a combination of two or more *different* elements. Water, with the molecular formula H_2O , is a compound. Two atoms of hydrogen are needed to satisfy the valence of one oxygen atom. **Figure 2.8c** shows the structure of a water molecule. Water is so important to life that the last section of this chapter, Concept 2.5, is devoted to its structure and behavior.

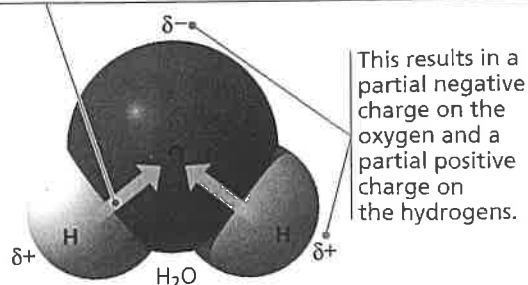
Methane, the main component of natural gas, is a compound with the molecular formula CH_4 . It takes four hydrogen atoms, each with a valence of 1, to complement one atom of carbon, with its valence of 4 (**Figure 2.8d**). (We will look at many other compounds of carbon in Chapter 3.)

Atoms in a molecule attract shared electrons to varying degrees, depending on the element. The attraction of a particular atom for the electrons of a covalent bond is called its **electronegativity**. The more electronegative an atom is, the more strongly it pulls shared electrons toward itself. In a covalent bond between two atoms of the same element, the electrons are shared equally because the two atoms have the same electronegativity—the tug-of-war is at a standoff. Such a bond is called a **nonpolar covalent bond**. For example, the single bond of H_2 is nonpolar, as is the double bond of O_2 . However, when an atom is bonded to a more electronegative atom, the electrons of the bond are not shared equally. This type of bond is called a **polar covalent bond**. Such bonds vary in their polarity, depending on the relative electronegativity of the two atoms. For example, the bonds between the oxygen and hydrogen atoms of a water molecule are quite polar (**Figure 2.9**). Oxygen is one of the most electronegative of all the elements, attracting shared electrons much more strongly than hydrogen does. In a covalent bond between oxygen and hydrogen, the electrons spend more time near the oxygen nucleus than they do near the hydrogen nucleus. Because electrons have a negative charge and are pulled toward oxygen in a water molecule, the oxygen atom has a partial negative charge (indicated by the Greek letter δ with a minus sign, δ^- , or “delta minus”), and each hydrogen atom has a partial positive charge (δ^+ , or “delta plus”). In contrast, the individual bonds of methane (CH_4) are much less polar because the electronegativities of carbon and hydrogen are similar.

Ionic Bonds

In some cases, two atoms are so unequal in their attraction for valence electrons that the more electronegative atom strips an electron completely away from its partner. This is what happens when an atom of sodium ($_{11}Na$) encounters an atom of

Because oxygen (O) is more electronegative than hydrogen (H), shared electrons are pulled more toward oxygen.



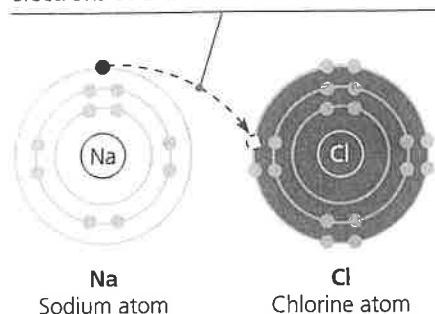
▲ **Figure 2.9 Polar covalent bonds in a water molecule.**

chlorine ($_{17}\text{Cl}$) (**Figure 2.10**). A sodium atom has a total of 11 electrons, with its single valence electron in the third electron shell. A chlorine atom has a total of 17 electrons, with 7 electrons in its valence shell. When these two atoms meet, the lone valence electron of sodium is transferred to the chlorine atom, and both atoms end up with their valence shells complete. (Because sodium no longer has an electron in the third shell, the second shell is now the valence shell.)

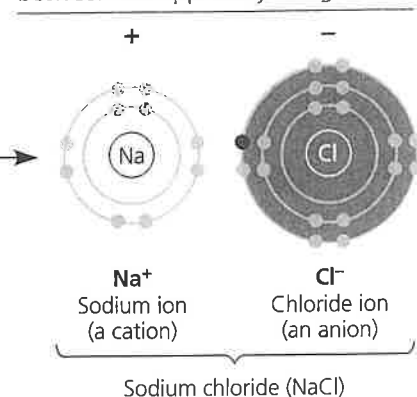
The electron transfer between the two atoms moves one unit of negative charge from sodium to chlorine. Sodium, now with 11 protons but only 10 electrons, has a net electrical charge of $1+$. A charged atom (or molecule) is called an **ion**. When the charge is positive, the ion is specifically called a **cation**; the sodium atom has become a cation. Conversely, the chlorine atom, having gained an extra electron, now has 17 protons and 18 electrons, giving it a net electrical charge of $1-$. It has become a chloride ion—an **anion**, or negatively charged ion. Because of their opposite charges, cations and anions attract each other; this attraction is called an **ionic bond**. The transfer of an electron is not the formation of a bond; rather, it allows a bond to form because it results in two ions of opposite charge. Any two ions of opposite charge can form an ionic bond. The ions do not need to have acquired their charge by an electron transfer with each other.

Compounds formed by ionic bonds are called **ionic compounds**, or **salts**. We know the ionic compound sodium chloride (NaCl) as table salt (**Figure 2.11**). Salts are often found in nature as crystals of various sizes and shapes. Each salt crystal is an aggregate of vast numbers of cations and anions bonded by their electrical attraction and arranged in a three-dimensional lattice. Unlike a covalent compound, which consists of molecules having a definite size and number of atoms, an **ionic compound** does not consist of molecules. The formula for an

① The lone valence electron of a sodium atom is transferred to join the 7 valence electrons of a chlorine atom.



② Each resulting ion has a completed valence shell. An ionic bond can form between the oppositely charged ions.



▲ **Figure 2.10 Electron transfer and ionic bonding.** The attraction between oppositely charged atoms, or ions, is an ionic bond. An ionic bond can form between any two oppositely charged ions, even if they have not been formed by transfer of an electron from one to the other.

ionic compound, such as NaCl , indicates only the ratio of elements in a crystal of the salt. “ NaCl ” by itself is not a molecule.

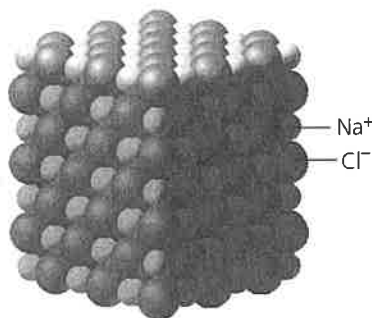
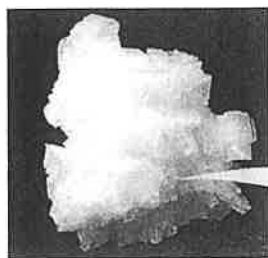
Not all salts have equal numbers of cations and anions. For example, the ionic compound magnesium chloride (MgCl_2) has two chloride ions for each magnesium ion. Magnesium ($_{12}\text{Mg}$) must lose 2 outer electrons if the atom is to have a complete valence shell, so it tends to become a cation with a net charge of $2+$ (Mg^{2+}). One magnesium cation can therefore form ionic bonds with two chloride anions.

The term *ion* also applies to entire molecules that are electrically charged. In the salt ammonium chloride (NH_4Cl), for instance, the anion is a single chloride ion (Cl^-), but the cation is ammonium (NH_4^+), a nitrogen atom covalently bonded to four hydrogen atoms. The whole ammonium ion has an electrical charge of $1+$ because it is 1 electron short.

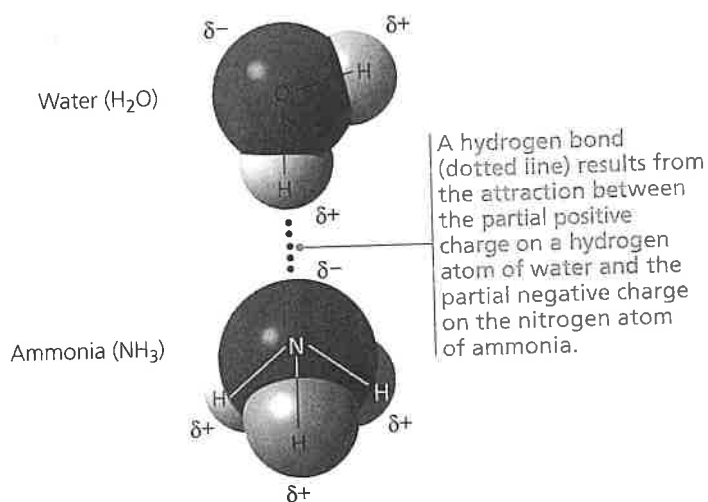
Environment affects the strength of ionic bonds. In a dry salt crystal, the bonds are so strong that it takes a hammer and chisel to break enough of them to crack the crystal in two. If the same salt crystal is dissolved in water, however, the ionic bonds are much weaker because each ion is partially shielded by its interactions with water molecules. Most drugs are manufactured as salts because they are quite stable when dry but can dissociate (come apart) easily in water.

Weak Chemical Bonds

In organisms, most of the strongest chemical bonds are covalent bonds, which link atoms to form a cell's molecules. But weaker bonding within and between molecules is also indispensable in the cell, contributing greatly to the properties of life. Many large biological molecules are held in their functional form by weak bonds. In addition, when two molecules in the cell make contact, they may adhere temporarily by weak bonds. The reversibility of weak bonding can be an advantage: Two molecules can come together, respond to one another in some way, and then separate.



▲ **Figure 2.11 A sodium chloride (NaCl) crystal.** The sodium ions (Na^+) and chloride ions (Cl^-) are held together by ionic bonds. The formula NaCl tells us that the ratio of Na^+ to Cl^- is $1:1$.



▲ **Figure 2.12** A hydrogen bond.

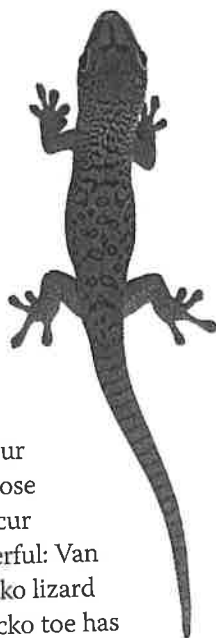
Several types of weak chemical bonds are important in organisms. One is the ionic bond as it exists between ions dissociated in water, which we just discussed. Hydrogen bonds and van der Waals interactions are also crucial to life.

Hydrogen Bonds

Among the various kinds of weak chemical bonds, hydrogen bonds are so important in the chemistry of life that they deserve special attention. The partial positive charge on a hydrogen atom that is covalently bonded to an electronegative atom allows the hydrogen to be attracted to a different electronegative atom nearby. This noncovalent attraction between a hydrogen and an electronegative atom is called a **hydrogen bond**. In living cells, the electronegative partners are usually oxygen or nitrogen atoms. Refer to **Figure 2.12** to examine the simple case of hydrogen bonding between water (H_2O) and ammonia (NH_3).

Van der Waals Interactions

Even a molecule with nonpolar covalent bonds may have positively and negatively charged regions. Electrons are not always symmetrically distributed in such a molecule; at any instant, they may accumulate by chance in one part of the molecule or another. The results are ever-changing regions of positive and negative charge that enable all atoms and molecules to stick to one another. These **van der Waals interactions** are individually weak and occur only when atoms and molecules are very close together. When many such interactions occur simultaneously, however, they can be powerful: Van der Waals interactions are the reason a gecko lizard (right) can walk straight up a wall! Each gecko toe has hundreds of thousands of tiny hairs, with multiple



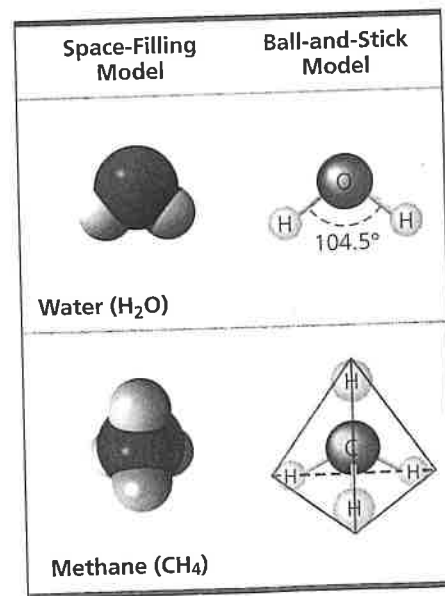
projections at each hair's tip that increase surface area. Apparently, the van der Waals interactions between the hair tip molecules and the molecules of the wall's surface are so numerous that despite their individual weakness, together they can support the gecko's body weight.

Van der Waals interactions, hydrogen bonds, ionic bonds in water, and other weak bonds may form not only between molecules but also between parts of a large molecule, such as a protein. The cumulative effect of weak bonds is to reinforce the three-dimensional shape of the molecule. (You will learn more about the very important biological roles of weak bonds in Chapter 3.)

Molecular Shape and Function

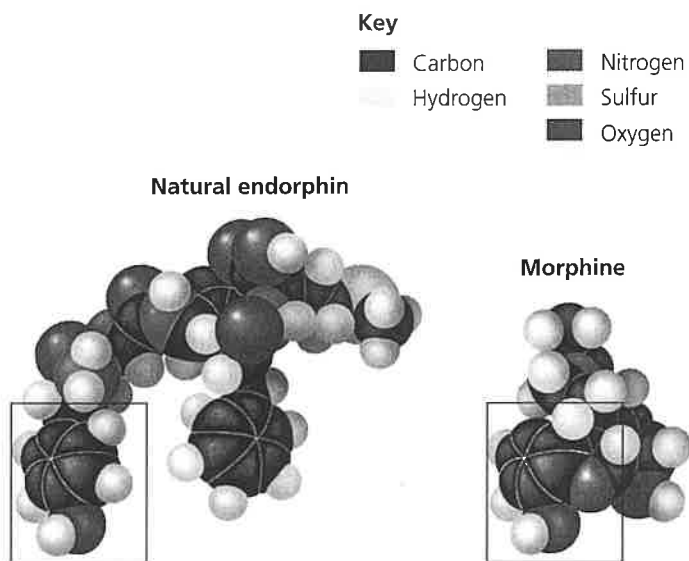
A molecule has a characteristic size and shape. The precise shape of a molecule is usually very important to its function in the living cell.

A molecule consisting of two atoms, such as H_2 or O_2 , is always linear, but most molecules with more than two atoms have more complicated shapes. To take a very simple example, a water molecule (H_2O) is shaped roughly like a V, with its two covalent bonds spread apart at an angle of 104.5° (**Figure 2.13**). A methane molecule (CH_4) has a geometric shape called a tetrahedron, a pyramid with a triangular base. The carbon nucleus is inside, at the center, with its four covalent bonds radiating to hydrogen nuclei at the corners of the tetrahedron. Larger molecules containing multiple carbon atoms, including many of the molecules that make up living matter, have more complex overall shapes. However, the tetrahedral shape of a carbon atom bonded to four other atoms is often a repeating motif within such molecules.

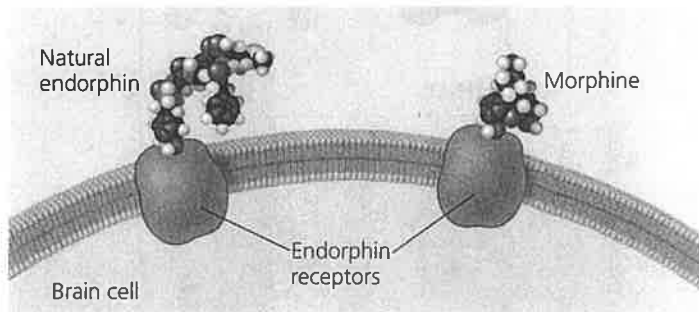


▲ **Figure 2.13** Models showing the shapes of two small molecules. Each of the molecules, water and methane, is represented in two different ways.

Molecular shape is crucial in biology because it determines how biological molecules recognize and respond to one another with specificity. Biological molecules often bind temporarily to each other by forming weak bonds, but this can happen only if their shapes are complementary. We can see this specificity in the effects of opiates, drugs derived from opium. Opiates, such as morphine and heroin, relieve pain and alter mood by weakly binding to specific receptor molecules on the surfaces of brain cells. Why would brain cells carry receptors for opiates, compounds that are not made by our bodies? The discovery of endorphins in 1975 answered this question. Endorphins are signaling molecules made by the pituitary gland that bind to the receptors, relieving pain and producing euphoria during times of stress, such as intense exercise. It turns out that opiates have shapes similar to endorphins and mimic them by binding to endorphin receptors in the brain. That is why opiates (such as morphine) and endorphins have similar effects (**Figure 2.14**).



(a) Structures of endorphin and morphine. The boxed portion of the endorphin molecule (left) binds to receptor molecules on target cells in the brain. The boxed portion of the morphine molecule (right) is a close match.



(b) Binding to endorphin receptors. Both endorphin and morphine can bind to endorphin receptors on the surface of a brain cell.

▲ **Figure 2.14 A molecular mimic.** Morphine affects pain perception and emotional state by mimicking the brain's natural endorphins.

CONCEPT CHECK 2.3

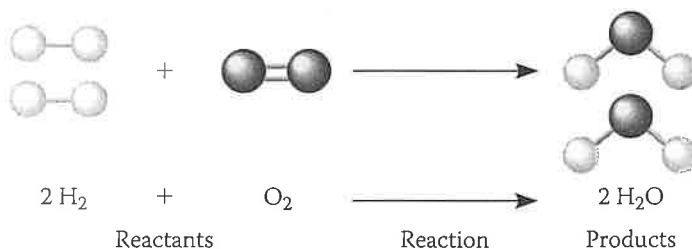
1. Why does the structure $\text{H} - \text{C} = \text{C} - \text{H}$ fail to make sense chemically?
2. What holds the atoms together in a crystal of magnesium chloride (MgCl_2)?
3. **WHAT IF?** If you were a pharmaceutical researcher, why would you want to learn the three-dimensional shapes of naturally occurring signaling molecules?

For suggested answers, see Appendix A.

CONCEPT 2.4

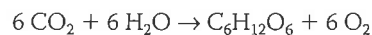
Chemical reactions make and break chemical bonds

The making and breaking of chemical bonds, leading to changes in the composition of matter, are called **chemical reactions**. An example is the reaction between hydrogen and oxygen molecules that forms water:

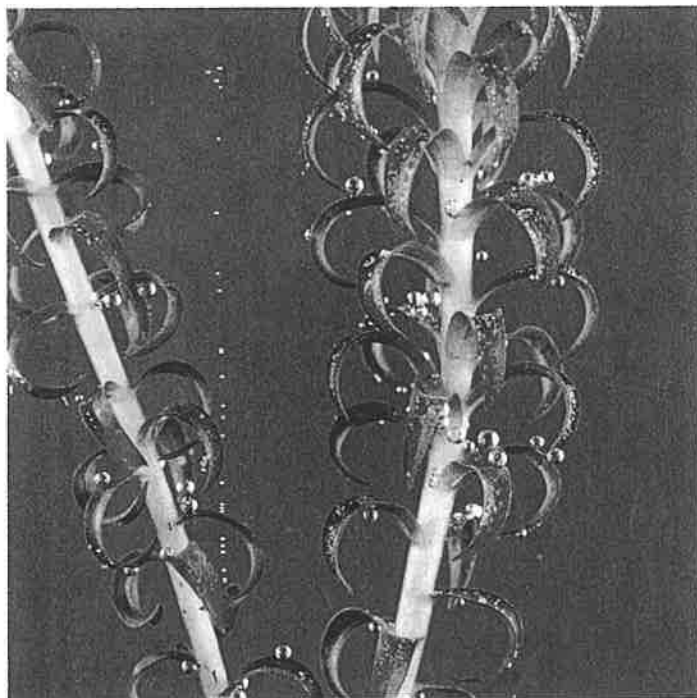


This reaction breaks the covalent bonds of H_2 and O_2 and forms the new bonds of H_2O . When we write a chemical reaction, we use an arrow to indicate the conversion of the starting materials, called the **reactants**, to the **products**. The coefficients indicate the number of molecules involved; for example, the coefficient 2 in front of H_2 means that the reaction starts with two molecules of hydrogen. Notice that all atoms of the reactants must be accounted for in the products. Matter is conserved in a chemical reaction: Reactions cannot create or destroy matter but can only rearrange it.

Photosynthesis, which takes place within the cells of green plant tissues, is an important biological example of how chemical reactions rearrange matter. Humans and other animals ultimately depend on photosynthesis for food and oxygen, and this process is at the foundation of almost all ecosystems. The following chemical shorthand summarizes the process of photosynthesis:



The raw materials of photosynthesis are carbon dioxide (CO_2), which is taken from the air, and water (H_2O), which is absorbed from the soil. Within the plant cells, sunlight powers the conversion of these ingredients to a sugar called glucose ($\text{C}_6\text{H}_{12}\text{O}_6$) and oxygen molecules (O_2), a by-product that the plant releases into the surroundings (**Figure 2.15**). Although

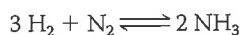


▲ Figure 2.15 Photosynthesis: a solar-powered rearrangement of matter. *Elodea*, a freshwater plant, produces sugar by rearranging the atoms of carbon dioxide and water in the chemical process known as photosynthesis, which is powered by sunlight. Much of the sugar is then converted to other food molecules. Oxygen gas (O_2) is a by-product of photosynthesis; notice the bubbles of O_2 -containing gas escaping from the leaves in the photo.

? Explain how this photo relates to the reactants and products in the equation for photosynthesis given in the text. (You will learn more about photosynthesis in Chapter 8.)

photosynthesis is actually a sequence of many chemical reactions, we still end up with the same number and types of atoms that we had when we started. Matter has simply been rearranged, with an input of energy provided by sunlight.

All chemical reactions are reversible, with the products of the forward reaction becoming the reactants of the reverse reaction. For example, hydrogen and nitrogen molecules can combine to form ammonia, but ammonia can also decompose to regenerate hydrogen and nitrogen:



The two opposite-headed arrows indicate that the reaction is reversible.

One of the factors affecting the rate of a reaction is the concentration of reactants. The greater the concentration of reactant molecules, the more frequently they collide with one another and have an opportunity to react and form products. The same holds true for products. As products accumulate, collisions resulting in the reverse reaction become more frequent. Eventually, the forward and reverse reactions occur at the same rate, and the relative concentrations of products and reactants stop changing. The point at which the reactions offset one another exactly is called **chemical equilibrium**. This is a dynamic

equilibrium; reactions are still going on, but with no net effect on the concentrations of reactants and products. Equilibrium does *not* mean that the reactants and products are equal in concentration, but only that their concentrations have stabilized at a particular ratio. The reaction involving ammonia reaches equilibrium when ammonia decomposes as rapidly as it forms. In some chemical reactions, the equilibrium point may lie so far to the right that these reactions go essentially to completion; that is, virtually all the reactants are converted to products.

To conclude this chapter, we focus on water, the substance in which all the chemical processes of organisms occur.

CONCEPT CHECK 2.4

1. Which type of chemical reaction occurs faster at equilibrium, the formation of products from reactants or reactants from products?
2. **WHAT IF?** Write an equation that uses the products of photosynthesis as reactants and the reactants of photosynthesis as products. Add energy as another product. This new equation describes a process that occurs in your cells. Describe this equation in words. How does this equation relate to breathing?

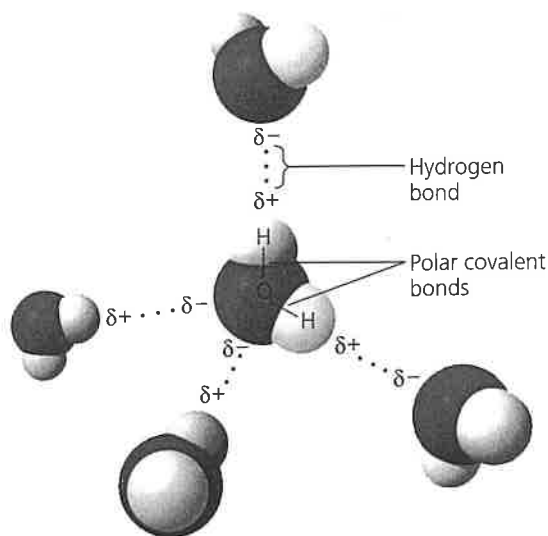
For suggested answers, see Appendix A.

CONCEPT 2.5

Hydrogen bonding gives water properties that help make life possible on Earth

All organisms are made mostly of water and live in an environment dominated by water. Most cells are surrounded by water, and cells themselves are about 70–95% water. Water is so common that it is easy to overlook the fact that it is an exceptional substance with many extraordinary qualities. We can trace water's unique behavior to the structure and interactions of its molecules. As you saw in Figure 2.9, the connections between the atoms of a water molecule are polar covalent bonds. The unequal sharing of electrons and water's V-like shape make it a **polar molecule**, meaning that its overall charge is unevenly distributed: The oxygen region of the molecule has a partial negative charge (δ^-), and each hydrogen has a partial positive charge (δ^+).

The properties of water arise from attractions between oppositely charged atoms of different water molecules: The slightly positive hydrogen of one molecule is attracted to the slightly negative oxygen of a nearby molecule. The two molecules are thus held together by a hydrogen bond. When water is in its liquid form, its hydrogen bonds are very fragile, each only about $\frac{1}{20}$ as strong as a covalent bond. The hydrogen bonds form, break, and re-form with great frequency. Each lasts only a few trillionths of a second, but the molecules are constantly forming new hydrogen bonds with a succession



▲ Figure 2.16 Hydrogen bonds between water molecules. The charged regions in a water molecule are due to its polar covalent bonds. Oppositely charged regions of neighboring water molecules are attracted to each other, forming hydrogen bonds. Each molecule can hydrogen-bond to multiple partners, and these associations are constantly changing.

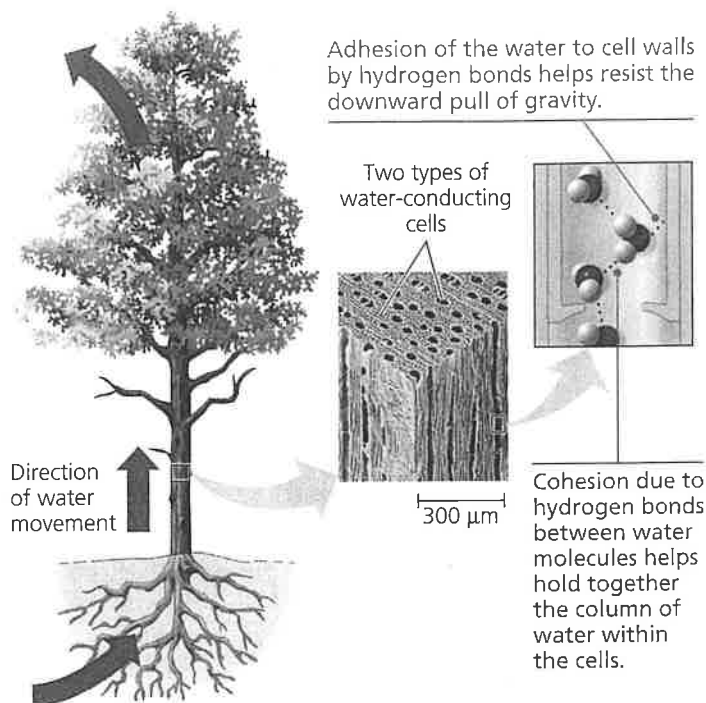
DRAW IT Draw partial charges on all the atoms of the water molecule on the far left above, and draw two more water molecules hydrogen-bonded to it.

of partners. Therefore, at any instant, a substantial percentage of all the water molecules are hydrogen-bonded to their neighbors (**Figure 2.16**). The extraordinary qualities of water emerge in large part from the hydrogen bonding that organizes water molecules into a higher level of structural order. We will examine four emergent properties of water that contribute to Earth's suitability as an environment for life: cohesive behavior, ability to moderate temperature, expansion upon freezing, and versatility as a solvent. After that, we'll discuss a critical aspect of water chemistry—acids and bases.

Cohesion of Water Molecules

Water molecules stay close to each other as a result of hydrogen bonding. At any given moment, many of the molecules in liquid water are linked by multiple hydrogen bonds. These linkages make water more structured than most other liquids. Collectively, the hydrogen bonds hold the substance together, a phenomenon called **cohesion**.

Cohesion due to hydrogen bonding contributes to the transport of water and dissolved nutrients against gravity in plants (**Figure 2.17**). Water from the roots reaches the leaves through a network of water-conducting cells. As water evaporates from a leaf, hydrogen bonds cause water molecules leaving the veins to tug on molecules farther down, and the upward pull is transmitted through the water-conducting cells all the way to the roots. **Adhesion**, the clinging of one substance to another, also plays a role. Adhesion of water to cell walls by hydrogen bonds helps counter the downward pull of gravity.



▲ Figure 2.17 Water transport in plants. Evaporation from leaves pulls water upward from the roots through water-conducting cells. Because of the properties of cohesion and adhesion, the tallest trees can transport water more than 100 m upward—approximately one-quarter the height of the Empire State Building in New York City.



BioFlix Visit the Study Area in **MasteringBiology** for the BioFlix® 3-D Animation on Water Transport in Plants.

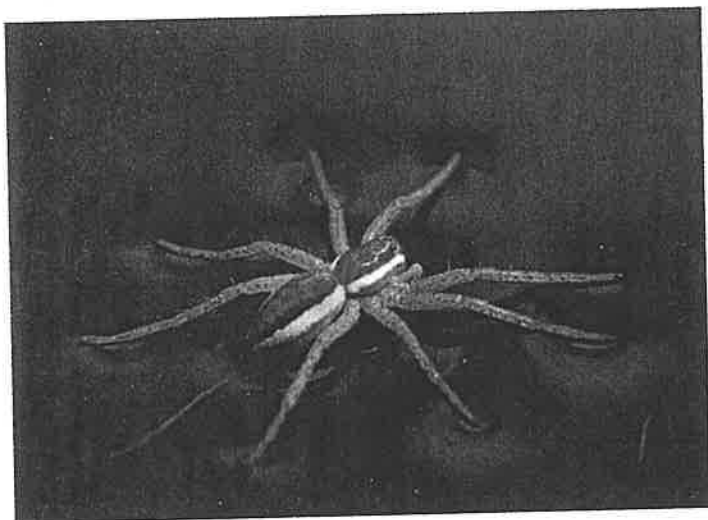
Related to cohesion is **surface tension**, a measure of how difficult it is to stretch or break the surface of a liquid. The hydrogen bonds in water give it an unusually high surface tension, making it behave as though it were coated with an invisible film. You can observe the surface tension of water by slightly overfilling a drinking glass; the water will stand above the rim. The spider in **Figure 2.18** takes advantage of the surface tension of water to walk across a pond without breaking the surface.

Moderation of Temperature by Water

Water moderates air temperature by absorbing heat from air that is warmer and releasing the stored heat to air that is cooler. Water is effective as a heat bank because it can absorb or release a relatively large amount of heat with only a slight change in its own temperature. To understand this capability of water, we must first look briefly at temperature and heat.

Temperature and Heat

Anything that moves has **kinetic energy**, the energy of motion. Atoms and molecules have kinetic energy because they are always moving, although not necessarily in any particular direction. The faster a molecule moves, the greater its kinetic energy. The kinetic energy associated with the random movement of



▲ **Figure 2.18 Walking on water.** The high surface tension of water, resulting from the collective strength of its hydrogen bonds, allows this raft spider to walk on the surface of a pond.

atoms or molecules is called **thermal energy**. The *total* thermal energy of a body of matter depends in part on the matter's volume. Although thermal energy is related to temperature, they are not the same thing. **Temperature** represents the *average* kinetic energy of the molecules, regardless of volume. When water is heated in a coffeemaker, the average speed of the molecules increases, and the thermometer records this as a rise in temperature of the liquid. The amount of thermal energy also increases in this case. Note, however, that although the pot of coffee has a much higher temperature than, say, the water in a swimming pool, the swimming pool contains more thermal energy because of its much greater volume.

Whenever two objects of different temperature are brought together, thermal energy passes from the warmer to the cooler object until the two are the same temperature. Molecules in the cooler object speed up at the expense of the thermal energy of the warmer object. An ice cube cools a drink not by adding coldness to the liquid, but by absorbing thermal energy from the liquid as the ice itself melts. Thermal energy in transfer from one body of matter to another is defined as **heat**.

One convenient unit of heat used in this book is the **calorie (cal)**. A calorie is the amount of heat it takes to raise the temperature of 1 g of water by 1°C. Conversely, a calorie is also the amount of heat that 1 g of water releases when it cools by 1°C. A **kilocalorie (kcal)**, 1,000 cal, is the quantity of heat required to raise the temperature of 1 kilogram (kg) of water by 1°C. (The "calories" on food packages are actually kilocalories.) Another energy unit used in this book is the **joule (J)**. One joule equals 0.239 cal; one calorie equals 4.184 J.

Water's High Specific Heat

The ability of water to stabilize temperature stems from its relatively high specific heat. The **specific heat** of a substance is defined as the amount of heat that must be absorbed or lost

for 1 g of that substance to change its temperature by 1°C. We already know water's specific heat because we have defined a calorie as the amount of heat that causes 1 g of water to change its temperature by 1°C. Therefore, the specific heat of water is 1 calorie per gram per degree Celsius, abbreviated as 1 cal/g°C. Compared with most other substances, water has an unusually high specific heat. As a result, water will change its temperature less than other liquids when it absorbs or loses a given amount of heat. The reason you can burn your fingers by touching the side of an iron pot on the stove when the water in the pot is still lukewarm is that the specific heat of water is ten times greater than that of iron. In other words, the same amount of heat will raise the temperature of 1 g of the iron much faster than it will raise the temperature of 1 g of the water. Specific heat can be thought of as a measure of how well a substance resists changing its temperature when it absorbs or releases heat. Water resists changing its temperature; when it does change its temperature, it absorbs or loses a relatively large quantity of heat for each degree of change.

We can trace water's high specific heat, like many of its other properties, to hydrogen bonding. Heat must be absorbed in order to break hydrogen bonds; by the same token, heat is released when hydrogen bonds form. A calorie of heat causes a relatively small change in the temperature of water because much of the heat is used to disrupt hydrogen bonds before the water molecules can begin moving faster. And when the temperature of water drops slightly, many additional hydrogen bonds form, releasing a considerable amount of energy in the form of heat.

What is the relevance of water's high specific heat to life on Earth? A large body of water can absorb and store a huge amount of heat from the sun in the daytime and during summer while warming up only a few degrees. At night and during winter, the gradually cooling water can warm the air. This is the reason coastal areas generally have milder climates than inland regions (**Figure 2.19**). The high specific heat of water also tends to stabilize ocean temperatures, creating a favorable environment for marine life. Thus, because of its high specific heat, the water that covers most of Earth keeps temperature fluctuations on land and in water within limits that permit life.



▲ **Figure 2.19 Effect of a large body of water on climate.** By absorbing or releasing heat, oceans moderate coastal climates. In this example from an August day in Southern California, the relatively cool ocean reduces coastal air temperatures by absorbing heat. (The temperatures are in degrees Fahrenheit.)

Also, because organisms are made primarily of water, they are better able to resist changes in their own temperature than if they were made of a liquid with a lower specific heat.

Evaporative Cooling

Molecules of any liquid stay close together because they are attracted to one another. Molecules moving fast enough to overcome these attractions can depart the liquid and enter the air as a gas. This transformation from a liquid to a gas is called vaporization, or *evaporation*. Recall that the speed of molecular movement varies and that temperature is the *average* kinetic energy of molecules. Even at low temperatures, the speediest molecules can escape into the air. Some evaporation occurs at any temperature; a glass of water at room temperature, for example, will eventually evaporate completely. If a liquid is heated, the average kinetic energy of molecules increases and the liquid evaporates more rapidly.

Heat of vaporization is the quantity of heat a liquid must absorb for 1 g of it to be converted from the liquid to the gaseous state. For the same reason that water has a high specific heat, it also has a high heat of vaporization relative to most other liquids. To evaporate 1 g of water at 25°C, about 580 cal of heat is needed—nearly double the amount needed to vaporize a gram of alcohol, for example. Water's high heat of vaporization is another property emerging from the strength of its hydrogen bonds, which must be broken before the molecules can make their exodus from the liquid.

The high amount of energy required to vaporize water has a wide range of effects. On a global scale, for example, it helps moderate Earth's climate. A considerable amount of solar heat absorbed by tropical seas is consumed during the evaporation of surface water. Then, as moist tropical air circulates poleward, it releases heat as it condenses and forms rain. On an organismal level, water's high heat of vaporization accounts for the severity of steam burns. These burns are caused by the heat energy released when steam condenses into liquid on the skin.

As a liquid evaporates, the surface of the liquid that remains behind cools down. This **evaporative cooling** occurs because

the “hottest” molecules, those with the greatest kinetic energy, are the ones most likely to leave as gas. It is as if the hundred fastest runners at a college transferred to another school; the average speed of the remaining students would decline.

Evaporative cooling of water contributes to the stability of temperature in lakes and ponds and also provides a mechanism that prevents terrestrial organisms from overheating. For example, evaporation of water from the leaves of a plant helps keep the tissues in the leaves from becoming too warm in the sunlight. Evaporation of sweat from human skin dissipates body heat and helps prevent overheating on a hot day or when excess heat is generated by strenuous activity. High humidity on a hot day increases discomfort because the high concentration of water vapor in the air inhibits the evaporation of sweat from the body.

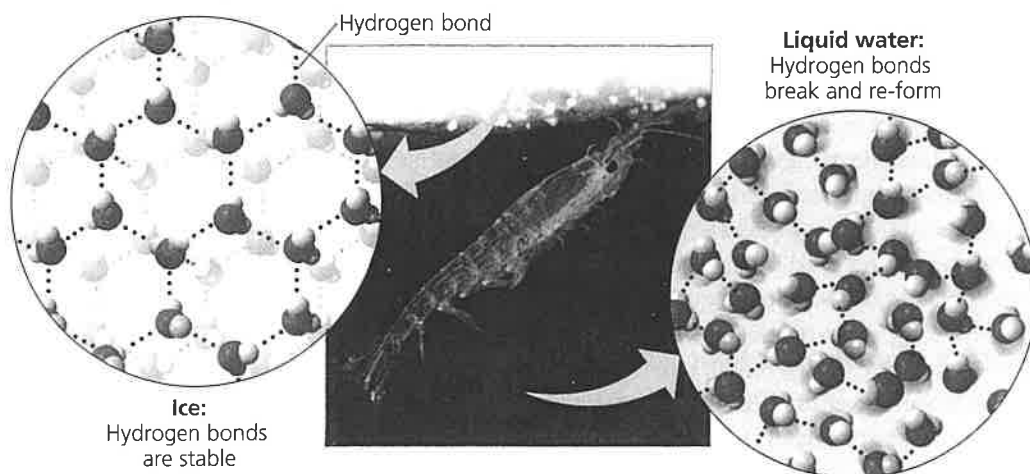
Floating of Ice on Liquid Water

Water is one of the few substances that are less dense as a solid than as a liquid. In other words, ice floats on liquid water. While other materials contract and become denser when they solidify, water expands. The cause of this exotic behavior is, once again, hydrogen bonding. At temperatures above 4°C, water behaves like other liquids, expanding as it warms and contracting as it cools. As the temperature falls from 4°C to 0°C, water begins to freeze because more and more of its molecules are moving too slowly to break hydrogen bonds. At 0°C, the molecules become locked into a crystalline lattice, each water molecule hydrogen-bonded to four partners (**Figure 2.20**). The hydrogen bonds keep the molecules at “arm's length,” far enough apart to make ice about 10% less dense than liquid water at 4°C. When ice absorbs enough heat for its temperature to rise above 0°C, hydrogen bonds between molecules are disrupted. As the crystal collapses, the ice melts, and molecules are free to slip closer together. Water reaches its greatest density at 4°C and then begins to expand as the molecules move faster.

The ability of ice to float due to its lower density is an important factor in the suitability of the environment for life.

► **Figure 2.20 Ice: crystalline structure and floating barrier.** In ice, each molecule is hydrogen-bonded to four neighbors in a three-dimensional crystal. Because the crystal is spacious, ice has fewer molecules than an equal volume of liquid water. In other words, ice is less dense than liquid water. Floating ice becomes a barrier that protects the liquid water below from the colder air. The marine organism shown here is a type of shrimp called krill; it was photographed beneath floating ice in the Southern Ocean near Antarctica.

WHAT IF? If water did not form hydrogen bonds, what would happen to the shrimp's environment?

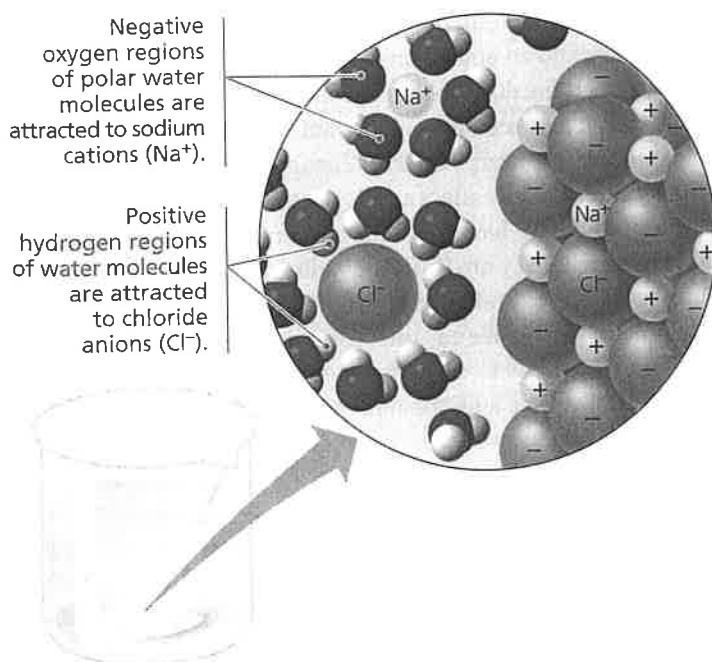


If ice sank, then eventually all ponds, lakes, and even oceans would freeze solid, making life as we know it impossible on Earth. During summer, only the upper few inches of the ocean would thaw. Instead, when a deep body of water cools, the floating ice insulates the liquid water below, preventing it from freezing and allowing life to exist under the frozen surface, as shown in the photo in Figure 2.20.

Water: The Solvent of Life

A sugar cube placed in a glass of water will dissolve. Eventually, the glass will contain a uniform mixture of sugar and water; the concentration of dissolved sugar will be the same everywhere in the mixture. A liquid that is a completely homogeneous mixture of two or more substances is called a **solution**. The dissolving agent of a solution is the **solvent**, and the substance that is dissolved is the **solute**. In this case, water is the solvent and sugar is the solute. An **aqueous solution** is one in which water is the solvent.

Water is a very versatile solvent, a quality we can trace to the polarity of the water molecule. Suppose, for example, that a spoonful of table salt, the ionic compound sodium chloride (NaCl), is placed in water (Figure 2.21). At the surface of each grain, or crystal, of salt, the sodium and chloride ions are exposed to the solvent. These ions and regions of the water molecules are attracted to each other owing to their opposite charges. The oxygen regions of the water molecules are negatively charged and are attracted to sodium cations. The hydrogen regions are positively charged and are attracted to chloride



▲ **Figure 2.21 Table salt dissolving in water.** A sphere of water molecules, called a hydration shell, surrounds each solute ion.

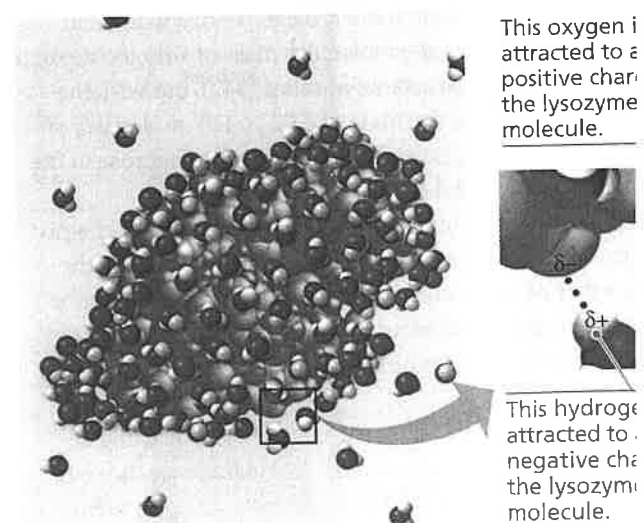
WHAT IF? What would happen if you heated this solution for a long time?

anions. As a result, water molecules surround the individual sodium and chloride ions, separating and shielding them from one another. The sphere of water molecules around each dissolved ion is called a **hydration shell**. Working inward from the surface of each salt crystal, water eventually dissolves all the ions. The result is a solution of two solutes, sodium cations and chloride anions, homogeneously mixed with water, the solvent. Other ionic compounds also dissolve in water. Seawater, for instance, contains a great variety of dissolved ions, as do living cells.

A compound does not need to be ionic to dissolve in water. Many compounds made up of nonionic polar molecules, such as sugars, are also water-soluble. Such compounds dissolve when water molecules surround each of the solute molecules, forming hydrogen bonds with them. Even molecules as large as proteins can dissolve in water if they have ionic and polar regions on their surface (Figure 2.22). Many different kinds of polar compounds are dissolved (along with ions) in the fluids of such biological fluids as blood, the sap of plants, and the fluid within all cells. Water is the solvent of life.

Hydrophilic and Hydrophobic Substances

Any substance that has an affinity for water is said to be **hydrophilic** (from the Greek *hydro*, water, and *philos*, love). In some cases, substances can be hydrophilic without actually dissolving. For example, some molecules in cells are so large that they do not dissolve. Another example of a hydrophilic substance that does not dissolve is cotton, a plant product. Cotton consists of giant molecules of cellulose, a compound with numerous regions of partial positive and partial negative charges that can form hydrogen bonds with water. Water adheres to the cellulose fibers. Thus, a cotton towel does a good job of drying the body, yet it does not dissolve in the wash.



▲ **Figure 2.22 A water-soluble protein.** Human lysozyme is a protein found in tears and saliva that has antibacterial action. This shows the lysozyme molecule (purple) in an aqueous environment. Ionic and polar regions on the protein's surface attract water molecules.

machine. Cellulose is also present in the walls of plant cells that conduct water; you read earlier how the adhesion of water to these hydrophilic walls helps water move up the plant against gravity.

There are, of course, substances that do not have an affinity for water. Substances that are nonionic and nonpolar (or otherwise cannot form hydrogen bonds) actually seem to repel water; these substances are said to be **hydrophobic** (from the Greek *phobos*, fearing). An example from the kitchen is vegetable oil, which, as you know, does not mix stably with water-based substances such as vinegar. The hydrophobic behavior of the oil molecules results from a prevalence of relatively nonpolar covalent bonds, in this case bonds between carbon and hydrogen, which share electrons almost equally. Hydrophobic molecules related to oils are major ingredients of cell membranes. (Imagine what would happen to a cell if its membrane dissolved!)

Solute Concentration in Aqueous Solutions

Most of the chemical reactions in organisms involve solutes dissolved in water. To understand such reactions, we must know how many atoms and molecules are involved and be able to calculate the concentration of solutes in an aqueous solution (the number of solute molecules in a volume of solution).

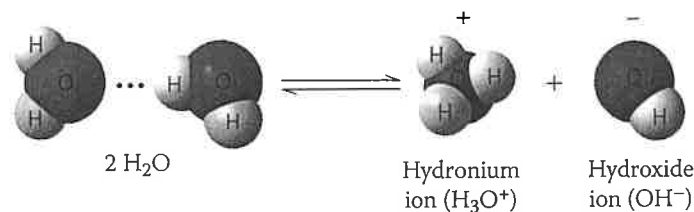
When carrying out experiments, we use mass to calculate the number of molecules. We first calculate the **molecular mass**, which is simply the sum of the masses of all the atoms in a molecule. As an example, let's calculate the molecular mass of table sugar (sucrose), $C_{12}H_{22}O_{11}$. In round numbers, sucrose has a molecular mass of $(12 \times 12) + (22 \times 1) + (11 \times 16) = 342$ daltons. Because we can't measure out small numbers of molecules, we usually measure substances in units called moles. Just as a dozen always means 12 objects, a **mole (mol)** represents an exact number of objects: 6.02×10^{23} , which is called Avogadro's number. There are 6.02×10^{23} daltons in 1 g. Once we determine the molecular mass of a molecule such as sucrose, we can use the same number (342), but with the unit *gram*, to represent the mass of 6.02×10^{23} molecules of sucrose, or 1 mol of sucrose. To obtain 1 mol of sucrose in the lab, therefore, we weigh out 342 g.

The practical advantage of measuring a quantity of chemicals in moles is that a mole of one substance has exactly the same number of molecules as a mole of any other substance. Measuring in moles makes it convenient for scientists working in the laboratory to combine substances in fixed ratios of molecules.

How would we make a liter (L) of solution consisting of 1 mol of sucrose dissolved in water? We would measure out 342 g of sucrose and then add enough water to bring the total volume of the solution up to 1 L. At that point, we would have a 1-molar (1 M) solution of sucrose. **Molarity**—the number of moles of solute per liter of solution—is the unit of concentration most often used by biologists for aqueous solutions.

Acids and Bases

Occasionally, a hydrogen atom participating in a hydrogen bond between two water molecules shifts from one molecule to the other. When this happens, the hydrogen atom leaves its electron behind, and what is actually transferred is a **hydrogen ion** (H^+), a single proton with a charge of 1+. The water molecule that lost a proton is now a **hydroxide ion** (OH^-), which has a charge of 1-. The proton binds to the other water molecule, making that molecule a **hydronium ion** (H_3O^+).



By convention, H^+ (the hydrogen ion) is used to represent H_3O^+ (the hydronium ion), and we follow that practice here. Keep in mind, though, that H^+ does not exist on its own in an aqueous solution. It is always associated with another water molecule in the form of H_3O^+ .

As indicated by the double arrows, this is a reversible reaction that reaches a state of dynamic equilibrium when water molecules dissociate at the same rate that they are being re-formed from H^+ and OH^- . At this equilibrium point, the concentration of water molecules greatly exceeds the concentrations of H^+ and OH^- . In pure water, only one water molecule in every 554 million is dissociated; the concentration of each ion in pure water is $10^{-7} M$ (at $25^\circ C$). This means there is only one ten-millionth of a mole of hydrogen ions per liter of pure water and an equal number of hydroxide ions.

Although the dissociation of water is reversible and statistically rare, it is exceedingly important in the chemistry of life. H^+ and OH^- are very reactive. Changes in their concentrations can drastically affect a cell's proteins and other complex molecules. As we have seen, the concentrations of H^+ and OH^- are equal in pure water, but adding certain kinds of solutes, called acids and bases, disrupts this balance.

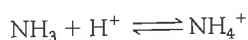
What would cause an aqueous solution to have an imbalance in H^+ and OH^- concentrations? When acids dissolve in water, they donate additional H^+ to the solution. An **acid** is a substance that increases the hydrogen ion concentration of a solution. For example, when hydrochloric acid (HCl) is added to water, hydrogen ions dissociate from chloride ions:



This source of H^+ (dissociation of water is the other source) results in an acidic solution—one having more H^+ than OH^- .

A substance that *reduces* the hydrogen ion concentration of a solution is called a **base**. Some bases reduce the H^+ concentration directly by accepting hydrogen ions. Ammonia (NH_3), for instance, acts as a base when the unshared electron pair in

nitrogen's valence shell attracts a hydrogen ion from the solution, resulting in an ammonium ion (NH_4^+):



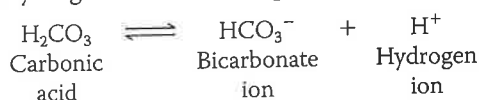
Other bases reduce the H^+ concentration indirectly by dissociating to form hydroxide ions, which combine with hydrogen ions and form water. One such base is sodium hydroxide (NaOH), which in water dissociates into its ions:



In either case, the base reduces the H^+ concentration. Solutions with a higher concentration of OH^- than H^+ are known as basic solutions. A solution in which the H^+ and OH^- concentrations are equal is said to be neutral.

Notice that single arrows were used in the reactions for HCl and NaOH . These compounds dissociate completely when mixed with water, so hydrochloric acid is called a strong acid and sodium hydroxide a strong base. In contrast, ammonia is a relatively weak base. The double arrows in the reaction for ammonia indicate that the binding and release of hydrogen ions are reversible reactions, although at equilibrium there will be a fixed ratio of NH_4^+ to NH_3 .

There are also weak acids, which reversibly release and accept back hydrogen ions. An example is carbonic acid:



Here the equilibrium so favors the reaction in the left direction that when carbonic acid is added to pure water, only 1% of the molecules are dissociated at any particular time. Still, that is enough to shift the balance of H^+ and OH^- from neutrality.

The pH Scale

In any aqueous solution at 25°C , the *product* of the H^+ and OH^- concentrations is constant at 10^{-14} . This can be written

$$[\text{H}^+][\text{OH}^-] = 10^{-14}$$

In such an equation, brackets indicate molar concentration. In a neutral solution at room temperature (25°C), $[\text{H}^+] = 10^{-7}$ and $[\text{OH}^-] = 10^{-7}$, so in this case, 10^{-14} is the product of $10^{-7} \times 10^{-7}$. If enough acid is added to a solution to increase $[\text{H}^+]$ to 10^{-5} M , then $[\text{OH}^-]$ will decline by an equivalent amount to 10^{-9} M (note that $10^{-5} \times 10^{-9} = 10^{-14}$). This constant relationship expresses the behavior of acids and bases in an aqueous solution. An acid not only adds hydrogen ions to a solution, but also removes hydroxide ions because of the tendency for H^+ to combine with OH^- , forming water. A base has the opposite effect, increasing OH^- concentration but also reducing H^+ concentration by the formation of water. If enough of a base is added to raise the OH^- concentration to 10^{-4} M , it will cause the H^+ concentration to drop to 10^{-10} M . Whenever we know the concentration of either H^+ or OH^- in an aqueous solution, we can deduce the concentration of the other ion.

Because the H^+ and OH^- concentrations of solutions vary by a factor of 100 trillion or more, scientists have developed a way to express this variation more conveniently than in moles per liter. The pH scale (**Figure 2.23**) compresses the range of H^+ and OH^- concentrations by employing logarithms. The **pH** of a solution is defined as the negative logarithm (base 10) of the hydrogen ion concentration:

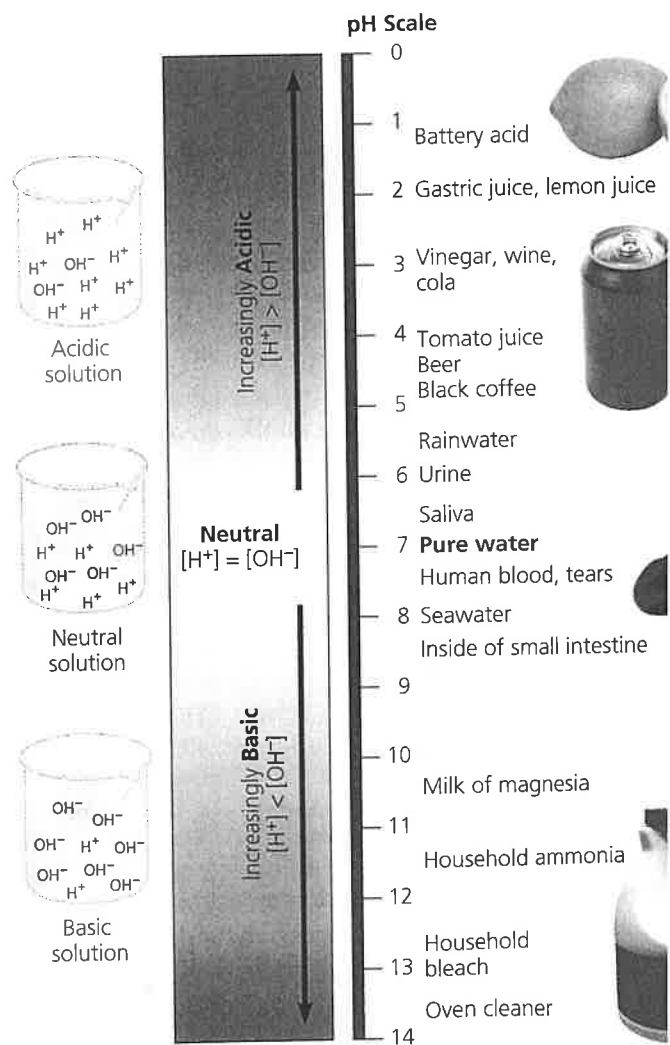
$$\text{pH} = -\log [\text{H}^+]$$

For a neutral aqueous solution, $[\text{H}^+]$ is 10^{-7} M , giving us

$$-\log 10^{-7} = -(-7) = 7$$

Notice that pH *declines* as H^+ concentration *increases*. Not too, that although the pH scale is based on H^+ concentration it also implies OH^- concentration. A solution of pH 10 has hydrogen ion concentration of 10^{-10} M and a hydroxide ion concentration of 10^{-4} M .

The pH of a neutral aqueous solution at 25°C is 7, the midpoint of the pH scale. A pH value less than 7 denotes an acid solution; the lower the number, the more acidic the solution.



▲ **Figure 2.23** The pH scale and pH values of some aqueous solutions.

The pH for basic solutions is above 7. Most biological fluids are within the range pH 6–8. There are a few exceptions, however, including the strongly acidic digestive juice of the human stomach, which has a pH of about 2.

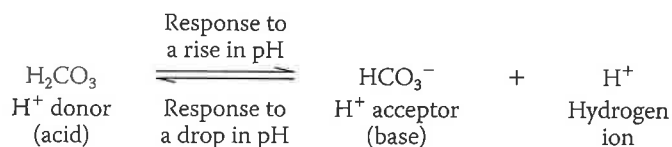
Remember that each pH unit represents a tenfold difference in H^+ and OH^- concentrations. It is this mathematical feature that makes the pH scale so compact. A solution of pH 3 is not twice as acidic as a solution of pH 6, but a thousand times ($10 \times 10 \times 10$) more acidic. When the pH of a solution changes slightly, the actual concentrations of H^+ and OH^- in the solution change substantially.

Buffers

The internal pH of most living cells is close to 7. Even a slight change in pH can be harmful because the chemical processes of the cell are very sensitive to the concentrations of hydrogen and hydroxide ions. The pH of human blood is very close to 7.4, which is slightly basic. A person cannot survive for more than a few minutes if the blood pH drops to 7 or rises to 7.8, and a chemical system exists in the blood that maintains a stable pH. If you add 0.01 mol of a strong acid to a liter of pure water, the pH drops from 7.0 to 2.0. If the same amount of acid is added to a liter of blood, however, the pH decrease is only from 7.4 to 7.3. Why does the addition of acid have so much less of an effect on the pH of blood than it does on the pH of water?

The presence of substances called buffers allows biological fluids to maintain a relatively constant pH despite the addition of acids or bases. A **buffer** is a substance that minimizes changes in the concentrations of H^+ and OH^- in a solution. It does so by accepting hydrogen ions from the solution when they are in excess and donating hydrogen ions to the solution when they have been depleted. Most buffer solutions contain a weak acid and its corresponding base, which combine reversibly with hydrogen ions.

There are several buffers that contribute to pH stability in human blood and many other biological solutions. One of these is carbonic acid (H_2CO_3), which is formed when CO_2 reacts with water in blood plasma. As mentioned earlier, carbonic acid dissociates to yield a bicarbonate ion (HCO_3^-) and a hydrogen ion (H^+):



The chemical equilibrium between carbonic acid and bicarbonate acts as a pH regulator, the reaction shifting left or right as other processes in the solution add or remove hydrogen ions. If the H^+ concentration in blood begins to fall (that is, if pH rises), the reaction proceeds to the right and more carbonic acid dissociates, replenishing hydrogen ions. But when H^+ concentration in blood begins to rise (when pH drops), the

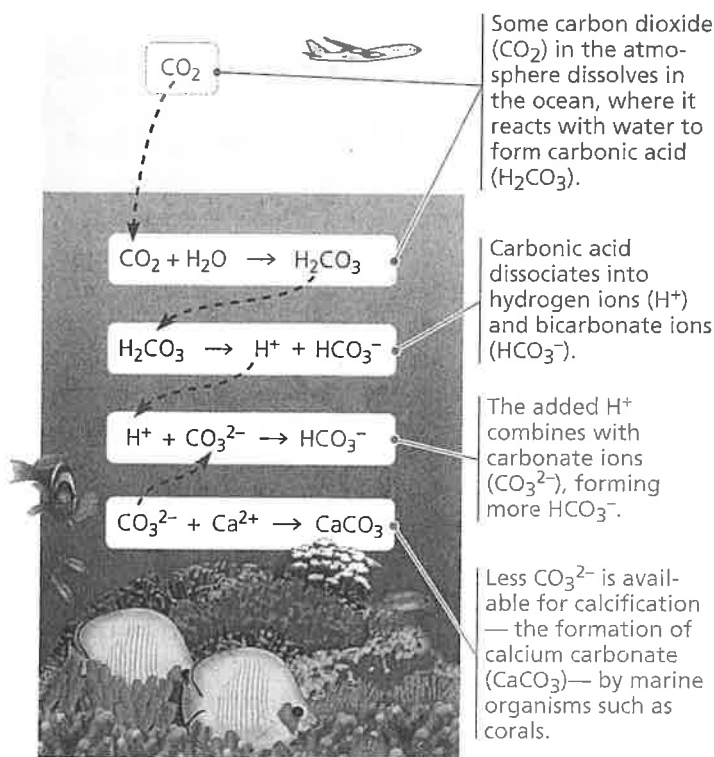
reaction proceeds to the left, with HCO_3^- (the base) removing the hydrogen ions from the solution and forming H_2CO_3 . Thus, the carbonic acid–bicarbonate buffering system consists of an acid and a base in equilibrium with each other. Most other buffers are also acid–base pairs.

Acidification: A Threat to Our Oceans

Among the many threats to water quality posed by human activities is the burning of fossil fuels, which releases gaseous compounds into the atmosphere. When certain of these compounds react with water, the water becomes more acidic, altering the delicate balance of conditions for life on Earth.

Carbon dioxide is the main product of fossil fuel combustion. About 25% of human-generated CO_2 is absorbed by the oceans. In spite of the huge volume of water in the oceans, scientists worry that the absorption of so much CO_2 will harm marine ecosystems.

Recent data have shown that such fears are well founded. When CO_2 dissolves in seawater, it reacts with water to form carbonic acid, which lowers ocean pH, causing ocean acidification (see **Figure 2.24**). Based on measurements of CO_2 levels in air bubbles trapped in ice over thousands of years, scientists calculate that the pH of the oceans is 0.1 pH unit lower now than at any time in the past 420,000 years. Recent studies predict that it will drop another 0.3–0.5 pH unit by the end of this century.



▲ **Figure 2.24 Atmospheric CO_2 from human activities and its fate in the ocean.**

WHAT IF? Would lowering the ocean's carbonate concentration have any effect, even indirectly, on organisms that don't form $CaCO_3$? Explain.

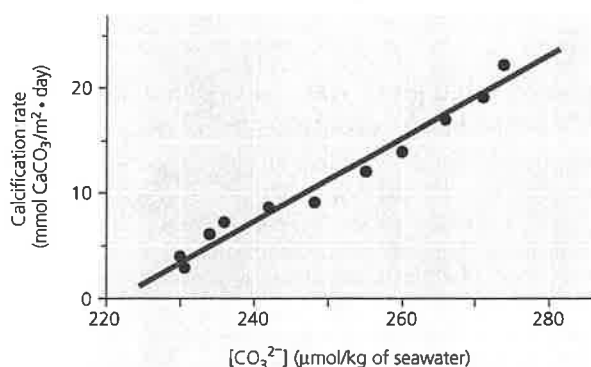
Scientific Skills Exercise

Interpreting a Scatter Plot with a Regression Line

How Does the Carbonate Ion Concentration of Seawater Affect the Calcification Rate of a Coral Reef? Scientists predict that acidification of the ocean due to higher levels of atmospheric CO_2 will lower the concentration of dissolved carbonate ions, which living corals use to build calcium carbonate reef structures. In this exercise, you will analyze data from a controlled experiment that examined the effect of carbonate ion concentration ($[\text{CO}_3^{2-}]$) on calcium carbonate deposition, a process called calcification.

How the Experiment Was Done The Biosphere 2 aquarium in Arizona contains a large coral reef system that behaves like a natural reef. For several years, a group of researchers measured the rate of calcification by the reef organisms and examined how the calcification rate changed with differing amounts of dissolved carbonate ions in the seawater.

Data from the Experiment The black data points in the graph below form a scatter plot. The red line, known as a linear regression line, is the best-fitting straight line for these points. These data are from one set of experiments, in which the pH, temperature, and calcium ion concentration of the seawater were held constant.



Interpret the Data

1. When presented with a graph of experimental data, the first step in analysis is to determine what each axis represents. (a) In words,

As seawater acidifies, the extra hydrogen ions combine with carbonate ions (CO_3^{2-}) to form bicarbonate ions (HCO_3^-), thereby reducing the carbonate ion concentration (see Figure 2.24). Scientists predict that ocean acidification will cause the carbonate ion concentration to decrease by 40% by the year 2100. This is of great concern because carbonate ions are required for calcification, the production of calcium carbonate (CaCO_3), by many marine organisms, including reef-building corals and animals that build shells. The **Scientific Skills Exercise** gives you an opportunity to work with data from an experiment examining the effect of carbonate ion concentration on coral reefs. Coral reefs are sensitive ecosystems that act as havens for a great diversity of marine life. The disappearance of coral reef ecosystems would be a tragic loss of biological diversity.

explain what is being shown on the x-axis. Be sure to include the units. (b) What is being shown on the y-axis (including units)? (c) Which variable is the independent variable—the variable that was *manipulated* by the researchers? (d) Which variable is the dependent variable—the variable that responded to or depended on the treatment, which was *measured* by the researchers? (For additional information about graphs, see the Scientific Skills Review in Appendix F and in the Study Area in MasteringBiology.)

2. Based on the data shown in the graph, describe in words the relationship between carbonate ion concentration and calcification rate.
3. (a) If the seawater carbonate ion concentration is $270 \mu\text{mol/kg}$, what is the approximate rate of calcification, and approximately how many days would it take 1 square meter of reef to accumulate 30 mmol of calcium carbonate (CaCO_3)? To determine the rate of calcification, draw a vertical line up from the x-axis at the value of $270 \mu\text{mol/kg}$ until it intersects the red line. Then draw a horizontal line from the intersection over to the y-axis to see what the calcification rate is at that carbonate ion concentration. (b) If the seawater carbonate ion concentration is $250 \mu\text{mol/kg}$, what is the approximate rate of calcification, and approximately how many days would it take 1 square meter of reef to accumulate 30 mmol of calcium carbonate? (c) If carbonate ion concentration decreases, how does the calcification rate change, and how does that affect the time it takes coral to grow?
4. (a) Referring to the equations in Figure 2.24, determine which step of the process is measured in this experiment. (b) Do the results of this experiment support the hypothesis that increased atmospheric $[\text{CO}_2]$ will slow the growth of coral reefs? Why or why not?

Data from C. Langdon et al., Effect of calcium carbonate saturation state on the calcification rate of an experimental coral reef, *Global Biogeochemical Cycles* 14:639–654 (2000).

A version of this Scientific Skills Exercise can be assigned in MasteringBiology.

CONCEPT CHECK 2.5

1. Describe how properties of water contribute to the upward movement of water in a tree.
2. How can the freezing of water crack boulders?
3. The concentration of the appetite-regulating hormone ghrelin is about $1.3 \times 10^{-10} \text{ M}$ in a fasting person. How many molecules of ghrelin are in 1 L of blood?
4. Compared with a basic solution at pH 9, the same volume of an acidic solution at pH 4 has _____ times as many hydrogen ions (H^+).
5. **WHAT IF?** What would be the effect on the properties of the water molecule if oxygen and hydrogen had equal electronegativity?

For suggested answers, see Appendix A.

2 Chapter Review

SUMMARY OF KEY CONCEPTS

CONCEPT 2.1

Matter consists of chemical elements in pure form and in combinations called compounds (pp. 19–20)

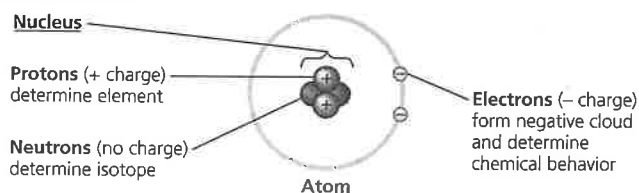
- **Elements** cannot be broken down chemically to other substances. A **compound** contains two or more different elements in a fixed ratio. Oxygen, carbon, hydrogen, and nitrogen make up approximately 96% of living matter.

? *In what way does the need for iodine or iron in your diet differ from your need for calcium or phosphorus?*

CONCEPT 2.2

An element's properties depend on the structure of its atoms (pp. 20–24)

- An **atom**, the smallest unit of an element, has the following components:



- An electrically neutral atom has equal numbers of electrons and protons; the number of protons determines the **atomic number**. **Isotopes** of an element differ from each other in neutron number and therefore mass. Unstable isotopes give off particles and energy as radioactivity.
- In an atom, electrons occupy specific **electron shells**; the electrons in a shell have a characteristic energy level. Electron distribution in shells determines the chemical behavior of an atom. An atom that has an incomplete outer shell, the **valence shell**, is reactive.

DRAW IT Draw the electron distribution diagrams for neon ($_{10}\text{Ne}$) and argon ($_{18}\text{Ar}$). Why are they chemically unreactive?

CONCEPT 2.3

The formation and function of molecules depend on chemical bonding between atoms (pp. 24–28)

- **Chemical bonds** form when atoms interact and complete their valence shells. **Covalent bonds** form when pairs of electrons are shared. H_2 has a **single bond**: $\text{H} - \text{H}$. A **double bond** is the sharing of two pairs of electrons, as in $\text{O} = \text{O}$.
- **Molecules** consist of two or more covalently bonded atoms. The attraction of an atom for the electrons of a covalent bond is its **electronegativity**. Electrons of a **polar covalent bond** are pulled closer to the more electronegative atom.
- An **ion** forms when an atom or molecule gains or loses an electron and becomes charged. An **ionic bond** is the attraction between two oppositely charged ions, such as Na^+ and Cl^- .
- Weak bonds reinforce the shapes of large molecules and help molecules adhere to each other. A **hydrogen bond** is an attraction between a hydrogen atom carrying a partial positive charge (δ^+) and an electronegative atom (δ^-). **Van der Waals interactions** occur between transiently positive and negative regions of molecules.

- Molecular shape is usually the basis for the recognition of one biological molecule by another.

? *In terms of electron sharing between atoms, compare nonpolar covalent bonds, polar covalent bonds, and the formation of ions.*

CONCEPT 2.4

Chemical reactions make and break chemical bonds (pp. 28–29)

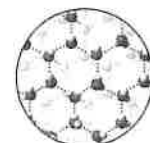
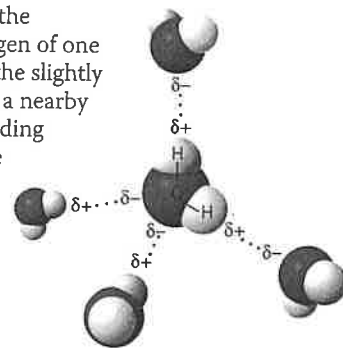
- **Chemical reactions** change **reactants** into **products** while conserving matter. All chemical reactions are theoretically reversible. **Chemical equilibrium** is reached when the forward and reverse reaction rates are equal.

? *What would happen to the concentration of products if more reactants were added to a reaction that was in chemical equilibrium? How would this addition affect the equilibrium?*

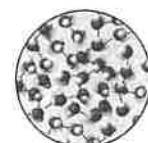
CONCEPT 2.5

Hydrogen bonding gives water properties that help make life possible on Earth (pp. 29–37)

- A hydrogen bond forms when the slightly negatively charged oxygen of one water molecule is attracted to the slightly positively charged hydrogen of a nearby water molecule. Hydrogen bonding between water molecules is the basis for water's properties.
- Hydrogen bonding keeps water molecules close to each other, giving water **cohesion**. Hydrogen bonding is also responsible for water's **surface tension**.
- Water has a high **specific heat**: Heat is absorbed when hydrogen bonds break and is released when hydrogen bonds form. This helps keep temperatures relatively steady, within limits that permit life. **Evaporative cooling** is based on water's high **heat of vaporization**. The evaporative loss of the most energetic water molecules cools a surface.
- Ice floats because it is less dense than liquid water. This property allows life to exist under the frozen surfaces of lakes and seas.
- Water is an unusually versatile **solvent** because its polar molecules are attracted to ions and polar substances that can form hydrogen bonds. **Hydrophilic** substances have an affinity for water; **hydrophobic** substances do not. **Molarity**, the number of moles of **solute** per liter of **solution**, is used as a measure of solute concentration in solutions. A **mole** is a certain number of molecules of a substance. The mass of a mole of a substance in grams is the same as the **molecular mass** in daltons.
- A water molecule can transfer an H^+ to another water molecule to form H_3O^+ (represented simply by H^+) and OH^- .

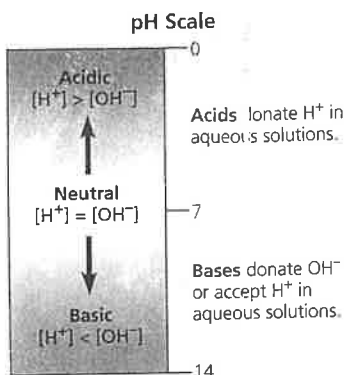


Ice: stable hydrogen bonds



Liquid water: transient hydrogen bonds

- The concentration of H^+ is expressed as **pH**; $pH = -\log [H^+]$. A **buffer** consists of an acid-base pair that combines reversibly with hydrogen ions, allowing it to resist pH changes.
- The burning of fossil fuels increases the amount of CO_2 in the atmosphere. Some CO_2 dissolves in the oceans, causing ocean acidification, which has potentially grave consequences for coral reefs.



? Describe how the properties of water result from the molecule's polar covalent bonds and how these properties contribute to Earth's suitability for life.

TEST YOUR UNDERSTANDING

Level 1: Knowledge/Comprehension

- The reactivity of an atom arises from
 - the average distance of the outermost electron shell from the nucleus.
 - the existence of unpaired electrons in the valence shell.
 - the sum of the potential energies of all the electron shells.
 - the potential energy of the valence shell.
 - the energy differences between the electron shells.
- Which of the following statements correctly describes any chemical reaction that has reached equilibrium?
 - The concentrations of products and reactants are equal.
 - The reaction is now irreversible.
 - Both forward and reverse reactions have halted.
 - The rates of the forward and reverse reactions are equal.
 - No reactants remain.
- Many mammals control their body temperature by sweating. Which property of water is most directly responsible for the ability of sweat to lower body temperature?
 - water's change in density when it condenses
 - water's ability to dissolve molecules in the air
 - the release of heat by the formation of hydrogen bonds
 - the absorption of heat by the breaking of hydrogen bonds
 - water's high surface tension
- We can be sure that a mole of table sugar and a mole of vitamin C are equal in their
 - mass in daltons.
 - mass in grams.
 - volume.
 - number of atoms.
 - number of molecules.
- Measurements show that the pH of a particular lake is 4.0. What is the hydrogen ion concentration of the lake?
 - $4.0 M$
 - $10^{-10} M$
 - $10^{-4} M$
 - $10^4 M$
 - 4%

Level 2: Application/Analysis

- The atomic number of sulfur is 16. Sulfur combines with hydrogen by covalent bonding to form a compound, hydrogen sulfide. Based on the number of valence electrons in a sulfur atom, predict the molecular formula of the compound.
 - HS
 - HS_2
 - H_2S
 - H_3S_2
 - H_4S

- What coefficients must be placed in the following blanks so that all atoms are accounted for in the products?

$$C_6H_{12}O_6 \rightarrow \underline{\hspace{1cm}} C_2H_6O + \underline{\hspace{1cm}} CO_2$$
 - 1; 2
 - 3; 1
 - 1; 3
 - 1; 1
 - 2; 2

- A slice of pizza has 500 kcal. If we could burn the pizza and use all the heat to warm a 50-L container of cold water, what would be the approximate increase in the temperature of the water? (Note: A liter of cold water weighs about 1 kg.)
 - $50^\circ C$
 - $5^\circ C$
 - $1^\circ C$
 - $100^\circ C$
 - $10^\circ C$

- DRAW IT** Draw the hydration shells that form around a potassium ion and a chloride ion when potassium chloride (KCl) dissolves in water. Label the positive, negative, and partial charges on the atoms.

Level 3: Synthesis/Evaluation

10. SCIENTIFIC INQUIRY

Female silkworm moths (*Bombyx mori*) attract males by emitting chemical signals that spread through the air. A male hundreds of meters away can detect these molecules and fly toward their source. The sensory organs responsible for this behavior are the comblike antennae visible in the photograph shown here. Each filament of an antenna is equipped with thousands of receptor cells



that detect the sex attractant. Based on what you learned in this chapter, propose a hypothesis to account for the ability of the male moth to detect a specific molecule in the presence of many other molecules in the air. What predictions does your hypothesis make? Design an experiment to test one of these predictions

11. FOCUS ON EVOLUTION

The percentages of naturally occurring elements making up the human body are similar to the percentages of these elements found in other organisms. How could you account for this similarity among organisms?

12. FOCUS ON ORGANIZATION

Several emergent properties of water contribute to the suitability of the environment for life. In a short essay (100–150 words) describe how the ability of water to function as a versatile solvent arises from the structure of water molecules.

For selected answers, see Appendix A.

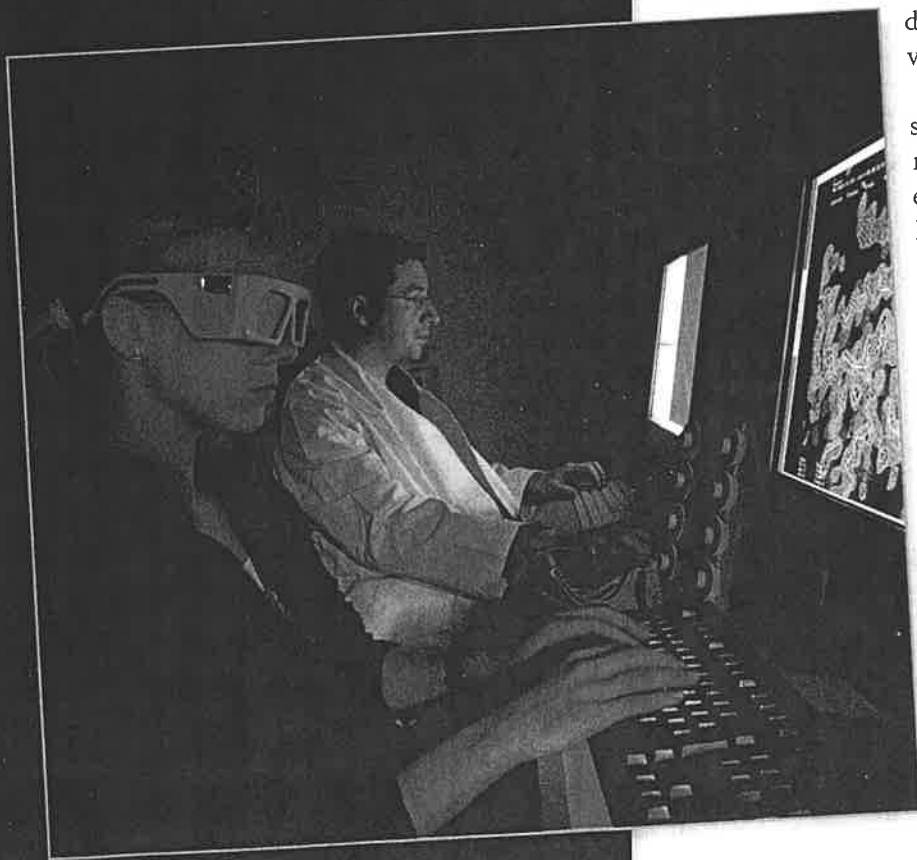
MasteringBiology®

Students Go to **MasteringBiology** for assignments, the eText, and the Study Area with practice tests, animations, and activities.

Instructors Go to **MasteringBiology** for automatically graded tutorials and questions that you can assign to your students, plus Instructor Resources.

Carbon and the Molecular Diversity of Life

▼ Figure 3.1 Why do scientists study the structures of macromolecules?



KEY CONCEPTS

- 3.1 Carbon atoms can form diverse molecules by bonding to four other atoms
- 3.2 Macromolecules are polymers, built from monomers
- 3.3 Carbohydrates serve as fuel and building material
- 3.4 Lipids are a diverse group of hydrophobic molecules
- 3.5 Proteins include a diversity of structures, resulting in a wide range of functions
- 3.6 Nucleic acids store, transmit, and help express hereditary information

OVERVIEW

Carbon Compounds and Life

Water is the universal medium for life on Earth, but water aside, living organisms are made up of chemicals based mostly on the element carbon. Of all chemical elements, carbon is unparalleled in its ability to form molecules that are large, complex, and varied. Hydrogen (H), oxygen (O), nitrogen (N), sulfur (S), and phosphorus (P) are other common ingredients of these compounds, but it is the element carbon (C) that accounts for the enormous variety of biological molecules. For historical reasons, a compound containing carbon is said to be an **organic compound**; furthermore, almost all organic compounds associated with life contain hydrogen atoms in addition to carbon atoms. Different species of organisms and even different individuals within a species are distinguished by variations in their large organic compounds.

Given the rich complexity of life on Earth, it may surprise you to learn that the critically important large molecules of all living things—from bacteria to elephants—fall into just four main classes: carbohydrates, lipids, proteins, and nucleic acids. On the molecular scale, members of three of these classes—carbohydrates, proteins, and nucleic acids—are huge and are therefore called **macromolecules**. For example, a protein may consist of thousands of atoms that form a molecular colossus with a mass well over 100,000 daltons. Considering the size and complexity of macromolecules, it is noteworthy that biochemists have determined the detailed structure of so many of them. The scientist in the foreground of **Figure 3.1** is using 3-D glasses to help her visualize the structure of the protein displayed on her screen. The structures of macromolecules can provide important information about their functions.

In this chapter, we'll first investigate the properties of small organic molecules and then go on to discuss the larger biological molecules. After considering how macromolecules are built, we'll examine the structure and function of all four classes of large biological molecules. The architecture of a large biological molecule helps explain how that molecule works. Like small molecules, large biological molecules exhibit unique emergent properties arising from the orderly arrangement of their atoms.

▼ **Figure 3.2** The shapes of three simple organic molecules.

Name and Comment	Molecular Formula	Structural Formula	Ball-and-Stick Model (molecular shape in pink)	Space-Filling Model
(a) Methane. When a carbon atom has four single bonds to other atoms, the molecule is tetrahedral.	CH ₄	$ \begin{array}{c} \text{H} \\ \\ \text{H} - \text{C} - \text{H} \\ \\ \text{H} \end{array} $		
(b) Ethane. A molecule may have more than one tetrahedral group of single-bonded atoms. (Ethane consists of two such groups.)	C ₂ H ₆	$ \begin{array}{c} \text{H} \quad \text{H} \\ \quad \\ \text{H} - \text{C} - \text{C} - \text{H} \\ \quad \\ \text{H} \quad \text{H} \end{array} $		
(c) Ethene (ethylene). When two carbon atoms are joined by a double bond, all atoms attached to those carbons are in the same plane; the molecule is flat.	C ₂ H ₄	$ \begin{array}{c} \text{H} \quad \text{H} \\ \diagdown \quad \diagup \\ \text{C} = \text{C} \\ \diagup \quad \diagdown \\ \text{H} \quad \text{H} \end{array} $		

CONCEPT 3.1

Carbon atoms can form diverse molecules by bonding to four other atoms

The key to an atom's chemical characteristics is its electron configuration. This configuration determines the kinds and number of bonds an atom will form with other atoms, and it is the source of carbon's versatility.

The Formation of Bonds with Carbon

Carbon has 6 electrons, with 2 in the first electron shell and 4 in the second shell; thus, it has 4 valence electrons in a shell that holds 8 electrons. A carbon atom usually completes its valence shell by sharing its 4 electrons with other atoms so that 8 electrons are present. Each pair of shared electrons constitutes a covalent bond (see Figure 2.8d). In organic molecules, carbon usually forms single or double covalent bonds. Each carbon atom acts as an intersection point from which a molecule can branch off in as many as four directions. This ability is one facet of carbon's versatility that makes large, complex molecules possible.

When a carbon atom forms four single covalent bonds, the bonds angle toward the corners of an imaginary tetrahedron. The bond angles in methane (CH₄) are 109.5° (**Figure 3.2a**), and they are roughly the same in any group of atoms where carbon has four single bonds. For example, ethane (C₂H₆) is

shaped like two overlapping tetrahedrons (**Figure 3.2b**). In molecules with more carbons, every grouping of a carbon bonded to four other atoms has a tetrahedral shape. But when two carbon atoms are joined by a double bond, as in ethene (C₂H₄), the atoms joined to those carbons are in the same plane as the carbons (**Figure 3.2c**). We find it convenient to write molecules as structural formulas, as if the molecules being represented are two-dimensional, but keep in mind that molecules are three-dimensional and that the shape of a molecule often determines its function.

The electron configuration of carbon gives it covalent compatibility with many different elements. **Figure 3.3** shows electron distribution diagrams for carbon and its most frequent partners—hydrogen, oxygen, and nitrogen. These are the four major atomic components of organic molecules.

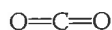
The number of unpaired electrons in the valence shell of an atom is generally equal to the atom's **valence**, the number of covalent bonds it can form. Let's consider how valence and



▲ **Figure 3.3** Valences of the major elements of organic molecules. Valence is the number of covalent bonds an atom can form. It is generally equal to the number of electrons required to complete the valence (outermost) shell (see Figure 2.6). Note that carbon can form four bonds.

the rules of covalent bonding apply to carbon atoms with partners other than hydrogen. We'll first look at the simple example of carbon dioxide.

In the carbon dioxide molecule (CO_2), a single carbon atom is joined to two atoms of oxygen by double covalent bonds. The structural formula for CO_2 is shown here:



Each line in a structural formula represents a pair of shared electrons. Thus, the two double bonds in CO_2 have the same number of shared electrons as four single bonds. The arrangement completes the valence shells of all atoms in the molecule. Because CO_2 is a very simple molecule and lacks hydrogen, it is often considered inorganic, even though it contains carbon. Whether we call CO_2 organic or inorganic, however, it is clearly important to the living world as the source of carbon for all organic molecules in organisms.

Carbon dioxide is a molecule with only one carbon atom. But as Figure 3.2 shows, a carbon atom can also use one or more valence electrons to form covalent bonds to other carbon atoms, linking the atoms into chains of seemingly infinite variety.

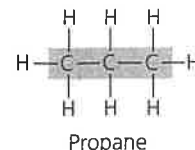
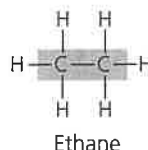
Molecular Diversity Arising from Variation in Carbon Skeletons

Carbon chains form the skeletons of most organic molecules. The skeletons vary in length and may be straight, branched, or arranged in closed rings (**Figure 3.4**). Some carbon skeletons have double bonds, which vary in number and location. Such variation in carbon skeletons is one important source of the molecular complexity and diversity that characterize living matter. In addition, atoms of other elements can be bonded to the skeletons at available sites.

All of the molecules shown in Figures 3.2 and 3.4 are **hydrocarbons**, organic molecules consisting of only carbon and hydrogen. Atoms of hydrogen are attached to the carbon skeleton wherever electrons are available for covalent bonding. Hydrocarbons are the major components of petroleum, which is called a fossil fuel because it consists of the partially decomposed remains of organisms that lived millions of years ago. Although hydrocarbons are not prevalent in most living organisms, many of a cell's organic molecules have regions consisting of only carbon and hydrogen. For example, the molecules known as fats have long hydrocarbon tails attached to a nonhydrocarbon component (as you will see in Figure 3.12). Neither petroleum nor fat dissolves in water; both are hydrophobic compounds because the great majority of their bonds are relatively nonpolar carbon-to-hydrogen linkages. Another characteristic of hydrocarbons is that they can undergo reactions that release a relatively large amount of energy. The gasoline that fuels a car consists of hydrocarbons, and the hydrocarbon tails of fats serve as stored fuel for animals.

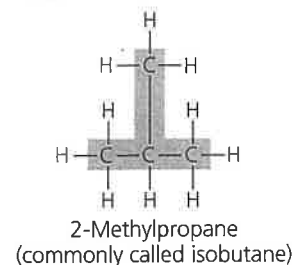
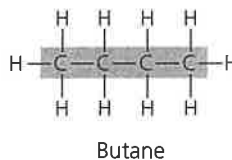
▼ **Figure 3.4 Four ways that carbon skeletons can vary.**

(a) Length



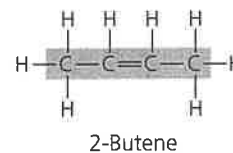
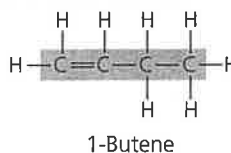
Carbon skeletons vary in length.

(b) Branching



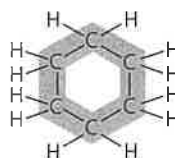
Skeletons may be unbranched or branched.

(c) Double bond position

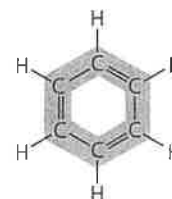


The skeleton may have double bonds, which can vary in location.

(d) Presence of rings



Cyclohexane



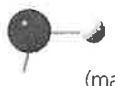
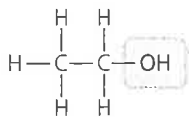

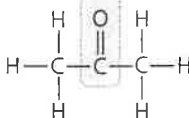
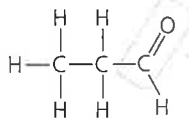

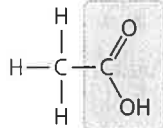
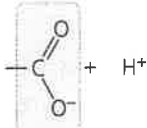

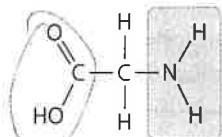
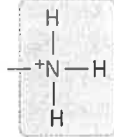
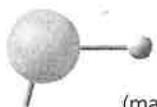
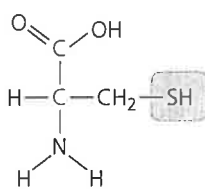

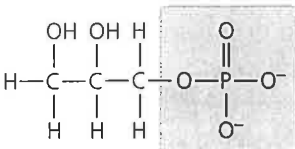
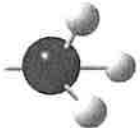
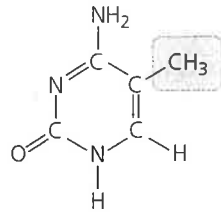
Benzene

Some carbon skeletons are arranged in rings. In the abbreviated structural formula for each compound (at the right), each corner represents a carbon and its attached hydrogens.

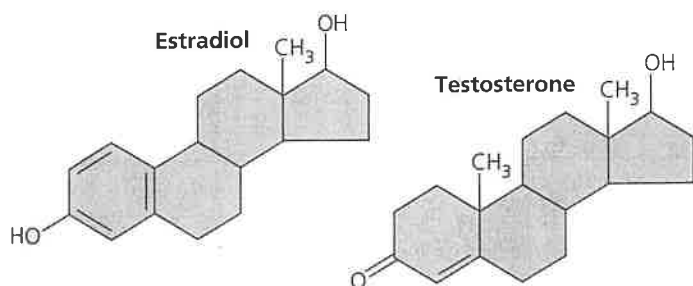
The Chemical Groups Most Important to Life

The distinctive properties of an organic molecule depend not only on the arrangement of its carbon skeleton but also on the chemical groups attached to that skeleton (**Figure 3.5**). We can think of hydrocarbons, the simplest organic molecules, as the underlying framework for more complex organic molecules. A number of chemical groups can replace one or more of the hydrogens bonded to the carbon skeleton of the hydrocarbon. The number and arrangement of chemical groups help give each organic molecule its unique properties.

▼ Figure 3.5 Some biologically important chemical groups.

Chemical Group	Compound Name	Examples
Hydroxyl group (—OH)  —OH (may be written HO—)	Alcohol (The specific name usually ends in <i>-ol</i> .)	 Ethanol , the alcohol present in alcoholic beverages
Carbonyl group ($>\text{C=O}$)  —C=O	Ketone if the carbonyl group is within a carbon skeleton Aldehyde if the carbonyl group is at the end of a carbon skeleton	 Acetone , the simplest ketone  Propanal , an aldehyde
Carboxyl group (—COOH)  —C(=O)OH	Carboxylic acid , or organic acid	 Acetic acid , which gives vinegar its sour taste \rightleftharpoons  Ionized form of —COO^- (carboxylate ion), found in cells
Amino group (—NH_2)  —NH_2	Amine	 Glycine , an amino acid (note its carboxyl group) $+ \text{H}^+ \rightleftharpoons$  Ionized form of —NH_2 , found in cells
Sulfhydryl group (—SH)  —SH (may be written HS—)	Thiol	 Cysteine , a sulfur-containing amino acid
Phosphate group (—OPO_3^{2-})  —O—P(=O)(OH)_2	Organic phosphate	 Glycerol phosphate , which takes part in many important chemical reactions in cells
Methyl group (—CH_3)  —CH_3	Methylated compound	 5-Methyl cytosine , a component of DNA that has been modified by addition of a methyl group

In some cases, chemical groups contribute to function primarily by affecting the molecule's shape. This is true for the steroid sex hormones estradiol (a type of estrogen) and testosterone, which differ in attached chemical groups.

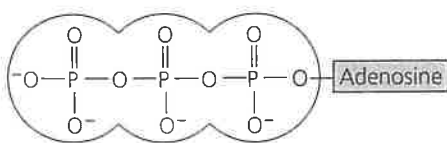


In other cases, the chemical groups affect molecular function by being directly involved in chemical reactions; these important chemical groups are known as **functional groups**. Each functional group participates in chemical reactions in a characteristic way.

The seven chemical groups most important in biological processes are the hydroxyl, carbonyl, carboxyl, amino, sulfhydryl, phosphate, and methyl groups (see Figure 3.5). The first six groups can act as functional groups; also, except for the sulfhydryl, they are hydrophilic and thus increase the solubility of organic compounds in water. The last group, the methyl group, is not reactive, but instead often serves as a recognizable tag on biological molecules. Before reading further, study Figure 3.5 to familiarize yourself with these biologically important chemical groups. Notice the ionized forms of the amino group and carboxyl group; these are the forms of these groups at normal cellular pH.

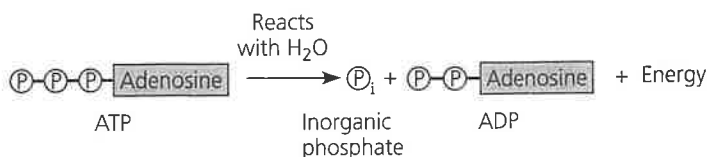
ATP: An Important Source of Energy for Cellular Processes

The “phosphate group” row in Figure 3.5 shows a simple example of an organic phosphate molecule. A more complicated organic phosphate, **adenosine triphosphate**, or **ATP**, is worth mentioning here because its function in the cell is so important. ATP consists of an organic molecule called adenosine attached to a string of three phosphate groups:



Where three phosphates are present in series, as in ATP, one phosphate may be split off as a result of a reaction with water. This inorganic phosphate ion, HOPO_3^{2-} , is often abbreviated P_i in this book, and a phosphate group in an organic molecule is often written as P . Having lost one phosphate, ATP becomes adenosine **diphosphate**, or **ADP**. Although ATP is sometimes said to store energy, it is more accurate to think of it as storing the potential to react with

water. This reaction releases energy that can be used by the cell. (You will learn about this in more detail in Chapter 6.)



CONCEPT CHECK 3.1

1. How are gasoline and fat chemically similar?
2. What does the term *amino acid* signify about the structure of such a molecule?
3. **WHAT IF?** Suppose you had an organic molecule such as cysteine (see Figure 3.5, sulfhydryl group example), and you chemically removed the $-\text{NH}_2$ group and replaced it with $-\text{COOH}$. How would this change the chemical properties of the molecule?

For suggested answers, see Appendix A.

CONCEPT 3.2

Macromolecules are polymers, built from monomers

The macromolecules in three of the four classes of life's organic compounds—carbohydrates, proteins, and nucleic acids—are chain-like molecules called **polymers** (from the Greek *polys*, many, and *meros*, part). A **polymer** is a long molecule consisting of many similar or identical building blocks linked by covalent bonds, much as a train consists of a chain of cars. The repeating units that serve as the building blocks of a polymer are smaller molecules called **monomers** (from the Greek *monos*, single). Some of the molecules that serve as monomers also have other functions of their own.

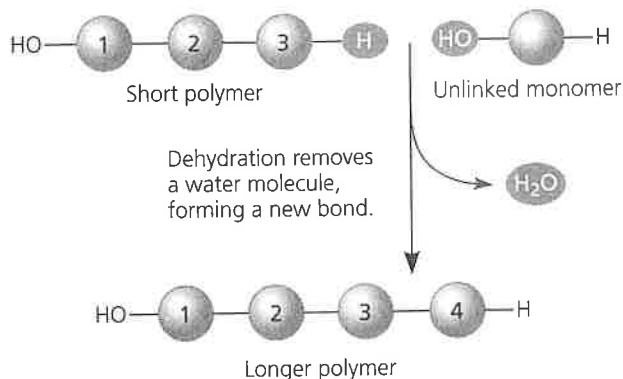
The Synthesis and Breakdown of Polymers

Although each class of polymer is made up of a different type of monomer, the chemical mechanisms by which cells make and break down polymers are basically the same in all cases. In cells, these processes are facilitated by **enzymes**, specialized macromolecules (usually proteins) that speed up chemical reactions. Monomers are connected by a reaction in which two molecules are covalently bonded to each other, with the loss of a water molecule; this is known as a **dehydration reaction** (Figure 3.6a). When a bond forms between two monomers, each monomer contributes part of the water molecule that is released during the reaction: One monomer provides a hydroxyl group ($-\text{OH}$), while the other provides a hydrogen ($-\text{H}$). This reaction is repeated as monomers are added to the chain one by one, making a polymer.

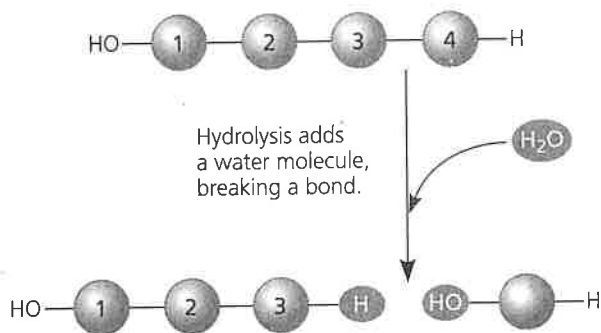
Polymers are disassembled to monomers by **hydrolysis**, a process that is essentially the reverse of the dehydration reaction (Figure 3.6b). Hydrolysis means breakage using water

▼ Figure 3.6 The synthesis and breakdown of polymers.

(a) Dehydration reaction: synthesizing a polymer



(b) Hydrolysis: breaking down a polymer



(from the Greek *hydro*, water, and *lysis*, break). The bond between the monomers is broken by the addition of a water molecule, with a hydrogen from the water attaching to one monomer and the hydroxyl group attaching to the adjacent monomer. An example of hydrolysis working within our bodies is the process of digestion. The bulk of the organic material in our food is in the form of polymers that are much too large to enter our cells. Within the digestive tract, various enzymes attack the polymers, speeding up hydrolysis. The released monomers are then absorbed into the bloodstream for distribution to all body cells. Those cells can then use dehydration reactions to assemble the monomers into new, different polymers that can perform specific functions required by the cell.

The Diversity of Polymers

Each cell has thousands of different macromolecules; the collection varies from one type of cell to another even in the same organism. The inherent differences between, for example, human siblings reflect small variations in polymers, particularly DNA and proteins. Molecular differences between unrelated individuals are more extensive and those between species greater still. The diversity of macromolecules in the living world is vast, and the possible variety is effectively limitless.

What is the basis for such diversity in life's polymers?

These molecules are constructed from only 40 to 50 common monomers and some others that occur rarely. Building a huge variety of polymers from such a limited number of monomer is analogous to constructing hundreds of thousands of words from only 26 letters of the alphabet. The key is arrangement—the particular linear sequence that the units follow. However, this analogy falls far short of describing the great diversity of macromolecules because most biological polymers have many more monomers than the number of letters in the longest word. Proteins, for example, are built from 20 kinds of amino acids arranged in chains that are typically hundreds of amino acids long. The molecular logic of life is simple but elegant: Small molecules common to all organisms are ordered into unique macromolecules.

Despite this immense diversity, molecular structure and function can still be grouped roughly by class. Let's examine each of the four major classes of large biological molecules. For each class, the large molecules have emergent properties not found in their individual building blocks.

CONCEPT CHECK 3.2

1. How many molecules of water are needed to completely hydrolyze a polymer that is ten monomers long?
2. **WHAT IF?** Suppose you eat a serving of fish. What reactions must occur for the amino acid monomers in the protein of the fish to be converted to new proteins in your body?

For suggested answers, see Appendix A.

CONCEPT 3.3

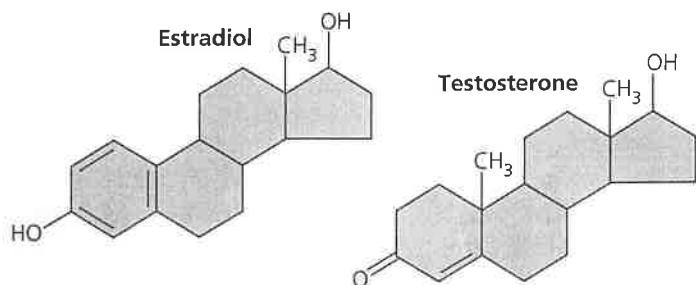
Carbohydrates serve as fuel and building material

Carbohydrates include both sugars and polymers of sugars. The simplest carbohydrates are the monosaccharides, or simple sugars; these are the monomers from which more complex carbohydrates are constructed. Disaccharides are double sugars, consisting of two monosaccharides joined by a covalent bond. Carbohydrates also include macromolecules called polysaccharides, polymers composed of many sugar building blocks joined together by dehydration reactions.

Sugars

Monosaccharides (from the Greek *monos*, single, and *sacchar*, sugar) generally have molecular formulas that are some multiple of the unit CH_2O . Glucose ($\text{C}_6\text{H}_{12}\text{O}_6$), the most common monosaccharide, is of central importance in the chemistry of life. In the structure of glucose, we can see the trademarks of a sugar: The molecule has a carbonyl group

In some cases, chemical groups contribute to function primarily by affecting the molecule's shape. This is true for the steroid sex hormones estradiol (a type of estrogen) and testosterone, which differ in attached chemical groups.

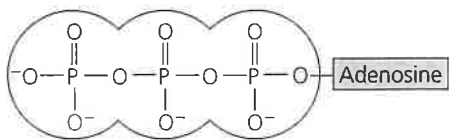


In other cases, the chemical groups affect molecular function by being directly involved in chemical reactions; these important chemical groups are known as **functional groups**. Each functional group participates in chemical reactions in a characteristic way.

The seven chemical groups most important in biological processes are the hydroxyl, carbonyl, carboxyl, amino, sulfhydryl, phosphate, and methyl groups (see Figure 3.5). The first six groups can act as functional groups; also, except for the sulfhydryl, they are hydrophilic and thus increase the solubility of organic compounds in water. The last group, the methyl group, is not reactive, but instead often serves as a recognizable tag on biological molecules. Before reading further, study Figure 3.5 to familiarize yourself with these biologically important chemical groups. Notice the ionized forms of the amino group and carboxyl group; these are the forms of these groups at normal cellular pH.

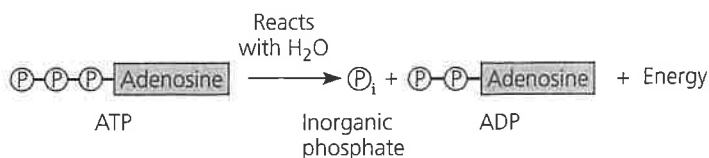
ATP: An Important Source of Energy for Cellular Processes

The “phosphate group” row in Figure 3.5 shows a simple example of an organic phosphate molecule. A more complicated organic phosphate, **adenosine triphosphate**, or **ATP**, is worth mentioning here because its function in the cell is so important. ATP consists of an organic molecule called adenosine attached to a string of three phosphate groups:



Where three phosphates are present in series, as in ATP, one phosphate may be split off as a result of a reaction with water. This inorganic phosphate ion, HOPO_3^{2-} , is often abbreviated P_i in this book, and a phosphate group in an organic molecule is often written as P . Having lost one phosphate, ATP becomes adenosine diphosphate, or ADP. Although ATP is sometimes said to store energy, it is more accurate to think of it as storing the potential to react with

water. This reaction releases energy that can be used by the cell. (You will learn about this in more detail in Chapter 6.)



CONCEPT CHECK 3.1

1. How are gasoline and fat chemically similar?
2. What does the term *amino acid* signify about the structure of such a molecule?
3. **WHAT IF?** Suppose you had an organic molecule such as cysteine (see Figure 3.5, sulfhydryl group example), and you chemically removed the —NH_2 group and replaced it with —COOH . How would this change the chemical properties of the molecule?

For suggested answers, see Appendix A.

CONCEPT 3.2

Macromolecules are polymers, built from monomers

The macromolecules in three of the four classes of life's organic compounds—carbohydrates, proteins, and nucleic acids—are chain-like molecules called **polymers** (from the Greek *polys*, many, and *meros*, part). A **polymer** is a long molecule consisting of many similar or identical building blocks linked by covalent bonds, much as a train consists of a chain of cars. The repeating units that serve as the building blocks of a polymer are smaller molecules called **monomers** (from the Greek *monos*, single). Some of the molecules that serve as monomers also have other functions of their own.

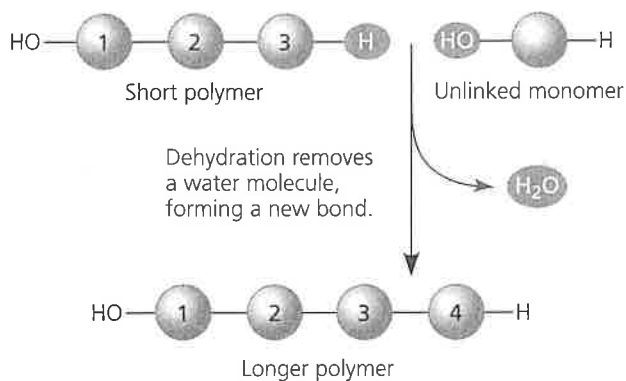
The Synthesis and Breakdown of Polymers

Although each class of polymer is made up of a different type of monomer, the chemical mechanisms by which cells make and break down polymers are basically the same in all cases. In cells, these processes are facilitated by **enzymes**, specialized macromolecules (usually proteins) that speed up chemical reactions. Monomers are connected by a reaction in which two molecules are covalently bonded to each other, with the loss of a water molecule; this is known as a **dehydration reaction** (Figure 3.6a). When a bond forms between two monomers, each monomer contributes part of the water molecule that is released during the reaction: One monomer provides a hydroxyl group (—OH), while the other provides a hydrogen (—H). This reaction is repeated as monomers are added to the chain one by one, making a polymer.

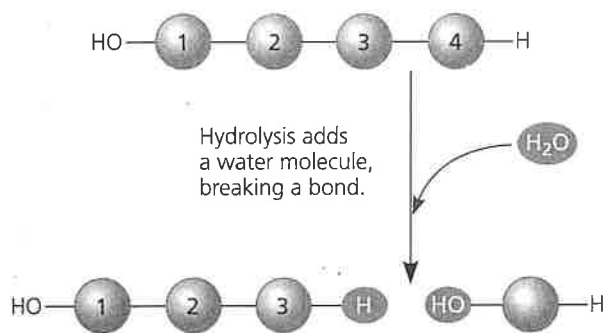
Polymers are disassembled to monomers by **hydrolysis**, a process that is essentially the reverse of the dehydration reaction (Figure 3.6b). Hydrolysis means breakage using water

▼ **Figure 3.6 The synthesis and breakdown of polymers.**

(a) Dehydration reaction: synthesizing a polymer



(b) Hydrolysis: breaking down a polymer



(from the Greek *hydro*, water, and *lysis*, break). The bond between the monomers is broken by the addition of a water molecule, with a hydrogen from the water attaching to one monomer and the hydroxyl group attaching to the adjacent monomer. An example of hydrolysis working within our bodies is the process of digestion. The bulk of the organic material in our food is in the form of polymers that are much too large to enter our cells. Within the digestive tract, various enzymes attack the polymers, speeding up hydrolysis. The released monomers are then absorbed into the bloodstream for distribution to all body cells. Those cells can then use dehydration reactions to assemble the monomers into new, different polymers that can perform specific functions required by the cell.

The Diversity of Polymers

Each cell has thousands of different macromolecules; the collection varies from one type of cell to another even in the same organism. The inherent differences between, for example, human siblings reflect small variations in polymers, particularly DNA and proteins. Molecular differences between unrelated individuals are more extensive and those between species greater still. The diversity of macromolecules in the living world is vast, and the possible variety is effectively limitless.

What is the basis for such diversity in life's polymers? These molecules are constructed from only 40 to 50 common monomers and some others that occur rarely. Building a huge variety of polymers from such a limited number of monomers is analogous to constructing hundreds of thousands of words from only 26 letters of the alphabet. The key is arrangement—the particular linear sequence that the units follow. However, this analogy falls far short of describing the great diversity of macromolecules because most biological polymers have many more monomers than the number of letters in the longest word. Proteins, for example, are built from 20 kinds of amino acids arranged in chains that are typically hundreds of amino acids long. The molecular logic of life is simple but elegant: Small molecules common to all organisms are ordered into unique macromolecules.

Despite this immense diversity, molecular structure and function can still be grouped roughly by class. Let's examine each of the four major classes of large biological molecules. For each class, the large molecules have emergent properties not found in their individual building blocks.

CONCEPT CHECK 3.2

1. How many molecules of water are needed to completely hydrolyze a polymer that is ten monomers long?
2. **WHAT IF?** Suppose you eat a serving of fish. What reactions must occur for the amino acid monomers in the protein of the fish to be converted to new proteins in your body?

For suggested answers, see Appendix A.

CONCEPT 3.3

Carbohydrates serve as fuel and building material

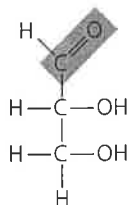
Carbohydrates include both sugars and polymers of sugars. The simplest carbohydrates are the monosaccharides, or simple sugars; these are the monomers from which more complex carbohydrates are constructed. Disaccharides are double sugars, consisting of two monosaccharides joined by a covalent bond. Carbohydrates also include macromolecules called polysaccharides, polymers composed of many sugar building blocks joined together by dehydration reactions.

Sugars

Monosaccharides (from the Greek *monos*, single, and *sacchar*, sugar) generally have molecular formulas that are some multiple of the unit CH_2O . Glucose ($\text{C}_6\text{H}_{12}\text{O}_6$), the most common monosaccharide, is of central importance in the chemistry of life. In the structure of glucose, we can see the trademarks of a sugar: The molecule has a carbonyl group

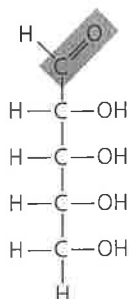
▼ **Figure 3.7 Examples of monosaccharides.** Sugars vary in the location of their carbonyl groups (orange) and the length of their carbon skeletons.

Triose: 3-carbon sugar ($C_3H_6O_3$)



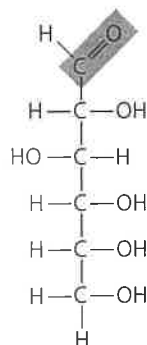
Glyceraldehyde
An initial breakdown product of glucose in cells

Pentose: 5-carbon sugar ($C_5H_{10}O_5$)



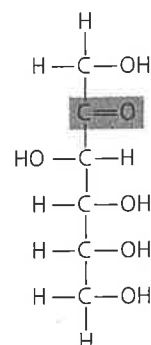
Ribose
A component of RNA

Hexoses: 6-carbon sugars ($C_6H_{12}O_6$)



Glucose

Energy sources for organisms



Fructose

Energy sources for organisms

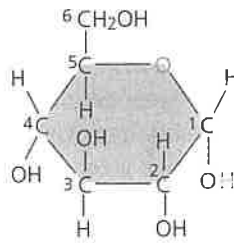
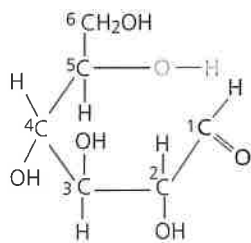
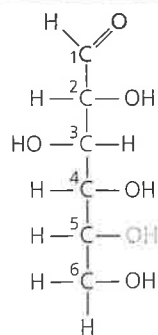
(C=O) and multiple hydroxyl groups (—OH) (**Figure 3.7**). The carbonyl group can be on the end of the linear sugar molecule, as in glucose, or attached to an interior carbon, as in fructose. (Thus, sugars are either aldehydes or ketones; see Figure 3.5.) The carbon skeleton of a sugar molecule ranges from three to seven carbons long. Glucose, fructose, and other sugars that have six carbons are called hexoses. Trioses (three-carbon sugars) and pentoses (five-carbon sugars) are also common. Note that most names for sugars end in *-ose*.

Although it is convenient to draw glucose with a linear carbon skeleton, this representation is not completely accurate. In aqueous solutions, glucose molecules, as well as most other five- and six-carbon sugars, form rings (**Figure 3.8**).

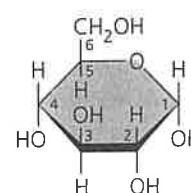
Monosaccharides, particularly glucose, are major nutrients for cells. In the process known as cellular respiration, cells

extract energy from glucose in a series of reactions that break down its molecules. Also, the carbon skeletons of sugars serve as raw material for the synthesis of other types of small organic molecules, such as amino acids. Sugar molecules that are not immediately used in these ways are generally incorporated as monomers into disaccharides or polysaccharides.

A **disaccharide** consists of two monosaccharides joined by a **glycosidic linkage**, a covalent bond formed between two monosaccharides by a dehydration reaction. The most prevalent disaccharide is sucrose, which is table sugar. Its two monomers are glucose and fructose (**Figure 3.9**). Plants generally transport carbohydrates from leaves to roots and other nonphotosynthetic organs in the form of sucrose. Other disaccharides are lactose, the sugar present in milk, and maltose, an ingredient used in making beer.



(a) **Linear and ring forms.** Chemical equilibrium between the linear and ring structures greatly favors the formation of rings. The carbons of the sugar are numbered 1 to 6, as shown. To form the glucose ring, carbon 1 bonds to the oxygen attached to carbon 5.



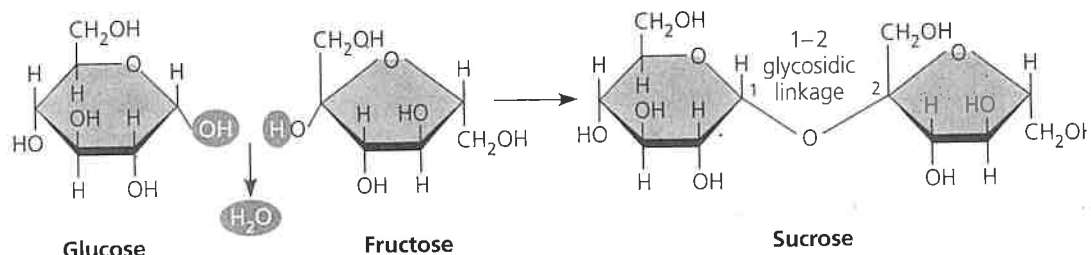
(b) Abbreviated ring structure. Each corner represents a carbon. The ring's thicker edge indicates that you are looking at the ring edge-on; the components attached to the ring lie above or below the plane of the ring.

▲ **Figure 3.8 Linear and ring forms of glucose.**

DRAW IT Start with the linear form of fructose (see Figure 3.7) and draw the formation of the fructose ring in two steps. First, number the carbons starting at the top of the linear structure. Then attach carbon 5 via its oxygen to carbon 2. Compare the number of carbons in the fructose and glucose rings.

► **Figure 3.9 Disaccharide synthesis.** Sucrose is a disaccharide formed from glucose and fructose by a dehydration reaction. Notice that fructose, though a hexose like glucose, forms a five-sided ring.

DRAW IT Referring to Figure 3.8, number the carbons in each sugar in this figure. Show how the numbering is consistent with the name of the glycosidic linkage.



Polysaccharides

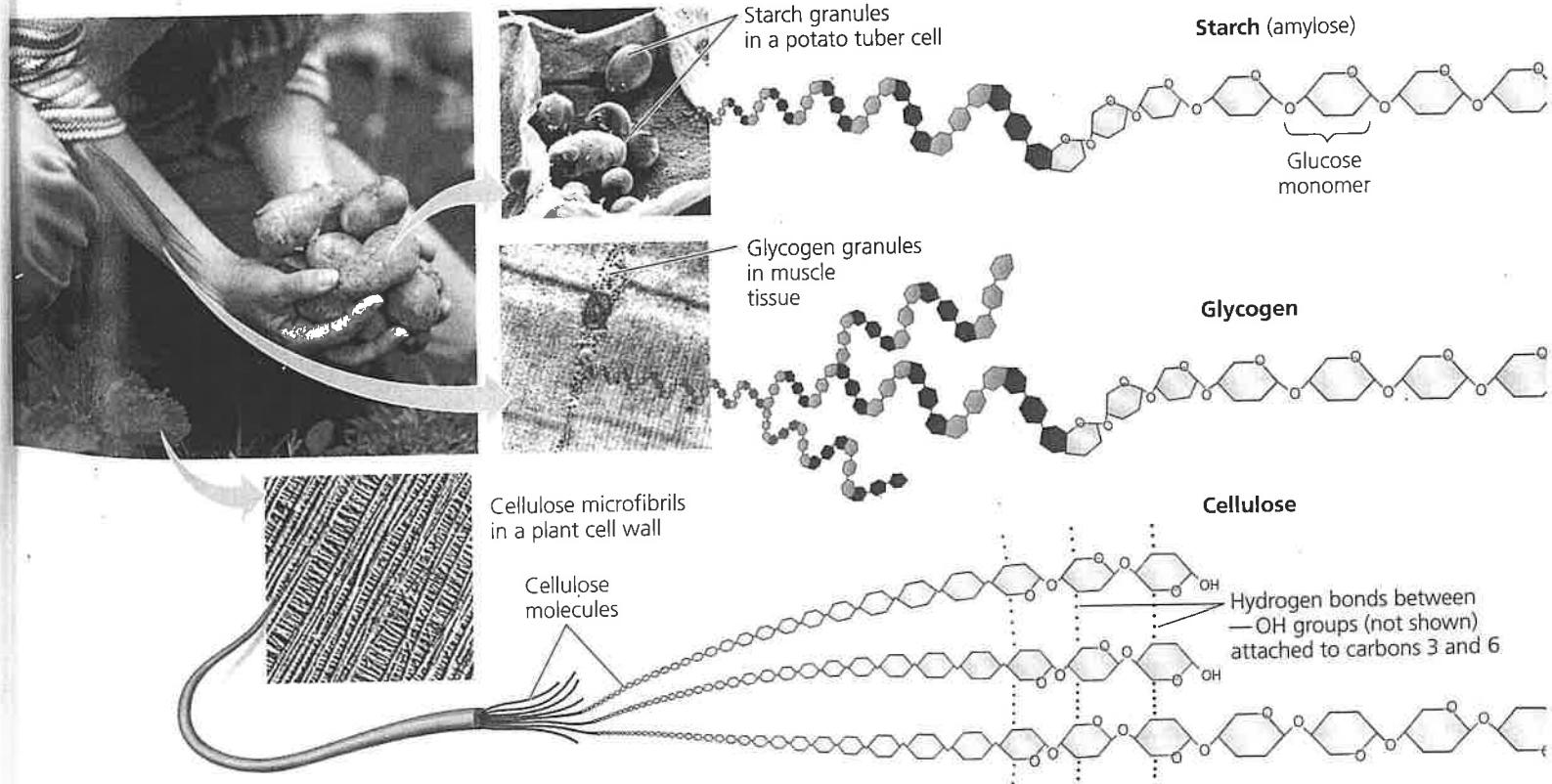
Polysaccharides are macromolecules, polymers with a few hundred to a few thousand monosaccharides joined by glycosidic linkages. Some polysaccharides serve as storage material, hydrolyzed as needed to provide sugar for cells. Other polysaccharides serve as building material for structures that protect the cell or the whole organism. The structure and function of a polysaccharide are determined by its sugar monomers and by the positions of its glycosidic linkages.

Storage Polysaccharides

Both plants and animals store sugars for later use in the form of storage polysaccharides (**Figure 3.10**). Plants store **starch**, a polymer of glucose monomers, as granules within cells.

Synthesizing starch enables the plant to stockpile surplus glucose. Because glucose is a major cellular fuel, starch represents stored energy. The sugar can later be withdrawn from this carbohydrate “bank” by hydrolysis, which breaks the bonds between the glucose monomers. Most animals, including humans, also have enzymes that can hydrolyze plant starch, making glucose available as a nutrient for cells. Potato tubers and grains—the fruits of wheat, maize (corn), rice, and other grasses—are the major sources of starch in the human diet.

Most of the glucose monomers in starch are joined by 1–4 linkages (number 1 carbon to number 4 carbon). The simplest form of starch, amylose, is unbranched, as shown in Figure 3.10. Amylopectin, a more complex starch, is a branched polymer with 1–6 linkages at the branch points.



▲ **Figure 3.10 Polysaccharides of plants and animals.** The polysaccharides shown are composed entirely of glucose monomers, represented here by hexagons. In starch and glycogen, the polymer chains tend to form helices in unbranched regions because of the angle of the 1–4 linkage between the glucose monomers. Cellulose, with a different kind of 1–4 linkage, is always unbranched.

Animals store a polysaccharide called **glycogen**, a polymer of glucose that is like amylopectin but more extensively branched. Humans and other vertebrates store glycogen mainly in liver and muscle cells. Hydrolysis of glycogen in these cells releases glucose when the demand for sugar increases. This stored fuel cannot sustain an animal for long, however. In humans, for example, glycogen stores are depleted in about a day unless they are replenished by eating.

Structural Polysaccharides

Organisms build strong materials from structural polysaccharides. The polysaccharide called **cellulose** is a major component of the tough walls that enclose plant cells (see Figure 3.10). On a global scale, plants produce almost 10^{14} kg (100 billion tons) of cellulose per year; it is the most abundant organic compound on Earth. Like starch and glycogen, cellulose is a polymer of glucose with 1–4 glycosidic linkages, but the linkages in cellulose are different. The difference is based on the fact that there are actually two slightly different ring structures for glucose (**Figure 3.11a**). When glucose forms a ring, the hydroxyl group attached to the number 1 carbon is positioned either below or above the plane of the ring. These two ring forms for glucose are called alpha (α) and beta (β), respectively. In starch, all the glucose monomers are in the α configuration (**Figure 3.11b**), the arrangement we saw in Figure 3.8. In contrast, the glucose monomers of cellulose are all in the β configuration, making every glucose monomer “upside down” with respect to its neighbors (**Figure 3.11c**).

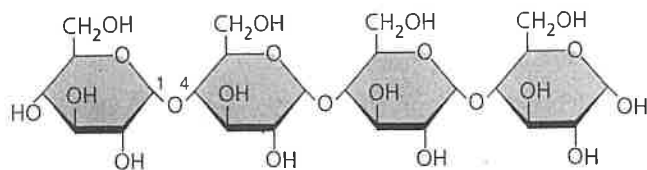
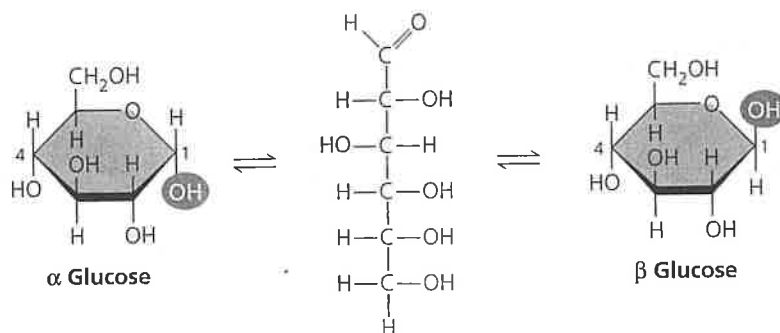
The differing glycosidic linkages in starch and cellulose give the two molecules distinct three-dimensional shapes. Whereas starch (and glycogen) molecules are largely helical, a cellulose

molecule is straight. Cellulose is never branched, and some hydroxyl groups on its glucose monomers are free to hydrogen-bond with the hydroxyls of other cellulose molecules lying parallel to it. In plant cell walls, parallel cellulose molecules held together in this way are grouped into units called microfibrils (see Figure 3.10). These cable-like microfibrils are a strong building material for plants and an important substance for humans because cellulose is the major component of paper and the only constituent of cotton.

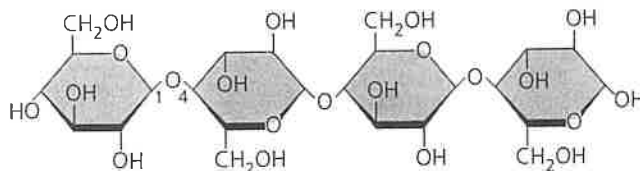
Enzymes that digest starch by hydrolyzing its α linkages are unable to hydrolyze the β linkages of cellulose because of the distinctly different shapes of these two molecules. In fact, few organisms possess enzymes that can digest cellulose. Animals, including humans, do not; the cellulose in our food passes through the digestive tract and is eliminated with the feces. Along the way, the cellulose abrades the wall of the digestive tract and stimulates the lining to secrete mucus, which aids in the smooth passage of food through the tract. Thus, although cellulose is not a nutrient for humans, it is an important part of a healthful diet. Most fresh fruits, vegetables, and whole grains are rich in cellulose. On food packages, “insoluble fiber” refers mainly to cellulose.

Some microorganisms can digest cellulose, breaking it down into glucose monomers. A cow harbors cellulose-digesting prokaryotes and protists in its stomach. These microbes hydrolyze the cellulose of hay and grass and convert the glucose to other compounds that nourish the cow. Similarly, a termite, which is unable to digest cellulose by itself, has prokaryotes or protists living in its gut that can make a meal of wood. Some fungi can also digest cellulose, thereby helping recycle chemical elements within Earth’s ecosystems.

(a) α and β glucose ring structures. These two interconvertible forms of glucose differ in the placement of the hydroxyl group (highlighted in blue) attached to the number 1 carbon.



(b) **Starch: 1–4 linkage of α glucose monomers.** All monomers are in the same orientation. Compare the positions of the —OH groups highlighted in yellow with those in cellulose (c).



(c) **Cellulose: 1–4 linkage of β glucose monomers.** In cellulose, every β glucose monomer is upside down with respect to its neighbors.

▲ **Figure 3.11 Monomer structures of starch and cellulose.**

Another important structural polysaccharide is **chitin**, the carbohydrate used by arthropods (insects, spiders, crustaceans, and related animals) to build their exoskeletons—hard cases that surround the soft parts of these animals. Chitin is also found in many fungi, which use this polysaccharide as the building material for their cell walls. Chitin is similar to cellulose except that the glucose monomer of chitin has a nitrogen-containing appendage.

CONCEPT CHECK 3.3

1. Write the formula for a monosaccharide that has three carbons.
2. A dehydration reaction joins two glucose molecules to form maltose. The formula for glucose is $C_6H_{12}O_6$. What is the formula for maltose?
3. **WHAT IF?** After a cow is given antibiotics to treat an infection, a vet gives the animal a drink of “gut culture” containing various prokaryotes. Why is this necessary?

For suggested answers, see Appendix A.

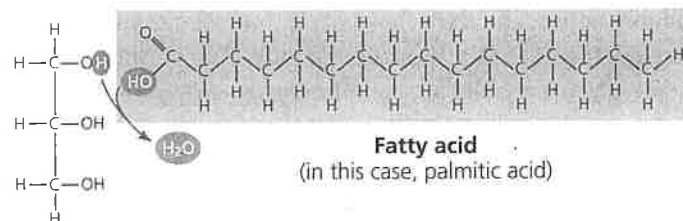
CONCEPT 3.4

Lipids are a diverse group of hydrophobic molecules

Lipids are the one class of large biological molecules that does not include true polymers, and they are generally not big enough to be considered macromolecules. The compounds called **lipids** are grouped together because they share one important trait: They mix poorly, if at all, with water. The hydrophobic behavior of lipids is based on their molecular structure. Although they may have some polar bonds associated with oxygen, lipids consist mostly of hydrocarbon regions. Lipids are varied in form and function. They include waxes and certain pigments, but we will focus on the most biologically important types of lipids: fats, phospholipids, and steroids.

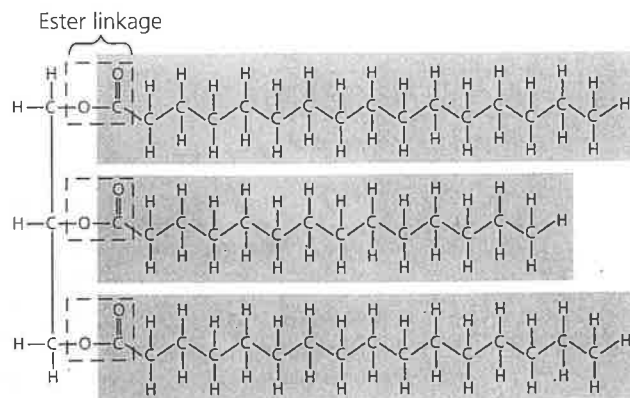
Fats

Although fats are not polymers, they are large molecules assembled from smaller molecules by dehydration reactions. A **fat** is constructed from two kinds of smaller **molecules**: glycerol and fatty acids (**Figure 3.12a**). Glycerol is an **alcohol**; each of its three carbons bears a hydroxyl group. A **fatty acid** has a long carbon skeleton, usually 16 or 18 carbon atoms in length. The carbon at one end of the skeleton is part of a carboxyl group, the functional group that gives these molecules the name fatty acid. The rest of the skeleton consists of a hydrocarbon chain. The relatively nonpolar C—H bonds in the hydrocarbon chains of fatty acids are the reason fats are hydrophobic. Fats separate from water because the water molecules hydrogen-bond to one another and exclude the fats. This is the reason that vegetable oil (a liquid fat) separates from the aqueous vinegar solution in a bottle of salad dressing.



Glycerol

(a) One of three dehydration reactions in the synthesis of a fat



(b) Fat molecule (triacylglycerol)

▲ Figure 3.12 The synthesis and structure of a fat, or triacylglycerol. The molecular building blocks of a fat are one molecule of glycerol and three molecules of fatty acids. (a) One water molecule is removed for each fatty acid joined to the glycerol. (b) A fat molecule with three fatty acid units, two of them identical. The carbons of the fatty acids are arranged zigzag to suggest the actual orientations of the four single bonds extending from each carbon (see Figure 3.2a).

In making a fat, three fatty acid molecules are each joined to glycerol by an ester linkage, a bond between a hydroxyl group and a carboxyl group. The resulting fat, also called a **triacylglycerol**, thus consists of three fatty acids linked to one glycerol molecule. (Still another name for a fat is *triglyceride*, a word often found in the list of ingredients on packaged foods.) The fatty acids in a fat can be the same, or they can be of two or three different kinds, as in **Figure 3.12b**.

The terms *saturated fats* and *unsaturated fats* are commonly used in the context of nutrition. These terms refer to the structure of the hydrocarbon chains of the fatty acids. If there are no double bonds between carbon atoms composing a chain, then as many hydrogen atoms as possible are bonded to the carbon skeleton. Such a structure is said to be *saturated* with hydrogen, and the resulting fatty acid is called a **saturated fatty acid**. An **unsaturated fatty acid** has one or more double bonds, with one fewer hydrogen atom on each double-bonded carbon. Nearly every double bond in naturally occurring fatty acids has an orientation that creates a kink in the hydrocarbon chain.

A fat made from saturated fatty acids is called a saturated fat. Most animal fats are saturated: The hydrocarbon chains of their fatty acids—the “tails” of the fat molecules—lack

double bonds, and their flexibility allows the fat molecules to pack together tightly. Saturated animal fats—such as lard and butter—are solid at room temperature (**Figure 3.13a**). In contrast, the fats of plants and fishes are generally unsaturated, meaning that they are built of one or more types of unsaturated fatty acids. Usually liquid at room temperature, plant and fish fats are referred to as oils—olive oil and cod

liver oil are examples (**Figure 3.13b**). The kinks where the double bonds are located prevent the molecules from packing together closely enough to solidify at room temperature. The phrase “hydrogenated vegetable oils” on food labels means that unsaturated fats have been converted to saturated fats by adding hydrogen.

The major function of fats is energy storage. The hydrocarbon chains of fats are similar to gasoline molecules and just as rich in energy. A gram of fat stores more than twice as much energy as a gram of a polysaccharide, such as starch. Because plants are relatively immobile, they can function with bulky energy storage in the form of starch. (Vegetable oils are generally obtained from seeds, where more compact storage is an asset to the plant.) Animals, however, must carry their energy stores with them, so there is an advantage to having a more compact reservoir of fuel—fat.

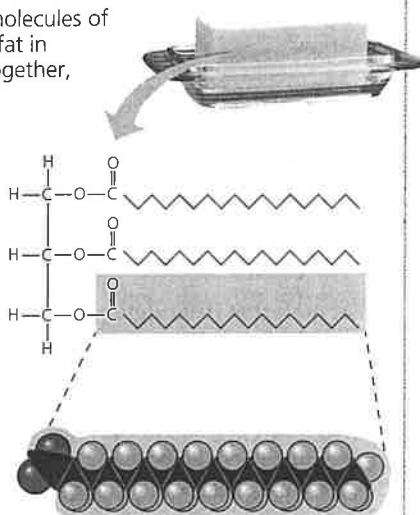
▼ **Figure 3.13 Saturated and unsaturated fats and fatty acids.**

(a) Saturated fat

At room temperature, the molecules of a saturated fat, such as the fat in butter, are packed closely together, forming a solid.

Structural formula of a saturated fat molecule (Each hydrocarbon chain is represented as a zigzag line, where each bend represents a carbon atom and hydrogens are not shown.)

Space-filling model of stearic acid, a saturated fatty acid (red = oxygen, black = carbon, gray = hydrogen)

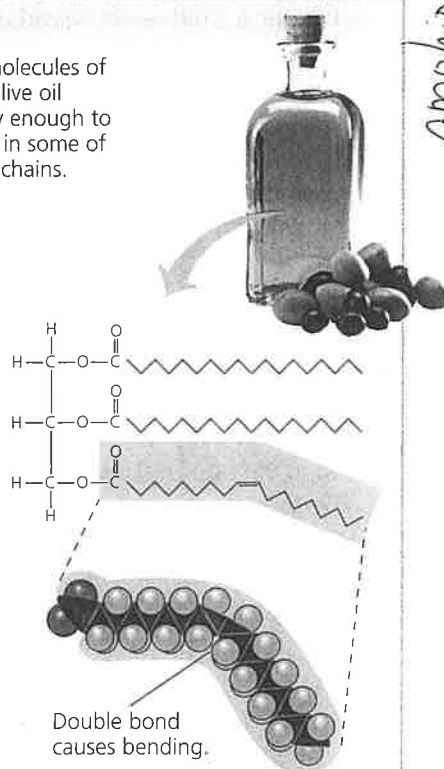


(b) Unsaturated fat

At room temperature, the molecules of an unsaturated fat such as olive oil cannot pack together closely enough to solidify because of the kinks in some of their fatty acid hydrocarbon chains.

Structural formula of an unsaturated fat molecule

Space-filling model of oleic acid, an unsaturated fatty acid



Phospholipids

Cells could not exist without another type of lipid—**phospholipid**. Phospholipids are essential for cells because they are major constituents of cell membranes. Their structure provides a classic example of how form fits function at the molecular level. As shown in **Figure 3.14**, a phospholipid is similar to a fat molecule but has only two fatty acids attached to glycerol rather than three. The third hydroxyl group of glycerol is joined to a phosphate group, which has a negative electrical charge in the cell. Additional small molecules, which are usually charged or polar, can be linked to the phosphate group to form a variety of phospholipids.

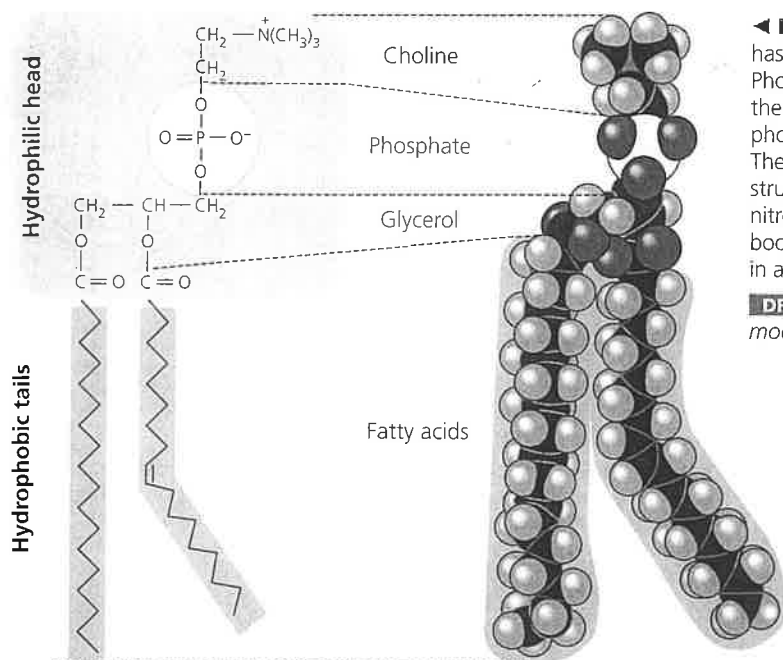
The two ends of a phospholipid exhibit different behavior toward water. The hydrocarbon tails are hydrophobic and are excluded from water. However, the phosphate group and its attachments form a hydrophilic head that has an affinity for water. When phospholipids are added to water, they self-assemble into double-layered structures called “bilayers,” shielding their hydrophobic portions from water (see **Figure 3.14d**).

At the surface of a cell, phospholipids are arranged in a similar bilayer. The hydrophilic heads of the molecules are on the outside of the bilayer, in contact with the aqueous solutions inside and outside of the cell. The hydrophobic tails point toward the interior of the bilayer, away from the water. The phospholipid bilayer forms a boundary between the cell and its external environment; the existence of cells depends on phospholipids.

Steroids

Steroids are lipids characterized by a carbon skeleton consisting of four fused rings. Different steroids are distinguished by the particular chemical groups attached to this ensemble of rings. Shown in **Figure 3.15**, **cholesterol** is a crucial steroid in animals. It is a common component of animal cell membranes and is also the precursor from which other steroids are synthesized, such as the vertebrate sex hormones estrogen and testosterone (see **Concept 3.1**).

amphipathic



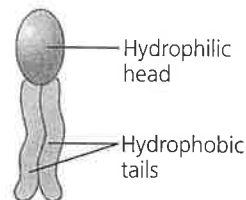
(a) Structural formula

(b) Space-filling model

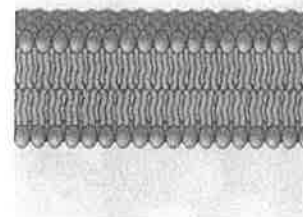
© 1991 Pearson Education, Inc.

◀ **Figure 3.14 The structure of a phospholipid.** A phospholipid has a hydrophilic (polar) head and two hydrophobic (nonpolar) tails. Phospholipid diversity is based on differences in the two fatty acids and in the groups attached to the phosphate group of the head. This particular phospholipid, called a phosphatidylcholine, has an attached choline group. The kink in one of its tails is due to a double bond. Shown here are (a) the structural formula, (b) the space-filling model (yellow = phosphorus, blue = nitrogen), (c) the symbol for a phospholipid that will appear throughout the book, and (d) the bilayer structure formed by self-assembly of phospholipids in an aqueous environment.

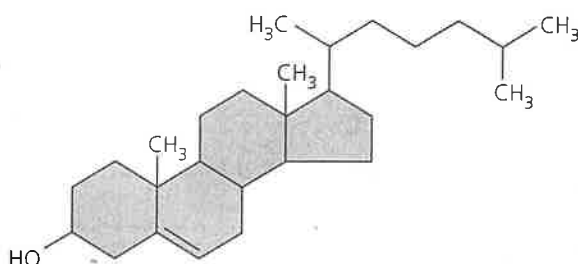
DRAW IT Draw an oval around the hydrophilic head of the space-filling model.



(c) Phospholipid symbol



(d) Phospholipid bilayer



▲ **Figure 3.15 Cholesterol, a steroid.** Cholesterol is the molecule from which other steroids, including the sex hormones, are synthesized. Steroids vary in the chemical groups attached to their four interconnected rings (shown in gold).

In vertebrates, cholesterol is synthesized in the liver and is also obtained from the diet. A high level of cholesterol in the blood may contribute to atherosclerosis. In fact, saturated fats exert their negative impact on health by affecting cholesterol levels.

CONCEPT CHECK 3.4

1. Compare the structure of a fat (triacylglycerol) with that of a phospholipid.
2. Why are human sex hormones considered lipids?
3. **WHAT IF?** Suppose a membrane surrounded an oil droplet, as it does in the cells of plant seeds. Describe and explain the form it might take.

For suggested answers, see Appendix A.

CONCEPT 3.5

Proteins include a diversity of structures, resulting in a wide range of functions

Nearly every dynamic function of a living being depends on proteins. In fact, the importance of proteins is underscored by their name, which comes from the Greek word *proteios*, meaning “first,” or “primary.” Proteins account for more than 50% of the dry mass of most cells, and they are instrumental in almost everything organisms do. Some proteins speed up chemical reactions, while others play a role in defense, storage, transport, cellular communication, movement, or structural support. **Figure 3.16** shows examples of proteins with these functions (which you’ll learn more about in later chapters).

Life **would not be possible** without enzymes, most of which are proteins. **Enzymatic proteins** regulate metabolism by acting as **catalysts**, chemical agents that selectively speed up chemical reactions without being consumed by the reaction. Because an enzyme can perform its function over and over again, these molecules can be thought of as workhorses that keep cells running by carrying out the processes of life.

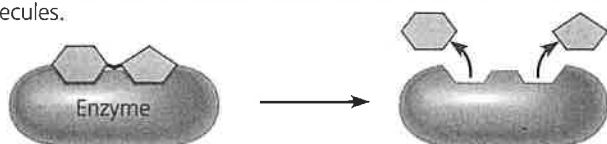
A human has tens of thousands of different proteins, each with a specific structure and function; proteins, in fact, are the most structurally sophisticated molecules known. Consistent with their diverse functions, they vary extensively in structure, each type of protein having a unique three-dimensional shape.

▼ **Figure 3.16 An overview of protein functions.**

Enzymatic proteins

Function: Selective acceleration of chemical reactions

Example: Digestive enzymes catalyze the hydrolysis of bonds in food molecules.



Storage proteins

Function: Storage of amino acids

Examples: Casein, the protein of milk, is the major source of amino acids for baby mammals. Plants have storage proteins in their seeds. Ovalbumin is the protein of egg white, used as an amino acid source for the developing embryo.



Hormonal proteins

Function: Coordination of an organism's activities

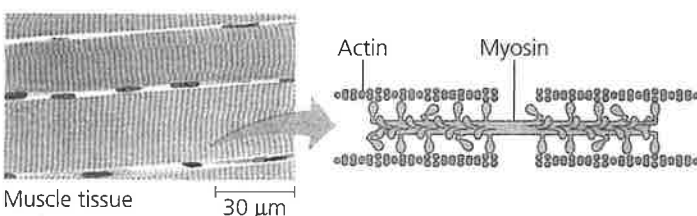
Example: Insulin, a hormone secreted by the pancreas, causes other tissues to take up glucose, thus regulating blood sugar concentration.



Contractile and motor proteins

Function: Movement

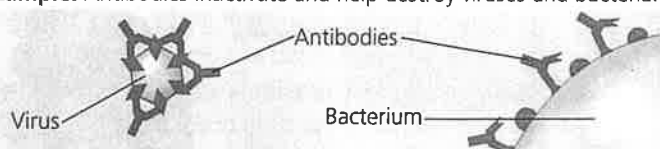
Examples: Motor proteins are responsible for the undulations of cilia and flagella. Actin and myosin proteins are responsible for the contraction of muscles.



Defensive proteins

Function: Protection against disease

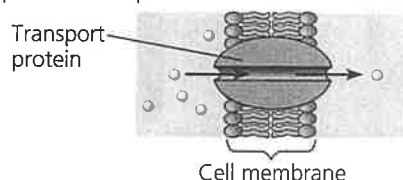
Example: Antibodies inactivate and help destroy viruses and bacteria.



Transport proteins

Function: Transport of substances

Examples: Hemoglobin, the iron-containing protein of vertebrate blood, transports oxygen from the lungs to other parts of the body. Other proteins transport molecules across cell membranes.



Receptor proteins

Function: Response of cell to chemical stimuli

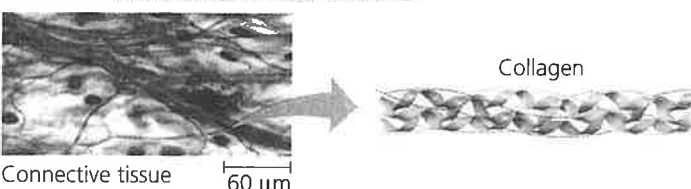
Example: Receptors built into the membrane of a nerve cell detect signaling molecules released by other nerve cells.



Structural proteins

Function: Support

Examples: Keratin is the protein of hair, horns, feathers, and other skin appendages. Insects and spiders use silk fibers to make their cocoons and webs, respectively. Collagen and elastin proteins provide a fibrous framework in animal connective tissues.



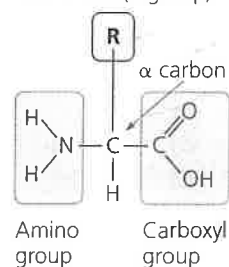
Proteins are made up of polymers of amino acids called **polypeptides**. A **protein** is a biologically functional molecule that consists of one or more polypeptides folded and coiled into a specific three-dimensional structure.

Amino Acids

Polypeptides are all unbranched polymers constructed from the same set of 20 amino acids, and all amino acids share a common structure. An **amino acid** is an organic molecule with both an amino group and a carboxyl group. The

figure at the right shows the general formula for an amino acid. At the center of the amino acid is a carbon atom called the **alpha (α) carbon**. Its four different partners are an amino group, a carboxyl group, a hydrogen atom, and a variable group symbolized by R. The R group, also called the side chain, differs with each amino acid (**Figure 3.17**).

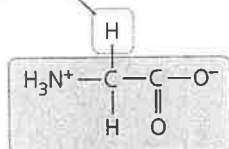
Side chain (R group)



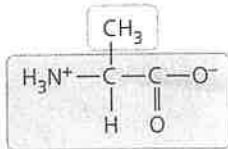
▼ **Figure 3.17 The 20 amino acids of proteins.** The amino acids are grouped here according to the properties of their side chains (R groups) and shown in their prevailing ionic forms at pH 7.2, the pH within a cell. The three-letter and one-letter abbreviations for the amino acids are in parentheses.

Nonpolar side chains; hydrophobic

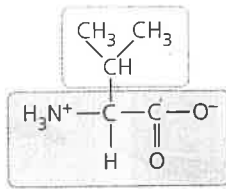
Side chain
(R group)



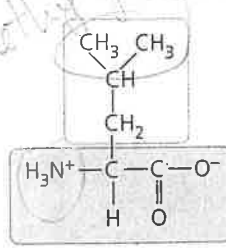
Glycine
(Gly or G)



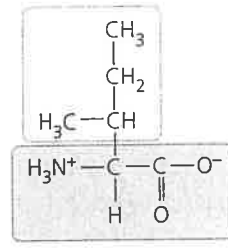
Alanine
(Ala or A)



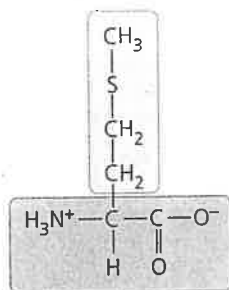
Valine
(Val or V)



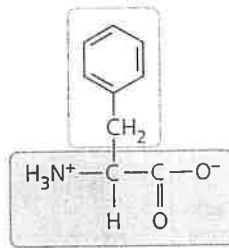
Leucine
(Leu or L)



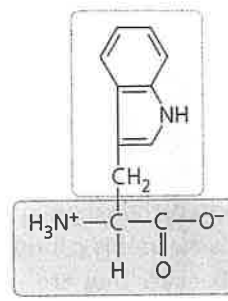
Isoleucine
(Ile or I)



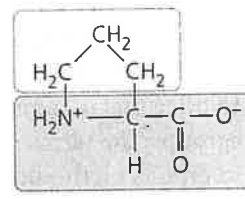
Methionine
(Met or M)



Phenylalanine
(Phe or F)

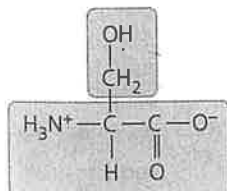


Tryptophan
(Trp or W)

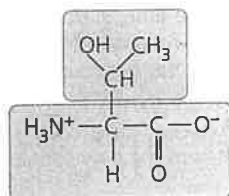


Proline
(Pro or P)

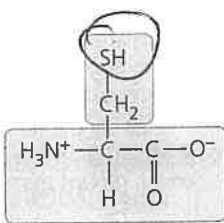
Polar side chains; hydrophilic



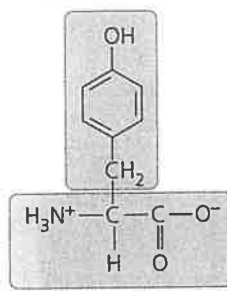
Serine
(Ser or S)



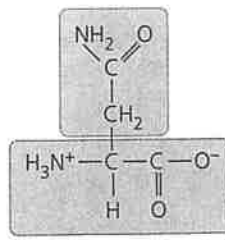
Threonine
(Thr or T)



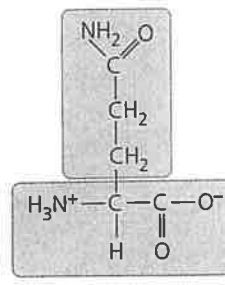
Cysteine
(Cys or C)



Tyrosine
(Tyr or Y)



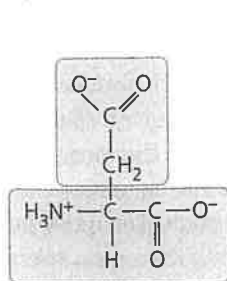
Asparagine
(Asn or N)



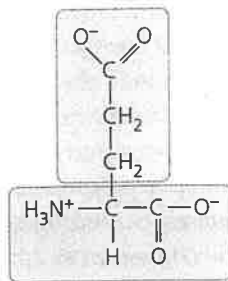
Glutamine
(Gln or Q)

Electrically charged side chains; hydrophilic

Acidic (negatively charged)

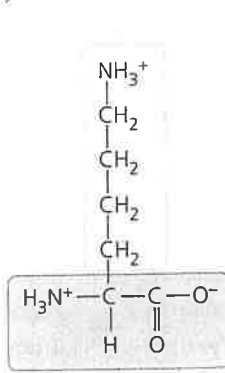


Aspartic acid
(Asp or D)

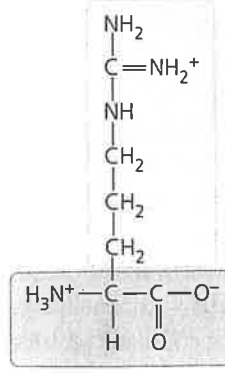


Glutamic acid
(Glu or E)

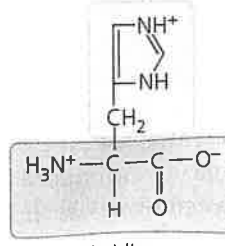
Basic (positively charged)



Lysine
(Lys or K)



Arginine
(Arg or R)



Histidine
(His or H)

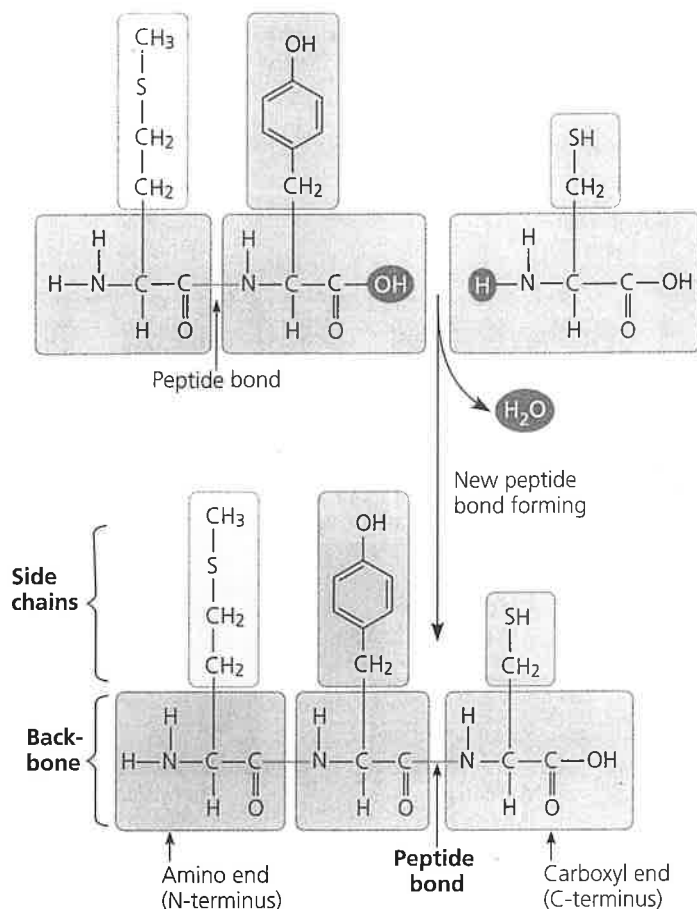
The 20 amino acids in Figure 3.17 are the ones cells use to build their proteins. Here the amino groups and carboxyl groups are all depicted in ionized form, the way they usually exist at the pH found in a cell. The side chain (R group) may be as simple as a hydrogen atom, as in the amino acid glycine, or it may be a carbon skeleton with various functional groups attached, as in glutamine.

The physical and chemical properties of the side chain determine the unique characteristics of a particular amino acid, thus affecting its functional role in a polypeptide. In Figure 3.17, the amino acids are grouped according to the properties of their side chains. One group consists of amino acids with nonpolar side chains, which are hydrophobic. Another group consists of amino acids with polar side chains, which are hydrophilic. Acidic amino acids are those with side chains that are generally negative in charge owing to the presence of a carboxyl group, which is usually dissociated (ionized) at cellular pH. Basic amino acids have amino groups in their side chains that are generally positive in charge. (Notice that *all* amino acids have carboxyl groups and amino groups; the terms *acidic* and *basic* in this context refer only to groups on the side chains.) Because they are charged, acidic and basic side chains are also hydrophilic.

Polypeptides

Now that we have examined amino acids, let's see how they are linked to form polymers (**Figure 3.18**). When two amino acids are positioned so that the carboxyl group of one is adjacent to the amino group of the other, they can become joined by a dehydration reaction, with the removal of a water molecule. The resulting covalent bond is called a **peptide bond**. Repeated over and over, this process yields a polypeptide, a polymer of many amino acids linked by peptide bonds.

The repeating sequence of atoms highlighted in purple in Figure 3.18 is called the polypeptide backbone. Extending from this backbone are the different side chains (R groups) of the amino acids. Polypeptides range in length from a few amino acids to a thousand or more. Each specific polypeptide has a unique linear sequence of amino acids. Note that one end of the polypeptide chain has a free amino group, while the opposite end has a free carboxyl group. Thus, a polypeptide of any length has a single amino end (N-terminus) and a single carboxyl end (C-terminus). In a polypeptide of any significant size, the side chains far outnumber the terminal groups, so the chemical nature of the molecule as a whole is determined by the kind and sequence of the side chains. The immense variety of polypeptides in nature illustrates an important concept introduced earlier—that cells can make many different polymers by linking a limited set of monomers into diverse sequences.

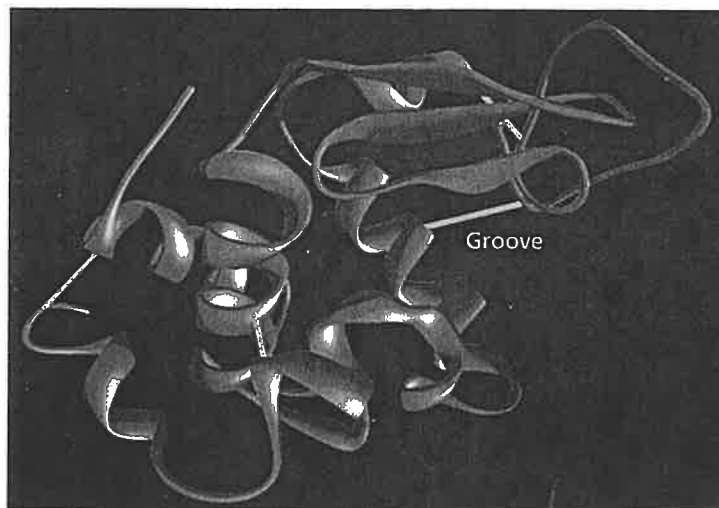


▲ **Figure 3.18 Making a polypeptide chain.** Peptide bonds are formed by dehydration reactions, which link the carboxyl group of one amino acid to the amino group of the next. The peptide bonds are formed one at a time, starting with the amino acid at the amino end (N-terminus). The polypeptide has a repetitive backbone (purple) from which the amino acid side chains (yellow and green) extend.

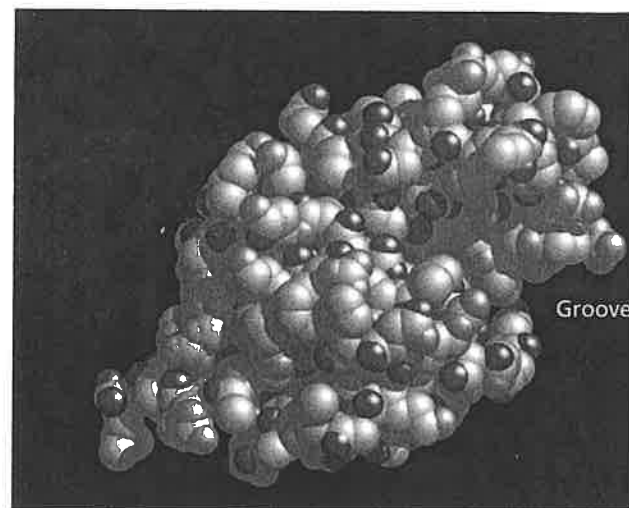
DRAW IT At the top of the figure, circle and label the carboxyl and amino groups that will form the new peptide bond.

Protein Structure and Function

The specific activities of proteins result from their intricate three-dimensional architecture, the simplest level of which is the sequence of their amino acids. What can the amino acid sequence of a polypeptide tell us about the three-dimensional structure (commonly referred to simply as “the structure”) of the protein and its function? The term *polypeptide* is not synonymous with the term *protein*. Even for a protein consisting of a single polypeptide, the relationship is somewhat analogous to that between a long strand of yarn and a sweater of particular size and shape that can be knit from the yarn. A functional protein is not *just* a polypeptide chain, but one or more polypeptides precisely twisted, folded, and coiled into a molecule of unique shape (**Figure 3.19**). And it is the amino acid sequence of each polypeptide that determines what three-dimensional structure the protein will have under normal cellular conditions.



(a) A **ribbon model** shows how the single polypeptide chain folds and coils to form the functional protein. (The yellow lines represent disulfide bridges that stabilize the protein's shape.)



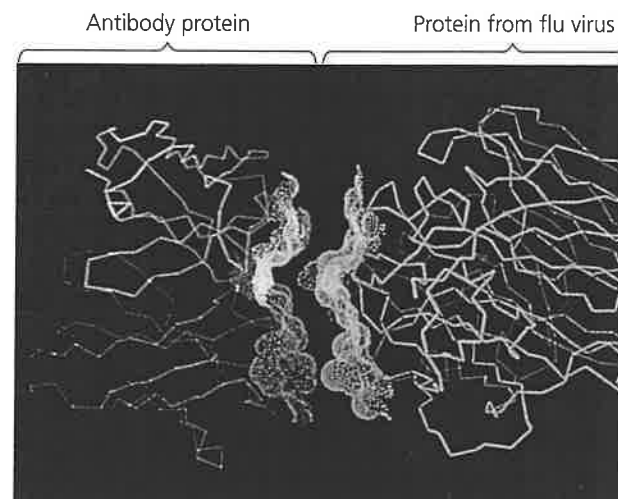
(b) A **space-filling model** shows more clearly the globular shape seen in many proteins, as well as the specific three-dimensional structure unique to lysozyme.

▲ **Figure 3.19 Structure of a protein, the enzyme lysozyme.** Present in our sweat, tears, and saliva, lysozyme is an enzyme that helps prevent infection by binding to and catalyzing the destruction of specific molecules on the surface of many kinds of bacteria. The groove is the part of the protein that recognizes and binds to the target molecules on bacterial walls.

When a cell synthesizes a polypeptide, the chain generally folds spontaneously, assuming the functional structure for that protein. This folding is driven and reinforced by the formation of various bonds between parts of the chain, which in turn depend on the sequence of amino acids. Many proteins are roughly spherical (*globular proteins*), while others are shaped like long fibers (*fibrous proteins*). Even within these broad categories, countless variations exist.

A protein's specific structure determines how it works. In almost every case, the function of a protein depends on its ability to recognize and bind to some other molecule. In an especially striking example of the marriage of form and function, **Figure 3.20** shows the exact match of shape between an antibody (a protein in the body) and the particular foreign substance on a flu virus that the antibody binds to and marks for destruction. (In Chapter 35, you'll learn more about how the immune system generates antibodies that match the shapes of specific foreign molecules so well.)

Another example of molecules with matching shapes is that of endorphin molecules—or morphine molecules—that fit into receptor molecules on the surface of brain cells in humans, producing euphoria and relieving pain. Morphine, heroin, and other opiate drugs are able to mimic endorphins because they all share a similar shape with endorphins and can thus fit into and bind to endorphin receptors in the brain. This fit is very specific, something like a lock and key (see Figure 2.14). The endorphin receptor, like other receptor molecules, is a protein. The function of a protein—for instance, the ability of a receptor protein to bind to a particular pain-relieving signaling molecule—is an emergent property resulting from exquisite molecular order.



▲ **Figure 3.20 An antibody binding to a protein from a virus.** A technique called X-ray crystallography was used to generate a computer model of an antibody protein (blue and orange, left) bound to a flu virus protein (green and yellow, right). Computer software was then used to back the images away from each other, revealing the complementarity of shape between the two protein surfaces.

Four Levels of Protein Structure

In spite of their great diversity, all proteins share three imposed levels of structure, known as primary, secondary, and tertiary structure. A fourth level, quaternary structure, occurs when a protein consists of two or more polypeptide chains. **Figure 3.21** describes these four levels of protein structure. Be sure to study this figure thoroughly before going on to the next section.